The natural history of insulin secretory dysfunction and insulin resistance in the pathogenesis of type 2 diabetes mellitus

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The pathogenesis of type 2 diabetes involves abnormalities in insulin action, insulin secretion, and endogenous glucose output (EGO). However, the sequence with which these abnormalities develop and their relative contributions to the deterioration in glucose tolerance remain unclear in the absence of a detailed longitudinal study. We measured insulin action, insulin secretion, and EGO longitudinally in 17 Pima Indians, in whom glucose tolerance deteriorated from normal (NGT) to impaired (IGT) to diabetic over 5.1 ± 1.4 years. Transition from NGT to IGT was associated with an increase in body weight, a decline in insulin-stimulated glucose disposal, and a decline in the acute insulin secretory response (AIR) to intravenous glucose, but no change in EGO. Progression from IGT to diabetes was accompanied by a further increase in body weight, further decreases in insulin-stimulated glucose disposal and AIR, and an increase in basal EGO. Thirty-one subjects who retained NGT over a similar period also gained weight, but their AIR increased with decreasing insulin-stimulated glucose disposal. Thus, defects in insulin secretion and insulin action occur early in the pathogenesis of diabetes. Intervention to prevent diabetes should target both abnormalities.

progress from NGT to IGT to diabetes (2, 12, 40, 41). Such studies are only feasible in populations with a high incidence of diabetes where subjects can be followed closely over several years (30, 33, 42). In 1982, a detailed longitudinal study was initiated among the Pima Indians of Arizona, a population with the highest documented prevalence of type 2 diabetes in the world (28).

Methods

Subjects. By 1998, 404 individuals with NGT from the Gila River Indian Community had been enrolled in the study. The study protocol was approved by the Institutional Review Board of the National Institutes of Health and by the Tribal Council of the Gila River Indian Community. After giving written informed consent, participants underwent a thorough medical evaluation, including a medical history, physical examination, and routine blood and laboratory tests. Subjects were then admitted for 8–15 days to the Clinical Research Unit of the National Institute of Diabetes and Digestive and Kidney Diseases in Phoenix, Arizona. After at least 3 days on a weight-maintaining diet (43), a series of tests were conducted to assess body composition, body-fat distribution, glucose tolerance, insulin action, insulin secretion, and EGO. Subsequently, subjects were invited back at approximately annual intervals and the entire series of tests was repeated. Of the 114 subjects who were evaluated on at least 3 occasions, 17 (12 females and 5 males; 26.4 ± 6.4 years old, mean ± SD) were studied at least once at each stage during the progression from NGT to IGT to diabetes (progressors). Results in this group were compared with those in a group of 31 subjects (10 females and 21 males, 23.9 ± 4.4 years old) who retained NGT on at least 3 consecutive evaluations (nonprogressors). In the progressors, the time intervals from NGT to IGT (Δ1), from IGT to diabetes (Δ2), and from NGT to diabetes (Δ3) were 1.8 ± 0.8 years, 3.3 ± 1.4 years, and 5.1 ± 1.4 years, respectively. These intervals were not significantly different from those between evaluations in the nonprogressors (Δ1: 2.3 ± 1.2 years, Δ2: 2.6 ± 2.0 years, Δ3: 4.9 ± 2.3 years). Progressors were studied an average of 5.4 times and nonprogressors an average of 4.4 times over the course of the study. None of the subjects took oral hypoglycemic agents, insulin, or other medications that are known to alter glucose or insulin metabolism.

Anthropometric measurements. Body density was estimated by underwater weighing with simultaneous determination of residual lung volume by helium dilution (44); percent body fat, fat mass, and fat-free mass were calculated as described (45). Waist and thigh circumferences were measured at the umbilicus and the gluteal fold in the supine and standing positions, respectively; the waist-to-thigh ratio was calculated as an index of body-fat distribution (46).

