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**Research Article**

We have investigated the effect of hypocomplementemia on early pulmonary clearance of four species of bacteria. The experiments were performed in an inbred animal model to minimize immunologic variability. Complement was depleted by cobra venom factor, and activity in serum was monitored with a phagocytic assay. Bacterial specific antibodies were examined by an indirect radioimmunoassay, and animals with high levels of activity were excluded from analysis. 4 h after aerosolization with *Streptococcus pneumoniae*, complement-depleted animals had cleared only 75% of the initial number of organisms, whereas saline-treated controls cleared 91% (P less than 0.01). Aerosolization with *Pseudomonas aeruginosa* was followed at 4 h by a twofold greater growth of organisms in the complement-depleted animals (446% of original deposition) as compared to the saline-treated controls (211% of original deposition) (P less than 0.02). Clearance of *Klebsiella pneumoniae* and *Staphylococcus aureus* were similar in complement-depleted animals and saline-treated controls. These experiments suggest that hypocomplementemia predisposes to bacterial pneumonia and may explain the high incidence of pulmonary infections in patients having impaired complement activity. Our results further indicate that varying defense mechanisms may be involved with clearing the lung of differing bacterial species.

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The Effect of Complement Depletion on Lung Clearance of Bacteria

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ABSTRACT We have investigated the effect of hypocomplementemia on early pulmonary clearance of four species of bacteria. The experiments were performed in an inbred animal model to minimize immunologic variability. Complement was depleted by cobra venom factor, and activity in serum was monitored with a phagocytic assay. Bacterial specific antibodies were examined by an indirect radioimmunoassay, and animals with high levels of activity were excluded from analysis.

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These experiments suggest that hypocomplementemia predisposes to bacterial pneumonia and may explain the high incidence of pulmonary infections in patients having impaired complement activity. Our results further indicate that varying defense mechanisms may be involved with clearing the lung of differing bacterial species.

INTRODUCTION

The necessity of a functional complement system in host defense against bacteria has been inferred from the increased prevalence of bacterial respiratory infections in persons with diseases associated with complement disorders. Additionally, in vitro studies have demonstrated complement's participation in phagocytosis and killing of bacteria (1–3), and increased mortality of complement-depleted mice after intraperitoneal inoculation with pneumococci has been demonstrated (4). The role of complement in pulmonary defense against bacteria has not been studied.

In the present study we have investigated the importance of an intact complement system for the early pulmonary clearance of organisms frequently associated with human pneumonia. To minimize immunologic variability the experiments were performed in inbred mice. All animals were screened for preexisting bacterial specific antibodies by a radioimmunoassay, and animals with elevated antibody levels were excluded. Complement depletion was achieved with cobra venom factor (CoF) (5, 6). Our data indicate complement to be necessary for optimal pulmonary clearance of Streptococcus pneumoniae and Pseudomonas aeruginosa, but not for Klebsiella pneumoniae or Staphylococcus aureus.

METHODS

Female Balb/c mice weighing 18–20 g (Charles River Breeding Laboratories, Wilmington, Mass.) were used. This inbred strain, in which complement depletion with CoF has been studied (6), was chosen to minimize immunologic variability among animals.

Bacteriology. S. pneumoniae, P. aeruginosa, and K. pneumoniae (originally obtained from clinical isolates), and S. aureus FDA 209 were used. The pneumococci and pseudomonads were passed on blood agar plates three times a week and the pneumococci were passed through mice at least once a month to maintain virulence. Other bacteria were maintained on trypticase soy agar slants with monthly transfer. Before aerosolization, bacteria were grown in brain heart infusion broth (S. pneumoniae and P. aeruginosa) or trypticase

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Abbreviations used in this paper: CFU, colony forming units; CoF, cobra venom factor; PBS, phosphate-buffered saline.
soy broth (K. pneumoniae and S. aureus) for 18 h. Bacteria then were washed twice in sterile saline and placed in a Collison nebulizer. Aliquots of this bacterial slurry were taken before each aerosol period and quantitated by serial 10-fold dilutions.

