Infantile cortical hyperostosis (Caffey disease) is characterized by spontaneous episodes of subperiosteal new bone formation along 1 or more bones commencing within the first 5 months of life. A genome-wide screen for genetic linkage in a large family with an autosomal dominant form of Caffey disease (ADC) revealed a locus on chromosome 17q21 (LOD score, 6.78). Affected individuals and obligate carriers were heterozygous for a missense mutation (3040C→T) in exon 41 of the gene encoding the α1(I) chain of type I collagen (COL1A1), altering residue 836 (R836C) in the triple-helical domain of this chain. The same mutation was identified in affected members of 2 unrelated, smaller families with ADC, but not in 2 prenatal cases and not in more than 300 chromosomes from healthy individuals. Fibroblast cultures from an affected individual produced abnormal disulfide-bonded dimeric α1(I) chains. Dermal collagen fibrils of the same individual were larger, more variable in shape and size, and less densely packed than those in control samples. Individuals bearing the mutation, whether they had experienced an episode of cortical hyperostosis or not, had joint hyperlaxity, hyperextensible skin, and inguinal hernias resembling symptoms of a mild form of Ehlers-Danlos syndrome type III. These findings extend the spectrum of COL1A1-related diseases to include a hyperostotic disorder.

Introduction

Infantile cortical hyperostosis (Caffey disease; OMIM 114000) is a genetic disorder characterized by an infantile episode of massive subperiosteal new bone formation that typically involves the diaphyses of the long bones, mandible, and clavicles (1). In addition to these changes, which can appear quite prominently on x-ray, the involved bones may also appear inflamed, with painful swelling and systemic fever often accompanying the illness. Consistent with the observed increased bone formation, laboratory findings include an elevated level of alkaline phosphatase. Furthermore, there can be an elevation in white blood cell count and erythrocyte sedimentation rate, which indicate an inflammatory response. The bone changes usually begin before 5 months of age and resolve before 2 years of age (1, 2). Recurrent episodes of cortical hyperostosis are uncommon, and there are few reports concerning adverse sequelae of the hyperostotic lesions in affected individuals (3, 4). The inflammatory nature of the symptoms has led to treatment trials with antiinflammatory agents, and resolution of symptoms has been reported with the use of indomethacin (5) and with glucocorticoid therapy (6).

Information on several unrelated pedigrees indicates that Caffey disease can be inherited in an autosomal dominant manner (7–9). Review of these pedigrees showed evidence of incomplete penetrance, as there were obligate carriers who lacked episodes of cortical hyperostosis (7–9). However, the signs and symptoms of Caffey disease can be subtle and are typically only manifested at a preverbal age, which thus makes it plausible that the diagnosis could have been missed in some of these apparently unaffected carriers. A more severe and often lethal prenatal form of Caffey disease appears to be inherited as an autosomal recessive disorder (10).

In addition to the inherited forms of Caffey disease, sporadic cases of infantile cortical hyperostosis have also been described (reviewed in ref. 11). At least some of these nonfamilial cases can be attributed to administration of prostaglandin E1 (PGE1) and PGE2 for treatment of ductal-dependent cardiac lesions (12–14). In fact, the incidence of this complication of prostaglandin therapy is high, with radiographic changes occurring in 62% of all infants receiving PGE1 infusion for more than 60 days (14). Other conditions that can cause cortical bone lesions in children less than 2 years of age, and may thus mimic infantile cortical hyperostosis, include trauma (15), hypervitaminosis A (16), hyperphosphatemia (17), and infection (18). Sporadic cases of infantile cortical hyperostosis not associated with PGE1 or PGE2 infusion appear to be on the decline, but the reason(s) for the diminished frequency of these bone abnormalities remain(s) uncertain (8).
The gene or genes responsible for the prenatal and postnatal inherited forms of Caffey disease have not been reported. We therefore undertook genetic linkage studies using genomic DNA from a large kindred with the autosomal dominant form of the condition (autosomal dominant Caffey disease [ADC]) (7, 8). Affected individuals and obligate carriers in this kindred, as well as affected individuals in 2 other kindreds, were shown to be heterozygous for the same novel missense mutation affecting the gene that encodes the α(I) chain of type I collagen (COL1A1).