Oral glucose-tolerance test. After an overnight fast, subjects underwent a 75-g oral glucose-tolerance test (5). Plasma samples were drawn at baseline and after 30 and 120 minutes for determination of plasma glucose and insulin concentrations. Glucose tolerance was classified according to the 1985 World Health Organization criteria (5).

Two-step hyperinsulinemic, euglycemic glucose clamp. Insulin action was assessed at physiologic and supraphysiologic insulin concentrations during a 2-step hyperinsulinemic, euglycemic glucose clamp, as described (30, 47). In brief, after an overnight fast, a primed, continuous intravenous insulin infusion was administered for 100 minutes at a rate of 40 mU/m² body surface area per minute (low dose), followed by a second 100-minute infusion at a rate of 400 mU/m² body surface area per minute (high dose). These infusions achieved steady-state plasma insulin concentrations of 840 ± 252 pmol/L and 13,332 ± 3,582 pmol/L (mean ± SD), respectively. Insulin concentrations achieved during the clamp did not differ between groups or over time. Plasma glucose concentrations were maintained at approximately 5.5 mmol/L with a variable infusion of a 20% glucose solution. As described previously (30, 47), the rate of total insulin-stimulated glucose disposal (M) was calculated for the last 40 minutes of the low-dose and high-dose insulin infusions, and corrected for EGO (assumed to be 0 during the high dose). Rates of insulin-stimulated oxidative and nonoxidative glucose disposal were determined by indirect calorimetry using a ventilated hood system (48). EGO was determined at baseline and during the low-dose insulin infusion using a primed (30 μCi), continuous (0.3 μCi/min) 3-3H-glucose infusion (30, 47). Suppression of EGO at the end of the low-dose insulin infusion was calculated as percentage of change from baseline. All measurements derived from the glucose clamp were normalized to estimated metabolic body size (EMBS, or fat-free mass + 17.7 kg) (49).

Table 1

Anthropometric changes (mean ± SD) during the development of type 2 diabetes in 17 Pima Indian subjects during the progression from NGT to IGT and then to type 2 diabetes (DIA) (progressors), compared with 31 Pima Indian subjects who retained NGT over a comparable time period (nonprogressors)

<table>
<thead>
<tr>
<th></th>
<th>Progressors (n = 17)</th>
<th>Nonprogressors (n = 31)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NGT</td>
<td>IGT</td>
</tr>
<tr>
<td>Body weight (kg)</td>
<td>93.7 ± 17.0</td>
<td>99.3 ± 17.9***</td>
</tr>
<tr>
<td>Body fat (%)</td>
<td>58 ± 6</td>
<td>59 ± 6</td>
</tr>
<tr>
<td>Fat mass (kg)</td>
<td>36.3 ± 9.8</td>
<td>38.6 ± 10.0***</td>
</tr>
<tr>
<td>Fat-free mass (kg)</td>
<td>60.7 ± 10.0</td>
<td>60.7 ± 11.4***</td>
</tr>
<tr>
<td>Waist-to-thigh ratio</td>
<td>1.67 ± 0.16</td>
<td>1.73 ± 0.15*</td>
</tr>
</tbody>
</table>

Asterisks indicate significant differences between the 3 stages within each group (*P < 0.05, **P < 0.01, ***P < 0.001). The P values refer to the overall time effect within each group. The results of the between-group comparison (time × group effect, adjusted for age and sex) are given in the far-right column.
Table 2
Changes in plasma glucose and insulin concentrations (mean ± SD) in response to a 75-g oral glucose-tolerance test in 17 Pima Indian subjects during the progression from NGT to IGT to type 2 diabetes (progressors), compared with 31 Pima Indian subjects who retained NGT over a comparable time period (nonprogressors).