Antibody assay. An indirect radioimmunoassay to determine relative amounts of bacterial specific immunoglobulin was developed. Briefly, the IgG fraction of goat antiserum immunoglobulin (Cappel Laboratory, Cochranville, Pa.) was labeled with Na125I (Amersham/Searle Corp., Arlington Heights, Ill.) and used in a modified lactoperoxidase technique (7). Bacteria from 18 h cultures were washed twice in phosphate-buffered saline (PBS), pH 7.2, at 2,600 g for 15 min. These washed bacteria were resuspended in 0.3% formalin in PBS and remained at room temperature for 24 h. The formalin-killed bacteria were washed twice and resuspended in PBS to a final concentration of $\times 10^9$ organisms/ml with previously determined optical density correlations.

All assays were performed in triplicate by incubating 0.5 ml of formalin-killed bacterial suspension with 10 $\mu$l of mouse serum at 37°C for 30 min. After incubation each sample was washed three times at 766 g for 8 min at room temperature in PBS with a bovine serum albumin carrier (1 mg/ml). After the final wash, the bacterial pellet was resuspended in 0.5 ml PBS, and 10 $\mu$l ($=50$ $\mu$g) of 125I-goat antiserum immunoglobulin was added and the tube gently shaken. This mixture was incubated for 30 min at room temperature and washed three times in PBS as described. The final suspension of bacteria was collected over a filter (0.45 mm Millipore type HA, Millipore Corp., Bedford, Mass.) above a vacuum apparatus. The filters were then placed in 12 x 75-mm plastic tubes (Falcon Plastics, Div. of BioQuest, Oxnard, Calif.) for counting in an automatic gamma counter (G. D. Searle & Co., Chicago, Ill., model 1195).

A small group of mice was intravenously immunized with 0.1 ml of a suspension of formalin-killed organisms ($10^9$/ml). These animals were reinfected 1 wk later and bled for antibody titers 1 wk after the second injection. Separate groups of animals were used for each of the bacterial species studied. Controls of sera without bacteria and bacteria without sera were run with each antibody screening assay. Sera from all experimental animals were obtained 4 days before aerosolization and stored at $-20^\circ$C.

Complement assay. Complement depletion was achieved by the use of CoF as previously described by others (4–6). Complement (Cordis Laboratories, Inc., Miami, Fla., lot no. 80696) was assayed for anticomplementary activity on human serum (30 $\mu$l CoF incubated with 0.3 ml of 1:10 serum at 30°C for 60 min) and found to be active at the potency stated by the manufacturer. Each mouse received 250 U/kg of CoF intraperitoneally in 4 equally divided doses in the 24-h period before the aerosol challenge. Control animals received similarly timed injections of normal saline. In preliminary experiments, we demonstrated reduced opsonic activity of mouse serum for at least 5 h after the fourth injection.

Complement activity was assayed by measuring phagocytic activity (attributable to the complement system) in a modification of the in vitro test described by Jasin et al. (8). This assay measures the opsonic activity of serum for a bacterium which activates complement in the absence of specific antibody (Escherichia coli 075). Bacterial suspension is incubated with polymorphonuclear leukocytes. In the presence of serum with complement activity, phagocytosis will occur and fewer numbers of viable bacteria will result than similarly incubated bacteria and cells in the absence of a complement source. Thus, low colony counts are obtained when complement is present to opsonize, but high colony counts are an indication of the absence of complement. Polymorphonuclear leukocytes were obtained from normal human blood, and E. coli 075 were grown and processed as previously described (8). 25 $\mu$l of fresh normal mouse serum or experimental mouse serum stored at $-70^\circ$C, 250 $\mu$l of washed leukocytes, 175 $\mu$l of Hanks' solution containing 0.1% gelatin, and 50 $\mu$l of diluted bacterial suspension were added in duplicate to 1.5 ml polypropylene tubes (Brinkmann Instruments, Westbury, N. Y.). The tubes were then incubated at 37°C for 2 h rotating end over end at 12 inversions/min. After this incubation, tubes were spun at 150 g for 10 min to collect the cells at the bottom while leaving the bacteria in suspension. An aliquot was taken for serial 10-fold dilutions on trypticase soy agar plates. Bacterial colonies were counted after overnight incubation at 37°C.