Results
Genetic linkage analysis. Three unrelated families with at least 2 members affected by ADC (7, 8, 19) (Figure 1), 1 sporadic case, and 2 prenatal cases were available for analysis (20, 21). DNA from family 1 was used for a genome-wide scan, which revealed linkage to chromosome 17q21 (Figure 2A). Fine mapping reduced the size of the linked region to a 2.3-Mb interval delimited by markers D17S1868 and D17S1877. The maximal LOD score obtained within this region by 2-point analysis was 6.78 for marker D17S1795 (θ = 0), which is only slightly lower than the maximal theoretical LOD score of 7.12. Nucleotide sequence analysis of 13 candidate genes within the linked region (GNGT2, NESH, PHB, LOC81558, ITGA3, LOC84687, XT2, PRO1855, CHAD, EPN3, FLJ21347, OA48-18, and TOBI) did not reveal any heterozygous mutations that segregated with ADC. However, affected individuals and obligate carriers were heterozygous for a missense mutation in exon 41 of COL1A1 (also referred to as exon 42, corresponding to the exon structure of COL1A2, which contains 1 additional exon; ref. 22) (Figure 3). This C→T transition at nucleotide position 3040 of the cDNA (relative to the translation initiation codon), which alters codon 1014 of prepro-α1(I) mRNA, was predicted to produce an R836C amino acid substitution within the triple-helical domain of the α1(I) chain of collagen. The nucleotides were numbered relative to the ATG start site of prepro-α1(I) cDNA, and amino acid residues were numbered relative to the first glycine residue of the main triple-helical domain of the α1(I) protein chain (22).

To confirm the mutation identified by sequence analysis, a 495-bp fragment comprising exon 41 was PCR amplified and digested with HpyCH4IV (AGCT). The PCR product derived from the mutant allele lacked 1 recognition site for HpyCH4IV, thus generating an additional DNA fragment of 319 bp (Figure 2B). The same COL1A1 mutation was also found in the 3 affected members but not in the unaffected father of family 2 (Figure 2C). Furthermore, the mutation was found in the clinically affected identical twins but not in the clinically unaffected parents and brother of family 3 (Figure 2D). The affected twins and the unaffected sibling had inherited identical parental alleles in this region. Consequently, the clinically affected twins were likely to have acquired a de novo COL1A1 mutation.

Haplotype analysis of the affected members of families 1–3 did not reveal any shared alleles, which supported the historical evidence that the 3 families that were heterozygous for the R836C substitution were not related to each other. The 3040C→T transition was not detected in genomic DNA from more than 150 healthy controls (more than 300 chromosomes), in SNP databases (dbSNP, http://www.ncbi.nlm.nih.gov/SNP; JSNP, http://snp.ims.u-tokyo.ac.jp; Celera Discovery System, http://publication.celera.com/humanpub/index.jsp; and Applied Biosystems SNPbrowser Software, http://marketing.appliedbiosystems.com/mk/get/snpb_landing), or in the Mutations in COL1A1 database (http://www.le.ac.uk/graphics/collagen/coll1a1.html) (22). The latter findings suggest that the 3040C→T transition in exon 41 of COL1A1 and the resulting R836C amino acid substitution within the triple-helical domain of the α1(I) chain can be a cause of ADC.

A possible sporadic case of Caffey disease with hyperostosis of a rib did not have any demonstrable mutations in COL1A1 or the COL1A2 gene, which encodes the α2(I) chain of type I collagen. Mutations of COL1A1 or COL1A2 were also not detected in 2 cases of prenatal Caffey disease (20, 21).