<table>
<thead>
<tr>
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<th>Progressors (n = 17)</th>
<th>Nonprogressors (n = 31)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NGT</td>
<td>IGT</td>
</tr>
<tr>
<td><strong>Plasma glucose concentration (mmol/L)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fasting</td>
<td>5.1 ± 0.7</td>
<td>5.6 ± 0.6**</td>
</tr>
<tr>
<td>30-minute</td>
<td>7.3 ± 0.7</td>
<td>8.9 ± 0.6***</td>
</tr>
<tr>
<td>2-hour</td>
<td>6.8 ± 0.6</td>
<td>9.1 ± 0.7***</td>
</tr>
<tr>
<td><strong>Plasma insulin concentration (pmol/L)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fasting</td>
<td>240 ± 108</td>
<td>270 ± 102</td>
</tr>
<tr>
<td>30-minute</td>
<td>1,656 ± 612</td>
<td>1,674 ± 894</td>
</tr>
<tr>
<td>2-hour</td>
<td>1,314 ± 828</td>
<td>1,632 ± 804</td>
</tr>
</tbody>
</table>

Asterisks indicate significant differences within each group. *P < 0.05, **P < 0.01, ***P < 0.001. The P values refer to the overall time effect within each group. The results of the between-group comparison (time × group effect, adjusted for age and sex) are given in the far-right column.

Intravenous glucose tolerance test. Insulin secretion was measured in response to a 25-g intravenous glucose bolus (50) in 11 of the 17 progressors and 23 of the 31 nonprogressors. The acute insulin secretory response (AIR) to intravenous glucose was calculated as the average incremental plasma insulin concentration from the third to the fifth minute after the glucose bolus (50). The product of AIR and (M-low) was calculated as an integrated measure of insulin secretion and insulin action, and is referred to as the disposition index, as suggested by Bergman (51, 52).

Analytic procedures. Plasma glucose concentrations were determined by the glucose oxidase method (Beckman Instruments Inc., Fullerton, California, USA). Plasma insulin concentrations were measured by radioimmunoassay, using either the Herbert modification (53) of the method of Yalow and Berson (54), or an automated analyzer (Concept 4; ICN Radiochemicals Inc., Costa Mesa, California, USA).

Statistical analyses. Statistical analyses were performed using the procedures of the SAS Institute Inc. (Cary, North Carolina, USA; ref. 55). In the progressors, the following conventions were used. If subjects had several consecutive studies while having NGT, IGT, or diabetes, the results of the respective visits were averaged. If subjects temporarily improved from IGT to NGT (5 subjects) or from diabetes to IGT (6 subjects), the results of these visits were excluded from the analysis, because the metabolic characteristics after the temporary deterioration of glucose tolerance. In the group of nonprogressors, results from all subjects with more than 3 visits were averaged in a manner that aimed to best match the time intervals over which the progressors were studied. Within-group comparisons — changes during transition from NGT to IGT (A1), from IGT to diabetes (A2), and from NGT to diabetes (A3) — were performed by paired t tests. The overall time effect was examined by repeated-measures ANOVA. Between-group comparisons were made by unpaired t tests (to test whether changes between the 3 stages differed between the 2 groups) and by repeated-measures ANOVA (overall time × group effect). All group comparisons were adjusted for age and sex. The statistical results were similar irrespective of whether or not they were adjusted for sex. Results are given as mean ± SD in the text and tables, and as mean ± SEM in Figure 1.

Results

Anthropometry. Body weight increased in both groups during the study, but the increase was 2-fold greater in the progressors (+14%) than in the nonprogressors (+7%; Table 1). Both fat mass and fat-free mass increased significantly more in the progressors than in the nonprogressors. Percent body fat and waist-to-thigh ratio also increased in both groups, but these changes were similar in the progressors and nonprogressors (Table 1).

Oral glucose tolerance. By design, plasma glucose concentrations increased steadily in the progressors and not in the nonprogressors (Table 2). The mean fasting plasma insulin concentration increased in both groups over time, but the increase was greater in the progressors (+7%), in whom the greatest change occurred during the transition from IGT to diabetes (Table 2). The mean 30-minute insulin concentration decreased in the progressors (–24%), also primarily during the transition from IGT to diabetes, whereas the mean 2-hour insulin concentration did not change significantly (+32%; Table 2). In the nonprogressors, there was no change in the 30-minute insulin concentration, but the 2-hour insulin concentration increased by 31% (Table 2).