Phagocytic assay required large numbers of normal human leukocytes and was necessarily performed on different days for animals aerosolized with any one bacterial species. Equal numbers of saline- and cobra venom-treated animals were included in each day's assay. Additionally, normal mouse serum and heat-inactivated serum (56°C, 60 min) were included with each day's assay for comparison with experimental groups. These controls were necessary because of the differing phagocyte/bacteria ratios and consequent variability of numbers of colony forming units (CFU) on different days.

Clearance. Aerosolization was performed in a Henderson aerosol chamber with a previously described exposure system for 66 mice (9). After a 30-min aerosol period, animals were removed from the chamber and equal groups of treated (CoF) and control (saline) animals were killed immediately and after 4 h. Each animal was bled just before sacrifice, and the serum was stored at $-70^\circ$C for later assay of complement activity. After sacrifice, the chest was aseptically opened and the right lung placed in a sterile glass flask containing 4 ml sterile distilled water. The lung was ground with a tissue homogenizer (Virtis 45, The Virtis Co., Gardiner, N. Y.) followed by further homogenization (Broeck tissue grinder, Coming Glass Works, Corning, N. Y.) to insure complete rupture of all cells. Cell disruption was insured by trypan blue staining of the homogenate, and no intact cells were found.

Statistical analysis. Statistical analysis was performed by the Mann-Whitney U test for nonparametric analysis (10). A probability value of less than 0.05 was considered significant.

RESULTS

Antibodies. In preliminary experiments serum from germ-free mice was found to be similar in antibody concentration to serum obtained from routinely handled Balb/c mice. These base-line counts ("Experimental" in Table I) were considered to be indicative of no antibody or low antibody levels. No experimental animal had antibody concentrations as high as the immunized controls. In the pneumococcal aerosolized group, two animals had antibody concentrations greater than the 95% confidence level of the group (M + 1.645 SD). These animals were not included in further analysis. Four animals in the klebsiella group had elevated antibody concentrations and were not utilized. No animal in the pseudomonas group was excluded by this criterion.

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90% of all *S. aureus* strains are known to contain Protein A (11). Our antibody assay indicated that *S. aureus* FDA 209 contains Protein A; therefore, we were unable to measure specific antibody concentrations. Because this strain of *Staphylococcus* has been used in clearance models by other investigators (12, 13), we chose to use it for comparison despite this disadvantage.

**Complement.** Animals were judged not complement depleted if they fell outside the 95% confidence level of the group of CoF-treated animals, or if they did not have five times as many CFU as the average of the simultaneously assayed control group. By these criteria, one *Klebsiella*- and five pneumococcus-aerosolized animals were not complement depleted and were eliminated from analysis.

For each day's assay, the differences in numbers of CFU in the CoF and control groups were clearly different with no overlap. The average number of CFU in the saline group correlated with the normal mouse serum control and the average CoF-treated group with the heated serum control. The results of one day's assay for each bacterial species are shown in Table II.

**Bacterial clearance.** Deposition in the lung of the different bacteria varied as a consequence of differing concentrations of bacteria in the Collison nebulizer (Table III). Although there was a tendency toward less initial deposition in the CoF-treated groups, these differences were not statistically significant.

The clearance of *S. pneumoniae* was significantly depressed in the complement-depleted group as compared to the normal controls (Fig. 1). The saline controls cleared 91% of the initially deposited bacteria whereas the complement-depleted animals cleared only 75%.

In those animals aerosolized with *P. aeruginosa*, there was growth of bacteria in the lung during the 4-h time period. In the complement-depleted group the multiplication was significantly greater (446%) than in the saline group (211%).

In the *K. pneumoniae* and *S. aureus* aerosolized groups, there were no significant differences in the clearance of bacteria in complement-depleted animals compared to normal animals at the 4-h time period (Table IV).