Dermal collagen morphology and collagen biosynthesis. To further investigate whether the COL1A1 mutation results in changes in type I collagen, we obtained skin biopsies from an affected adult female in family 1 (IV-2). This individual had an episode of infantile cortical hyperostosis and from early childhood had clinical signs of hyperextensible skin and generalized joint instability, as well as a history of several fractures. As an adult, she had the same clinical signs, as well as more severe voluntary subluxation of the shoulders. Electron microscopy of her dermis showed normal fibroblast morphology (data not shown). The collagen fibrils were more variable in shape and size and were less densely thickened in the proximal to the distal growth plates (open arrows). The bone marrow cavities were also narrowed (filled arrows).
packed (Figure 4A) than fibrils in age- and sex-matched control dermal samples (Figure 4B). The fibrils were also significantly larger and were more variable in size in the affected individual (Caffey disease, 108 ± 15 nm; control, 91 ± 8 nm; n = 1,000; P < 0.001). Granular material was visible in the matrix surrounding many of the collagen fibrils (Figure 4A).

One-dimensional gel electrophoresis showed that cultured dermal fibroblasts from the proband of family 1 produced a mixture of apparently normal type I, III, and V collagens as well as an abnormal disulfide-linked dimer (Figure 5A). As the dimer was likely to include 2 \( \alpha_1(I) \) chains bearing the R836C substitution, it was designated \( \beta_11' \). Two-dimensional gel electrophoresis showed that the abnormal \( \beta_11' \) dimers dissociated after reduction of disulfide bonds into \( \alpha_1(I) \) chains that were designated as \( \alpha_1(I)' \) chains (Figure 5B). Although \( \beta \)-aminopropionitrile, an inhibitor of the formation of lysine-derived collagen cross-linkages, was added to the cultures, a small amount of dimeric collagen chains (\( \beta_11 \) and \( \beta_12 \)) was formed. These lysine-derived crosslinked dimers do not dissociate into \( \alpha \) chains after reduction of disulfide bonds with dithiothreitol.

**Genotype/phenotype correlations.** Of the 24 members of family 1 in our study with the R836C mutation, only 19 members had experienced an episode of cortical hyperostosis, while 5 were obligate carriers. Thus, 79% of the individuals who were heterozygous for the COL1A1 mutation had an episode of cortical hyperostosis, and 21% of them did not. Detailed interviews of family members did not reveal any precipitating events other than the consistent report that the onset of cortical hyperostosis usually occurred before 5 months of age. None of the children had been given prostaglandins, which can induce subperiosteal new bone formation in neonates (23). The clinical course of the hyperostotic episode was often protracted but resolved after about 2 years. By mid- to late childhood, the hyperostotic long bones in the affected children of families 2 and 3 had remodeled extensively. However, in each affected bone, the central portion of the diaphysis, corresponding to the complete diaphysis of infancy, showed mild external and internal thickening of the cortex. In contrast, the diaphyseal and metaphyseal bone above and below the central region, which would have formed after the episode of hyperostosis, was radiographically normal (data not shown). The epiphyses and growth plates were also radiographically normal.

In family 1, individuals with the R836C substitution (affected individuals and obligate carriers) had varying degrees of joint hyperlaxity that was first observed in early childhood (24). The hyperlaxity was apparent in large and small joints and allowed some individuals bearing the Caffey allele to voluntarily sublux...
their shoulders and finger joints (Figure 6). Instability of the joints, particularly the shoulder joints, worsened with age. These individuals had abnormally soft and hyperextensible skin, which was otherwise normal in appearance. They did not bruise easily. Members of family 1 who did not bear the Caffey allele did not have the skin or joint anomalies. The 3 members of family 2 with the R836C mutation (see Figure 2C) also had hyperextensible skin, joint hyperlaxity, and inguinal hernias, while the identical twins in family 3 did not demonstrate such anomalies.