Insulin-stimulated glucose disposal. On average, M-low decreased in both groups over time (Figures 1 and 2). The overall decline in M-low in the progressors (–14%) was not different from that in the nonprogressors (–11%). Maximally insulin-stimulated glucose disposal (M-high) decreased by 31% overall in the progressors (P < 0.001) and remained unchanged in the nonprogressors (P > 0.0001 for time × group effect; Figure 1). The decrease in M-high in the progressors occurred in similar proportions during the transition from NGT to IGT (–12%, P < 0.01) and from IGT to diabetes (–19%, P < 0.01; Figure 1). The declines in M-low and M-high were accounted for almost entirely by decreases in nonoxidative glucose disposal; oxidative glucose disposal remained unchanged (Figure 1).
Changes in insulin action (top 2 rows), insulin secretion (third row), and EGO (bottom row) in 17 Pima Indian subjects in whom glucose tolerance deteriorated from normal (NGT) to impaired (IGT) to diabetic (DIA) over 5.1 ± 1.4 years (progressors, left), compared with changes in 31 Pima Indian subjects who retained NGT over a comparable interval (4.8 ± 2.3 years) (nonprogressors, right). The AIR was measured in 11 and 23 subjects, respectively. Group results are given as columns (mean ± SEM); individual results are given in the insets at the upper right corners. Asterisks indicate significant differences between the 3 stages within each group (* $P < 0.05$, ** $P < 0.01$). The results of the between-group comparison are given in the center tables.
AIR. In the progressors, AIR decreased by 27% during the transition from NGT to IGT ($P < 0.05$), and by a further 5% (for a total decrease of 78%) during progression from IGT to diabetes ($P < 0.01$) (Figures 1 and 2). In the nonprogressors, AIR did not change during progression from NGT to IGT, but increased by 30% overall ($P < 0.05$ for time effect). The change in AIR differed significantly between the two groups ($P < 0.0001$ for overall group effect; Figure 1).

Disposition index. In the progressors, the disposition index [measured as $(\text{U} \times \text{mg})/(\text{mL} \times \text{kg EMBS} \times \text{minute})$] decreased by 31.5%, from $417 \pm 168$ to $286 \pm 163$ during the transition from NGT to IGT ($P < 0.05$). During progression from IGT to diabetes, the disposition index decreased by an additional 47.5%, to $88 \pm 78$ ($P < 0.01$), for a total decrease of 79% ($P < 0.0001$ for overall time effect). In the nonprogressors, the disposition index did not change over time ($691 \pm 463, 632 \pm 379, 788 \pm 520$, respectively; $P = \text{NS}$). Consequently, the changes in the disposition index differed significantly between the two groups ($P < 0.0001$ for time × group effect).

EGO. Basal EGO did not change during the transition from NGT to IGT in the progressors, but increased by 15% during the transition from IGT to diabetes (Figure 1). In parallel, EGO became less suppressible by insulin (from 75% to 62%, $P < 0.01$; Figure 1). No changes in basal EGO or its suppression by insulin occurred in the nonprogressors ($P < 0.01$ for time × group effect; Figure 1).

Discussion

The development of type 2 diabetes mellitus is characterized by a progressive deterioration of glucose tolerance over several years (1–6). Cross-sectional and prospective data suggest that body-weight gain, defects in insulin secretion and insulin action, and an increase in EGO occur in the early stages of the development of type 2 diabetes. This agrees with our previous observations (56) and those of others (57, 58) that subjects with type 2 diabetes are resistant to the stimulating effect of insulin on skeletal muscle glycogenolysis. The 14% decrease in glucose uptake at physiological insulin levels (M-low) in the progressors was smaller than the decrease in M-high (–31%) and did not differ from that observed in the nonprogressors. As illustrated in Figure 1, M-low was initially very low in the progressors, barely exceeding basal EGO. Further declines in M-low were therefore necessarily limited in this group. Under these circumstances, M-high may better reflect changes in insulin action.