**DISCUSSION**

Clinical data suggest the importance of the complement system in defense against bacteria. Diseases associated with depressed complement factors, such as systemic lupus erythematosus and sickle-cell disease, have a high incidence of bacterial infections, however, other host factors may also be altered (8, 14).

**TABLE I**

<table>
<thead>
<tr>
<th>Antibody Concentrations</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Experimental</td>
<td>Immunized</td>
</tr>
<tr>
<td></td>
<td>No. animals</td>
<td>Mean (±SEM)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>cpm*</td>
</tr>
<tr>
<td><em>S. pneumoniae</em></td>
<td>61</td>
<td>28,417 (±1,403)</td>
</tr>
<tr>
<td><em>P. aeruginosa</em></td>
<td>60</td>
<td>42,556 (±1,953)</td>
</tr>
<tr>
<td><em>K. pneumoniae</em></td>
<td>62</td>
<td>54,131 (±3,461)</td>
</tr>
</tbody>
</table>

* cpm indicates counts per minute of 125I-antimouse immunoglobulin.

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**TABLE II**

<table>
<thead>
<tr>
<th>Results of Phagocytic Assay for Complement Activity</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Serum of</td>
<td>Serum of</td>
</tr>
<tr>
<td></td>
<td>cobra factor</td>
<td>saline-treated</td>
</tr>
<tr>
<td></td>
<td>treated animals</td>
<td>animals</td>
</tr>
<tr>
<td></td>
<td>Mean (±SD)</td>
<td>Mean (±SD)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bacteria aerosolized</td>
<td>Heated</td>
<td>Normal</td>
</tr>
<tr>
<td></td>
<td>serum control</td>
<td>serum control</td>
</tr>
<tr>
<td></td>
<td>CFU*</td>
<td>CFU*</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><em>S. pneumoniae</em></td>
<td>8</td>
<td>390 (±65)</td>
</tr>
<tr>
<td><em>P. aeruginosa</em></td>
<td>8</td>
<td>314 (±49)</td>
</tr>
<tr>
<td><em>K. pneumoniae</em></td>
<td>10</td>
<td>189 (±24)</td>
</tr>
<tr>
<td><em>S. aureus</em></td>
<td>10</td>
<td>483 (±143)</td>
</tr>
</tbody>
</table>

* CFU represents the number of *E. coli* colonies counted on trypticase soy plate.
A recent investigation of immunologic events associated with pneumococcal pneumonia found a depression of complement components in all patients dying of their disease, whereas patients not dying had normal or elevated complement levels within the first week of hospitalization (15). A causal relationship between complement depression and infection could not be made because complement levels before the onset of infection were not available. In the clinical setting of specific C3 deficiency, an increased incidence of bacterial respiratory infection has been reported, but too few cases exist for meaningful analysis of pathogenic mechanisms (16, 17).

In vitro and in vivo models have been used to analyze the role of complement in bacterial defense (18–21).

Jeter's system, employing human peripheral leukocytes in the presence of type-specific antipneumococcal antisera showed inhibition of phagocytosis when complement activity was depressed (21). Winkelstein et al. have demonstrated the importance of C3 in host defense against intraperitoneally injected pneumococci in genetically C5-deficient AKR/J mice (4). These animals succumbed more readily to the pneumococcal infection after complement depletion by CoF, indicating a role for complement components preceding C5, presumably C3. The inherent disadvantage in these previously reported experiments in examining pulmonary defense mechanisms is that they were not performed in the complicated milieu of the respiratory tract.