None of the affected individuals or obligate carriers in any of the families had clinical signs of the major type I collagen disorder, osteogenesis imperfecta (25). In particular, they lacked gray-blue sclerae, dentinogenesis imperfecta, premature hearing loss, short stature, deformities, and scoliosis (25, 26). Skull radiographs from the clinically affected identical twins did not contain wormian bones, which can occur in patients with osteogenesis imperfecta (25, 26). Neither of the twins had radiographic evidence of platyspondyly, which is also a common feature of osteogenesis imperfecta. In family 1, 9 of the 18 individuals with Caffey disease, for whom detailed fracture histories were available, had a total of 14 peripheral fractures (1–4 in each). Bone mineral density was assessed in 1 affected adult with Caffey disease from family 1 and the results were within normal limits for the lumbar spine and femoral neck; spinal radiographs in this patient showed no evidence of platyspondyly or compression fractures.

Discussion

In family 1, we mapped ADC to an interval on chromosome 17q21. All affected individuals and obligate carriers were heterozygous for a 3040C→T transition in a CpG dinucleotide of exon 41 of COL1A1, which encodes the α1(I) chain of type I collagen. The same 3040C→T transition was also present in affected members of families 2 and 3. The recurrent nature of the mutation indicates that the involved CpG dinucleotide is a mutational “hot spot” in COL1A1 (27–29). The mutation is predicted to introduce an R836C substitution into the triple-helical domain of the collagen fibril (28–30). The R836C amino acid substitution changes the X position of one of the 338 Gly-X-Y repeating amino acid triplets of the α1(I) chain of type I collagen.

Nucleotide sequence analysis of portions of exon 41 of COL1A1 and adjacent intronic regions of an affected individual from family 1. The individual was heterozygous for the transition, which is indicated by the arrow, and the approximate location of both primers for PCR amplification is indicated by arrowheads within the schematic, partial drawing of COL1A1. Partial nucleotide sequence of wild-type and mutant (mut) exon 41 (capital letters), as well as adjacent intronic sequence (lowercase letters), along with the encoded amino acid sequence, are shown. The 3040C→T transition (indicated by an asterisk), which alters the first nucleotide of the second-to-last codon in exon 41, is predicted to result in the substitution of an arginine (R) at position 836 of COL1A1, which is shown schematically in Figure 3. The approximate location of the R836C mutation within the triple-helical region of the collagen fibril is schematically shown [bottom: thin lines, α1(I) chains; thick line, α2(I) chain], as is the location of the R134C mutation previously described in 2 unrelated patients with Ehlers-Danlos syndrome type I (31).

Figure 3

Dermal ultrastructure from proband IV-2 of family 1. (A) Ultrastructure of the extracellular matrix of the proband’s dermis (magnification, ×60,000). The collagen fibrils are more variable in shape and size and are less densely packed than in control samples. The fibrils are also larger (Caffey disease, 108 ± 15 nm; control, 91 ± 8 nm; n = 1,000, P < 0.0001). Granular material is visible in the matrix surrounding the collagen fibrils. (B) Ultrastructure of control dermis. In contrast to the proband’s dermis, the collagen fibrils in the control dermis are round, uniform in size, tightly packed, and are not surrounded by granular material.
Cysteine residues are normally present in the amino and carboxyl propeptides of type I procollagen chains, but they are removed when type I procollagen is enzymatically processed to type I collagen (30). While many G→C mutations have been described in osteogenesis imperfecta, only 1 other R→C amino acid substitution has been reported in the α1(I) chain of type I collagen. Two unrelated patients with classical Ehlers-Danlos syndrome type 1 were heterozygous for a 934C→T transition at a CpG dinucleotide in exon 14 of COL1A1 (31). The resulting amino acid substitution, R134C, also involved the X position of a Gly-X-Y triplet within the main triple-helical domain of the α1(I) chain of type I collagen (Figure 3). Ultrastructural studies of skin biopsies from these patients revealed variability in diameter and irregularity of dermal collagen fibrils, with granulofilamentous material visible along the collagen fibrils (similar to what is shown in Figure 4A), and collagen biosynthetic studies using fibroblast cultures bearing the R134C substitution also showed disulfide-bonded α1(I) dimers within the fibroblasts. Thus, the ultrastructural and biosynthetic anomalies produced by the R134C and the R836C substitutions were similar. However, in contrast to the R134C substitution that was associated with a classical Ehlers-Danlos syndrome type I phenotype (soft, velvety, and hyperextensible skin; numerous atrophic paper scars of the skin; ecchymoses on the legs; and generalized joint hyperlaxity), the present R836C...
substitution was associated with episodes of infantile cortical hyperostosis, and 2 of the 3 Caffey families showed manifestations of Ehlers-Danlos syndrome type III (24). Interestingly, neither R→C mutation was associated with osteogenesis imperfecta.

