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Emerging strategies for combination checkpoint modulators in cancer immunotherapy

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Introduction

Harnessing the immune system to fight malignancies has become a major focus in cancer therapy. The idea was first introduced in the early 1900s by William Cooley, who attempted to treat sarcoma by provoking the immune system with heat-inactivated bacterial toxins (1). This approach was followed decades later by the concepts of immunosurveillance and immunoeediting, which highlighted the monitoring and elimination, respectively, of cancer cells as functional roles of the immune system. It also became clear that tumors escape from immune attack due to the emergence of variant clones (2–4) arising from genomic and epigenetic modifications, including nonsynonymous mutations encoding foreign antigens (i.e., neoantigens) that arise during tumorigenesis (5).

Major histocompatibility complex (MHC) molecules located on antigen-presenting cells (APCs), such as dendritic cells, present foreign tumor antigens to T cells in the lymph nodes. T cell priming and activation occur when the MHC-peptide complex interacts with the T cell receptor (TCR), followed by the engagement of CD28 to B7.1 (CD80) or B7.2 (CD86) (4, 5). This activation is regulated by both stimulatory and inhibitory checkpoints, a balance that maintains self-tolerance and prevents autoimmunity. Effector T cells (Teffs) then traffic to and interact with tumor cells that present cognate antigens on MHC molecules. These T cells, however, are also subject to the upregulation of inhibitory checkpoint molecules that can cause Teffs to become functionally “exhausted” in the context of chronic antigen exposure.

Cytotoxic T lymphocyte–associated protein 4 (CTLA-4) and its ligands B7.1 and B7.2 were the first checkpoints to be discovered (6). CTLA-4 acts early during T cell priming by competing with CD28 for the B7 receptor and thereby prevents CD4+ T cell activation. This discovery led to the realization that blocking CTLA-4 can override T cell desensitization to tumor antigens, hence, the development and approval of ipilimumab, a CTLA-4 antagonist antibody, for melanoma patients (7). Equally revolutionary has been the cloning and characterization of programmed cell death receptor 1 (PD-1) and its ligands, PD-L1 and PD-L2, on activated T cells (8, 9). Antibodies to PD-1, namely nivolumab and pembrolizumab, and PD-L1, such as atezolizumab, yield favorable clinical responses in melanoma, non–small cell lung cancer (NSCLC), mismatch repair-deficient (MMR-d) colorectal cancers, and renal cell carcinoma, among other cancers (10–14). These checkpoints are the first of many to be modulated to elicit antitumor immunity in patient tumors. A larger number of agents are already in various stages of clinical development.

Barriers to checkpoint therapy

Despite the established clinical efficacy of immune checkpoint inhibitors in a number of tumor types, several barriers prevent their overall utility. Important among these barriers is that the currently approved agents, when used as monotherapies, do not provide durable clinical responses in nearly 80% of cancer patients (15). Cancers that respond to checkpoint blockade usually already have significant numbers of T cells infiltrating their tumors, while cancers that do not naturally activate T cells for multiple reasons (including the lack of high mutational burdens within their tumors)
do not respond. There is, in fact, a positive correlation between a high burden of tumor neoantigens and response to immune checkpoint agents (16–18). Thus, one barrier to checkpoint therapy is the lack of available T cells that are capable of responding to immune checkpoint agents (16–18). Thus, one barrier to checkpoint therapy is the lack of available T cells that are capable of responding to immune checkpoint therapy (16–18).

A second barrier that needs to be understood is that initially responding cancers eventually become resistant to checkpoint agents through diverse genetic and immune-related mechanisms (4). For example, a loss of PTEN can activate PI3 kinase signaling (19), and JAK1/2 or STAT mutations downstream of IFN-γ may also become reduced, for example, with β2-microglobulin (B2M) mutations that lead to the loss of HLA molecules (22). Furthermore, the overall neoantigen landscape may evolve as immunogenic neoantigens are edited out of tumor cells (23).