We utilized an animal model which directly reflects clearance of bacteria in the lung (22). This model examines an early phase of bacterial lung clearance and has previously been used to assess the effects of other states predisposing to human pneumonia (12). This

<table>
<thead>
<tr>
<th>Collison nebulizer</th>
<th>Control</th>
<th>Saline</th>
<th>CoF</th>
</tr>
</thead>
<tbody>
<tr>
<td>CFU* × 10^9 ml</td>
<td>CFU* (±SEM) × 10^9</td>
<td>CFU* (±SEM) × 10^9</td>
<td></td>
</tr>
<tr>
<td>S. pneumoniae</td>
<td>0.64</td>
<td>36.7 (±3.8)</td>
<td>P &gt; 0.10</td>
</tr>
<tr>
<td>P. aeruginosa</td>
<td>5.7</td>
<td>118.7 (±7.6)</td>
<td>P &gt; 0.10</td>
</tr>
<tr>
<td>K. pneumoniae</td>
<td>4.7</td>
<td>89.7 (±7.6)</td>
<td>P &gt; 0.20</td>
</tr>
<tr>
<td>S. aureus</td>
<td>14.0</td>
<td>635.6 (±29.1)</td>
<td>P &gt; 0.20</td>
</tr>
</tbody>
</table>

* CFU represents the number of bacterial colonies on the plate multiplied by the dilution factor of that plate.

| TABLE III |
| Relationship of Bacterial Slurry and Initial Deposition of Bacteria |

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**TABLE IV**

Percent Bacteria Remaining 4 h after Aerosol

<table>
<thead>
<tr>
<th></th>
<th>Saline-treated</th>
<th>Complement-depleted</th>
</tr>
</thead>
<tbody>
<tr>
<td>S. pneumoniae</td>
<td>Mean (±SEM)</td>
<td>8.7 (±1.4)</td>
</tr>
<tr>
<td>N₀/N₄</td>
<td>15/12</td>
<td>14/12</td>
</tr>
<tr>
<td>P. aeruginosa</td>
<td>Mean (±SEM)</td>
<td>211.1 (±28.2)</td>
</tr>
<tr>
<td>N₀/N₄</td>
<td>16/13</td>
<td>18/15</td>
</tr>
<tr>
<td>K. pneumoniae</td>
<td>Mean (±SEM)</td>
<td>81.5 (±10.5)</td>
</tr>
<tr>
<td>N₀/N₄</td>
<td>14/13</td>
<td>15/16</td>
</tr>
<tr>
<td>S. aureus</td>
<td>Mean (±SEM)</td>
<td>20.6 (±2.3)</td>
</tr>
<tr>
<td>N₀/N₄</td>
<td>17/16</td>
<td>17/15</td>
</tr>
</tbody>
</table>

N₀/N₄ = no. of animals sacrificed at 0 h/no. of animals sacrificed at 4 h.
* P < 0.01.
† P < 0.02.
early bacteria-to-phagocyte interaction is felt to be an important factor in determining whether an invading organism will subsequently multiply within the lungs or be easily eliminated. An inbred mouse strain was used to reduce variability of complement component levels, the control of which is associated with histocompatibility genes (23). We further insured comparability of animals by eliminating those with preexisting bacterial specific antibodies. Such antibodies could have been induced by direct exposure to bacteria of similar species or to antigens having shared antigenicity (24, 25).

CoF activates the third component of complement and the complement cascade with minimal alteration of other host factors (26). The dosage schedule that we employed has been shown to effectively deplete complement components for several days (5). Complement opsonic activity may return to normal at a time when immunoreactive components are still depressed (4). To insure a decrease in complement activity rather than only a depression of immunoreactive components, we performed assays to determine serum opsonic activity after each aerosol challenge.

Our results demonstrate a requirement for an intact complement system for optimal early pulmonary clearance of some but not all bacteria. Because it is unlikely that direct lysis of bacteria by complement in the absence of specific antibacterial antibodies is a significant antibacterial factor, its primary role is likely one of augmenting bacteria-phagocyte interaction (27). Depending on the bacterial species, both alveolar macrophages and polymorphonuclear leukocytes have been observed histologically to participate in early bacterial defense of the lung (28–30). Alveolar macrophages have been shown to have receptors for complement components and may require this opsonin for optimal phagocytic action (31). Similarly, polymorphonuclear leukocytes have such receptors and also are recruited in part by complement chemotactic factors (32, 33).

These data indicate that complement depletion per se may increase susceptibility to pulmonary infection with S. pneumoniae and P. aeruginosa. The experiments thus provide evidence for a causative mechanism for previously observed clinical findings.

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