Insulin secretion. The 27% decrease in the AIR to glucose during the transition from NGT to IGT unequivocally demonstrates that defects in insulin secretion occur at an early stage during the development of type 2 diabetes. This conclusion differs from that of an earlier publication of our group (59). In 24 Pima Indians who were followed only during the transition from NGT to IGT, progression was accompanied by a decrease in insulin-stimulated glucose disposal, but not in the AIR (59). Because it was unknown how many of the subjects in that study would ultimately develop diabetes, the results may have been affected by inclusion of subjects with only a transient deterioration of glucose tolerance. To address this issue, we identified 15 subjects in our longitudinal study in whom glucose tolerance deteriorated from normal to impaired, but then reverted to normal over a comparable time period (3.8 ± 0.8 years). Despite a deterioration of glucose tolerance mmol/L increment in the 2-hour glucose concentration, the transient worsening from NGT to IGT was not associated with changes in the AIR in these subjects. This underscores the importance of following subjects throughout the complete progression from NGT to diabetes. During the transition from IGT to diabetes, the AIR decreased an additional 57%. Concomitantly, the 30-
minute insulin response to oral glucose decreased, although to a lesser extent (–24%).

EGO. EGO did not change during the transition from NGT to IGT, but increased by 15% with further progression to diabetes (which was assessed at an early and relatively mild stage [mean fasting-glucose concentration: 6.7 mmol/L]). At the same time, basal EGO became less suppressible by insulin, suggesting that the increased EGO was, at least in part, the result of decreased insulin sensitivity of the liver. These findings confirm the results of cross-sectional studies demonstrating elevated EGO in individuals with type 2 diabetes, but not in those with IGT, and also explain why basal EGO is not predictive of developing diabetes (30). Thus, an increase in EGO represents a relatively late event in the pathogenesis of type 2 diabetes.

Relation between changes in insulin secretion and insulin action

In addition to assessing the temporal sequence of changes in insulin secretion and action, the simultaneous and repeated measurement of both variables in this study also allowed us to elucidate their interaction during the progression to diabetes. It is well known that there is a hyperbolic relationship between early insulin secretion and insulin action in individuals with NGT, meaning that, cross-sectionally, insulin secretion increases with decreasing insulin action and vice versa (51, 52). Figure 2 depicts this hyperbolic relationship between AIR and M-low in 277 Pima Indians with NGT. To illustrate how abnormalities in insulin secretion and insulin action develop relative to each other during the development of diabetes, we superimposed the longitudinal changes in AIR and M-low in the progressors and nonprogressors on this graph. In the nonprogressors, AIR increased with decreasing M-low; as a result, these individuals remained well within the normal range. In the progressors, AIR, in absolute terms, was comparable to AIR in the nonprogressors at baseline. Relative to their degree of insulin resistance, however, AIR was already too low in the progressors, who thus fell well below the 95% confidence interval while still having NGT. This finding is consistent with our previous prospective study in Pima Indians, which demonstrated in a larger number of subjects that both low insulin action and a low relative AIR are predictive of diabetes. The new information provided by this longitudinal study is that in addition to those primary defects, both insulin action and insulin secretion deteriorate further early in the development of the disease (i.e., during transition from NGT to IGT). Both of these early secondary defects contributed to the progressors’ move away from the normal range (95% confidence interval) (Figure 2). Thus, the inability of insulin secretion to compensate for a decrease in insulin action distinguishes individuals who develop diabetes from those who retain NGT. This is also reflected in the change in the disposition index, an integrated measure of insulin secretion and insulin action (51, 52). Although in the nonprogressors, the disposition index remained unchanged owing to the compensatory increase in AIR with decreasing M-low, it progressively decreased in the progressors as individuals made the transition from NGT to IGT to diabetes.