A further layer of complexity resides in an altered tumor microenvironment (TME). The accrual of CD4+CD25+ Tregs, myeloid-derived suppressor cells (MDSCs), and M2-polarized tumor-associated macrophages (TAMs) as well as the production of cytokines and immune metabolites may together render the TME immunosuppressive (Figure 1). This is particularly true for cancers that are naturally resistant to immune checkpoint agents. Tregs in the TME include “natural” Tregs, which differentiate in the thymus as a separate lineage that expresses Foxp3, as well as locally induced T regulatory 1 cells (TR1) that form when CD4+CD25+ cells are converted by exposure to high levels of TGF-β, IL-10, and other immunosuppressive cytokines (24). Tregs play a key immunosuppressive role in the TME as the main contributors to the induction and maintenance of T cell tolerance to tumor antigens (24). Additionally, Tregs express high levels of suppressive immune checkpoints, most notably CTLA-4 (24). MDSCs are another immunosuppressive cell population that is uniquely expanded during inflammation, infection, and cancer (25). Under these conditions, MDSCs upregulate their expression of immunosuppressive factors such as arginase 1 and inducible nitric oxide synthase (iNOS) as well as increase their production of nitric oxide (NO) and reactive oxygen species (ROS), which leads to suppression of T cells, macrophages, and dendritic cells (25). MDSCs contribute to Treg induction and upregulation of CTLA-4 expression on Tregs through the release of immunosuppressive cytokines, such as TGF-β (25). The third main immunosuppressive cell population in the TME consists of TAMs, which exert numerous protumor effects, such as VEGF secretion to aid in angiogenesis; release of arginase I, IL-10, TGF-β, and other immunosuppressive factors; and the expression of PD-L1 and B7-1/2 (26). Finally, additional inhibitory checkpoint pathways, including metabolic enzymes such as idoleamine 2,3 dioxygenase (IDO), adenosine receptors, and inhibitory signals, such as CSF1R, become upregulated either initially or in response to checkpoint inhibitory therapy (Figure 1).

As only 20% of cancer patients respond to single-agent checkpoint inhibitors, there has been renewed interest in developing novel checkpoint modulators that can inactivate or activate T cell immunity to a therapeutic advantage (Figure 2). There is equally burgeoning interest in studying checkpoint combinations, such as nivolumab and ipilimumab, in advanced melanoma as a first example (27). Finally, studies have centered on combining current and newer checkpoints with other agents, such as cancer vaccines, that can first induce a “quality” T cell with the potential to respond to immune checkpoint agents. Here, we will discuss emerging checkpoint modulators and paradigms to combine checkpoints with other therapeutic strategies.
**Emerging immune checkpoints**

*Inhibitory immune checkpoints.* Several inhibitory checkpoints are currently being tested both as monotherapy and in combination with PD-1 blockade. Many of these inhibitors are upregulated on T cells to cause resistance, and their disrup-
tion may therefore enhance antitumor immunity. Here, we discuss four checkpoint inhibitor targets, namely lymphocyte-activation gene 3 (LAG-3), T cell immunoglobulin and mucin domain–containing molecule–3 (TIM-3), T cell immunoreceptor with immunoglobulin and ITIM domains (TIGIT), and V domain–containing Ig suppressor of T cell activation (VISTA).

LAG-3 is expressed on Teffs, Tregs, and dendritic cells, among other immune cells (28–30). It is homologous structurally to CD4 and therefore binds MHCII on APCs to transmit inhibitory signals that promote Treg-mediated immune suppression (31). LAG-3 is also expressed on cytotoxic CD8+ T cells to confer reduced proliferation and effector function. Its blockade by an anti–LAG-3 antibody or the genetic deletion of *Lag3* in mice reverses these effects (32). Importantly, the coexpression of LAG-3 and PD-1 signals T cell exhaustion and tolerance to self and tumor antigens (33). By reversing these actions in synergy, anti–LAG-3 and anti–PD-1 antibodies together display enhanced clearance of tumors that were previously resistant to single checkpoint (34, 35). Consistent with pharmacologic blockade, compound *Lag3−/−;Pdl1−/−* mice also show efficient tumor clearance (35). Likewise, in ovarian cancer patients, patient-derived tumor-infiltrating NY-ESO-1–specific LAG-3–PD-1+ CD8+ T cells are markedly dysfunctional, and coblockade of LAG-3 and PD-1 restores T cell proliferation and cytokine production (36). Preliminary results combining the anti–LAG-3 antibody BMS-986016 with nivolumab (ClinicalTrials.gov NCT01968109) showed an overall response rate (ORR) of 13% in melanoma patients who had relapsed on anti–PD-1 therapy (37). A soluble splice variant of LAG-3 that binds MHCII and displays immune-activating properties has also been tested as an alternative LAG-3–modulating agent (38), with favorable responses in a phase 1 renal cell carcinoma study (39). In summary, we believe that LAG-3 is most likely to work in synergy with anti–PD-1 to rescue T cells from exhaustion, although we await more mature clinical data.