There are several possible mechanisms by which the R836C mutation could result in the observed ADC phenotype. The analysis of collagen fibrils in skin fibroblasts showed increased disulfide crosslinking, either within or between mutant collagen fibrils, which may be responsible for the observed alterations in collagen architecture. Disulfide crosslinking may also occur between the mutant collagens and other cysteine-containing proteins in the bone matrix. The Caffey mutation may furthermore disrupt an important site of interaction with other peptides or proteins. Arg836 is located within the carboxyterminal cyanogen bromide peptide 6 (CB6) of the α1(I) chain, which has been shown to bind with high affinity to IL-2 and to the amyloid protein precursor (APP) (32–34). However, the CB6 peptide comprises 218 amino acid residues, i.e. approximately 21% of the α1(I) chain (22), and the specific binding sites for IL-2 and APP within the CB6 peptide are currently unknown. It also is plausible that the R836C substitution within the α1(I) chain reduces the thermal stability of the collagen triple helix. Based on studies with a host-guest collagen peptide, Ac-(Gly-Pro-Hyp)-Gly-X-Y-(Gly-Pro-Hyp)-Gly-Gly-CONH₂, in which an arginine residue had been replaced with a cysteine residue in the X position (30, 35), the R836C mutation identified in Caffey patients would be predicted to decrease the melting temperature of the collagen triple helix by 4.5°C. This change could provide another possible explanation for the observed alterations in collagen architecture. Last, prostaglandins may be involved in the development of hyperostosis, as short-term PGE1 or PGE2 administration for the treatment of ducal-dependent cardiac lesions typically leads to bone changes that resemble those present in ADC (12–14, 36). The inflammatory signs that often accompany the hyperostotic lesions in Caffey disease support this hypothesis. However, examination of additional ADC families, as well as in vitro and in vivo studies, are needed to help define the mechanism(s) that lead to the disease phenotype.

While the hyperostotic lesions in ADC occur only in infancy, the mutant α1(I) chain is presumably present in the bone matrix throughout life. Based on our radiographic observations, detachment of the periosteum from the underlying bone appears to be a key pathological process in the onset of episodes of cortical hyperostosis. However, there was no consistent reporting of events in our families that may have precipitated this periosteal detachment. The only recurrent finding was the onset of episodes of cortical hyperostosis within the first 5 months of postnatal life, which suggests that infants in this age group may have an increased susceptibility to periosteal injury. Consistent with this hypothesis, a radiographic study of babies who died of sudden infant death syndrome between 1 and 4 months of age showed that mild subperiosteal new bone formation, which was distinct from the underlying diaphysis and metaphysis, had occurred in the long bones of 35% of the cases (37). Furthermore, large amounts of subperiosteal new bone characteristically accompany healing fractures (38), osteomyelitis (39), and scurvy (40, 41) in neonates and infants. In all of these conditions, the bone can enlarge in diameter by several fold but remodels over the following 2 years, similar to what occurs with the hyperostotic lesions in Caffey disease. In contrast, subperiosteal elevation is more limited in older children with fractures and osteomyelitis (42). Thus, intrinsic differences in periosteal bone formation in infants and adults may explain the absence of hyperostotic lesions in adults with the R836C mutation. Furthermore, differences in the clinical threshold for periosteal detachment, influenced by the genetic background and/or environmental exposures, may explain the incomplete penetrance of the hyperostotic phenotype. Similar explanations have been provided to account for the widely differing fracture rates among affected individuals in families with classical osteogenesis imperfecta type I due to autosomal dominant COL1A1 haploinsufficiency (26, 43, 44).