Our study suggests, but cannot ultimately prove, that an early defect in AIR contributes to the development of diabetes. However, other evidence provides strong support for the physiological importance of early insulin secretion in maintaining NGT (60–62). For example, inhibition of the AIR by somatostatin in normal volunteers caused a marked increase in the glycemic excursion that is associated with late hyperinsulinemia during an oral glucose-tolerance test (60). Blunted AIR also impairs the suppression of EGO by insulin (61). Thus, key metabolic characteristics of early type 2 diabetes can be reproduced by a loss of early insulin secretion. Conversely, experimental augmentation of early insulin secretion by intravenous insulin infusion markedly improved the postprandial glycemic response to a mixed meal in patients with type 2 diabetes (62). These results, together with prospective findings that a relatively low AIR predicts diabetes, strongly suggest that an early decrease in AIR (as observed in this study) plays an important role in decreasing glucose tolerance. Therapeutic approaches that aim to reestablish a normal insulin secretion pattern may therefore prove effective in improving glucose tolerance, and even in preventing diabetes.

The mechanisms underlying the progressive defects in insulin action and early insulin secretion are unknown. Prolonged hyperglycemia can cause a defect in insulin action (8) and insulin secretion (the glucose toxicity theory; refs. 1, 41, 63). However, this mechanism is unlikely to be the explanation for the observed decrease in AIR during the transition from NGT to IGT in the progressors, because individuals with only a transient deterioration to IGT showed no decrease in AIR, despite a comparable glycemic deterioration. Elevated
FFA concentrations have also been suggested to have a detrimental effect on insulin action and early insulin secretion (7, 64); lowering FFAs increased the AIR in first-degree relatives of individuals with type 2 diabetes (65). It has also been proposed that chronic hypersecretion of insulin — manifested by fasting hyperinsulinemia — leads to impaired insulin action and an exhaustion of pancreatic β cells (7, 8), possibly by decreasing the amount of insulin available for immediate release in response to an acute stimulus.

Significantly more women than men were represented in the group of progressors than in the group of non-progressors, which could have affected our findings. However, this is unlikely, because results were consistent whether or not they were adjusted for sex. Because the prevalence of diabetes is very similar in men and women in the Pima Indian population, we believe that the difference in sex distribution in this study represents a sampling artifact rather than a biological phenomenon.

Because the prevalence of diabetes in the Pima Indian population as a whole is so much higher than among other populations, it could be argued that our findings might not apply to other ethnic groups. However, most of the prospective findings obtained in the Pima Indians (obesity, insulin resistance, and hyperinsulinemia as predictors of diabetes) have been replicated in other populations. Diabetes in the Pima Indians may therefore be regarded as prototypic of the most common form of diabetes in most other ethnic groups. It is likely that our longitudinal findings here also apply to other populations with a lower prevalence of diabetes, in which longitudinal studies like this one may not be feasible.

In conclusion, individuals who later develop diabetes may manifest primary defects in insulin action and insulin secretion which predispose them to diabetes while they retain NGT. This longitudinal study makes it clear that, in addition to these primary defects, individuals who develop diabetes manifest progressive impairments in both insulin action and insulin secretion that directly contribute to worsening glucose tolerance. In addition, our results indicate that these progressive defects occur early during the development of diabetes — during the transition from NGT to IGT — and worsen as glucose tolerance further deteriorates toward diabetes. An increase in EGO is evident only during the transition from IGT to diabetes, and therefore represents a relatively late abnormality. The inability to compensate for either a defect in insulin action or a defect in insulin secretion distinguishes individuals who develop diabetes from those who are able to maintain NGT. These findings suggest that intervention to prevent diabetes in people who are at risk should begin at an early stage and should target both insulin resistance and insulin secretion.

Acknowledgments

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