TIM-3, an inhibitory immune receptor expressed on CD4+ and CD8+ T cells, Tregs, and dendritic cells, binds primarily to galectin-9 to trigger T cell apoptosis (40, 41). It also binds an alternative ligand, CEACAM-1, the expression of which is upregulated by IFN-γ (ref. 42 and Figure 2). The interaction between TIM-3 and galectin-9 has been shown to negatively regulate T helper type 1 responses, which contribute to the induction of peripheral tolerance (41, 43). Furthermore, the expression of TIM-3 on innate immune cells, such as dendritic cells and monocytes, plays a role in the promotion of tissue inflammation (44). In mice transplanted with colon cancer (CT26) cells, tumor-infiltrating TIM-3–PD-1+ T cells were found to display the most exhausted phenotype (45, 46). In these mice, as well as in a carcinogen-induced sarcoma model, anti–TIM-3 and anti–PD-1 antibodies injected together resulted in marked tumor regression and restored cytokine production (46, 47). Similarly, in melanoma patients, TIM-3–CD4+ and TIM-3– CD8+ T cells were found to contribute to the immunosuppressive TME (48, 49), and an anti–TIM-3 antibody restored T cell function (48). Further evidence points to an upregulated TIM-3 pathway in the setting of acquired resistance to PD-1 blockade, as noted both in *Egfr*<sup>T790M/L858R;Kras</sup>*<sup>G12D</sup> mice (a model for lung adenocarcinoma) and in two patients with NSCLC (50). An antagonist TIM-3 antibody given upon relapse improved survival in mice (50). At least three phase I trials are currently evaluating the efficacy of combining anti–TIM-3 with anti–PD-1 or anti–PD-L1 therapy in advanced solid malignancies (NCT02817633, NCT03099109, and NCT02608268). Similarly to LAG-3, an antibody against TIM-3 would likely work to reinvigorate T cells, leading to a less exhaust-
ated phenotype, and therefore promote antitumor immunity.

A member of the family of poliovirus receptors, TIGIT is expressed on effector CD4+ and CD8+ T cells, Tregs, and NK cells (51). It exerts potent immune inhibition through high-affinity binding to CD155 and interacts with lower affinities with CD112 and other cognate ligands (Figure 2). Similar to the CTLA-4 and CD28 competition, TIGIT competes with nectin family proteins, namely CD155 and CD112, for the immune-activating receptor CD226 and, in doing so, blocks this otherwise stimulatory path-
way (51, 52). The suppression of antitumor immunity occurs not
only through highly immunosuppressive TIGIT+ Tregs, but also via the direct killing of CD8+ T cells and NK cells (53). Furthermore, tumor-infiltrating TIGIT+CD8+ T cells display an exhausted T cell phenotype and coexpress other inhibitory checkpoints, namely PD-1, TIM-3, and LAG-3 (53, 54). Coblockade of TIGIT and either PD-1 or TIM-3 enhances antitumor activity in the CT26 mouse model (54, 55). TIGIT targeting is still early in clinical development, with an anti-TIGIT antibody, OMP-313M32 (NCT03119428), being evaluated as a single agent and two antibodies, MTIG7192A (NCT02794571) and BMS-986207 (NCT02913313), being evaluated in combination with PD-1 blockade. Overall, anti-TIGIT is a newer antibody that has the unique potential to activate cytotoxic T cells and NK cells and is therefore likely to elicit tumor cell killing through multiple pathways.

A newer checkpoint, VISTA, is structurally homologous to PD-L1 and is found primarily on myeloid APCs and T cells, in particular on Tregs (56, 57). VISTA enhances Treg maturation and inhibits T cell activation and hence contributes to an immunosuppressive TME (56, 57). Its blockade expectedly decreases Tregs (and MDSCs) in the TME, activates dendritic cells, causes tumor regression, and improves survival in mouse models (58–60). VISTA, PD-1, and PD-L1 have also been shown to be copreexpressed in tumor-infiltrating T cells and M2 macrophages from localized and metastatic prostate cancer patients treated with ipilimumab (61). To date, there is only one ongoing clinical trial utilizing an anti-VISTA monoclonal antibody, JNJ-61610588 (NCT02671955). It is early in our understanding of VISTA, but the checkpoint shows promise in reprogramming several different components of the TME, including MDSCs, Tregs, and M2-macrophages.