Our clinical evaluations of the affected individuals in the 3 families have extended the reported phenotype of Caffey disease (1). Individuals bearing the Caffey allele in 2 of the 3 families had features that were similar to those observed in patients with Ehlers-Danlos syndrome type III (24), and the absence of such features in the third family may be attributed to the current young age of the affected individuals. The relatively high number of fractures in family 1 suggested that bone fragility may also be part of the phenotype. However, bone densitometry studies in an affected adult patient (IV-2) were found to be normal, and similar fracture rates can occur in the normal population (45). Nonetheless, bone health of the ADC families requires further study, as radiographs taken during the episodes of cortical hyperostosis show that abnormalities in cortical and endosteal bone accompany the more obvious formation of subperiosteal new bone.

In summary, ADC is associated with a mutation in COL1A1 and thus belongs to the family of type I collagen–related conditions that include osteogenesis imperfecta types I–IV (46); Ehlers-Danlos syndrome types I (31) and VII (47, 48) and a recessive cardiac valvular form (49); idiopathic osteoporosis (50); arterial dissections (51); and dermatofibrosarcoma protuberans, which was found to be associated with translocations between chromosomes 17 and 22 leading to the production of a fusion protein consisting of α1(I) collagen and PDGF-B (52). The findings in the present study extend the phenotypic spectrum of disorders associated with genetic changes in the type I collagen genes to include a hyperostotic disorder, but it remains uncertain how the R836C mutation results in a temporally and spatially limited defect in bone.

Methods

Families with ADC. A large, 3-generation Canadian ADC family (family 1) was ascertained, and historical details concerning episodes of infantile cortical hyperostosis were obtained from family members. Previous reports on this family also included detailed accounts of all episodes of possible infantile cortical hyperostosis (7, 8). Sources of information were combined in order to validate disease assignments within the pedigree. For the purposes of genetic linkage analysis, individuals in this family were designated as affected if they had radiographically proven Caffey disease (affected members) or if they had children diagnosed with Caffey disease (obligate carriers). Genomic DNA from 24 such individuals was available for study. Unrelated spouses were designated as unaffected.

Besides family 1, two additional small kindreds (families 2 and 3) were ascertained, which had 3 and 2 affected members, respectively. The small Canadian kindred (family 2) included the mother and her 2 children (see Figure 2C), who were clinically and radiographically affected by Caffey disease (see Figure 1), while the small Australian family (family 3) consisted of affected monozygotic twins, a healthy brother, and healthy parents (see Figure 2D) (19). A single sporadic case had hyperostosis of a rib, which was possibly due to ADC. Furthermore, 2 sporadic cases of the more severe...
Dermal histology and fibroblast cultures. Skin biopsies were obtained from the proband (IV-2) of family 1. We processed 1 biopsy for electron microscopy using previously described methods (54). The same methods were used to process the patient and control samples for electron microscopy. The second biopsy specimen was used to establish fibroblast cultures, which were grown to confluency in the presence of β-aminopropionitrile, which blocks the formation of lysine-derived cross-linkages, and ascorbate, which is required for normal prolyl- and lysyl-hydroxylations of collagen. The cultures were analyzed 5–7 days after plating, as previously described (55, 56). Fibroblast cultures from an age- and sex-matched individuals served as controls. Cell layer and medium fractions were analyzed separately, and the procollagens were converted to collagen by limited pepsin digestion. The collagens were resolved by 1-dimensional and 2-dimensional SDS-PAGE and stained with Coomassie G-250 (Invitrogen Corp.) (28). Where applicable, disulfide bonds were reduced with DTT.

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