**Stimulatory immune checkpoints.** Stimulatory checkpoints targeted by agonist antibodies against the respective molecules are likely to be most beneficial in amplifying preexisting T cell responses unleashed by PD-1/PD-L1 disruption. The use of agonist antibodies results in enhanced immunologic memory and a more robust clinical response. Several stimulatory checkpoints, namely OX40, glucocorticoid-induced TNF receptor-related protein (GTR), T cell antigen 4-1BB homologue (4-1BB), CD40, and inducible T cell costimulator (ICOS), are being tested in preclinical and clinical settings.

OX40, also known as TNFRSF4 or CD134, is a TNF receptor (TNFR) superfamily member that is expressed on all T cell subsets, particularly on Tregs and NK cells, whereas its ligand OX40L is found on APCs (38). OX40 expression is transient — it is upregulated approximately 12 hours after T cell activation and declines by day 4. The primary functions of OX40 are to promote T cell survival, proliferation, and memory, enhance cytokine secretion, and deplete Tregs in the tumor (62–65). Of utmost importance is that OX40 is critical to CD4+ T cell responses and long-term memory. Not only does OX40 regulate the number of CD4+ T cells that can be generated during a primary clonal expansion, but it also promotes the number of CD4+ T cells that can persist as memory cells (66). This is pivotal, as it is now becoming clear that the quality of T cells is as important as T cell number in determining response to immunotherapy (67). OX40 has also been shown to improve the survival of low-avidity, tumor-reactive T cells, thus further enhancing antitumor immunity (68). Finally, the interaction between OX40 on T cells and OX40L on dendritic cells plays a critical role in dendritic cell activation and maturation (68, 69). Multiple preclinical models have documented efficacy of an agonist anti-OX40 antibody both as monotherapy (70) and in combination with other immunomodulatory antibodies to 4-1BB, PD-1, and TIM-3 (71–73). The first human trial using a mouse OX40 agonist did not meet objective RECIST criteria (established benchmarks for evaluating responses in solid tumors), likely due to the induction of antitumor immunity (74). Since then, multiple humanized antibodies have been developed and are currently undergoing clinical testing. Preliminary data from one such antibody, MOXRO916, in combination with atezolizumab have demonstrated safety in a phase 1b trial (NCT02410512) (75). We surmise that by activating memory and better “quality” T cells, OX40 is most likely to be a potent combinatorial partner to checkpoint inhibition.

An OX40-like molecule, GITR (also known as TNFRSF18 or CD257), also belonging to the TNFR family, displays a delayed upregulation at around 24 to 72 hours after T cell activation. GITR stimulation leads to enhanced Teff proliferation and cytokine production (76). Similarly to OX40, its delayed expression suggests that GITR does not play a significant role in T cell priming, but instead acts shortly thereafter. GITR is also constitutively expressed on Tregs at high levels and impairs Treg ingress into tumors (77, 78). An agonist GITR antibody, DTA-1, has demonstrated efficacy as a monotherapy in a melanoma mouse model (79) as well as in combination with PD-1 or CTLA-4 blockade in models of colon cancer and fibrosarcoma (80, 81). While we await more mature clinical data from early phase trials, preliminary results using an agonist anti-GITR antibody, TRX-518, in a dose-escalation study show safety in advanced malignancies (NCT01239134). Thus, GITR agonism will likely have a role in tumors in which Tregs are major immunosuppressive components in the TME, given its dual role in impairing Treg function and ingress into tumors while also enhancing Teff activity.

A third TNFR family checkpoint, 4-1BB (also known as CD137 or TNFRSF9), is expressed transiently and early after T cell activation (82). While 4-1BB is expressed widely on activated T cell subsets, NK cells, Tregs, and innate immune cells, including monocytes, its ligand, 4-1BBL, is localized to dendritic cells. 4-1BB regulates antiapoptotic genes, including Bcl-xl and Bcl-2, via NF-κB and, in doing so, increases T cell survival (83, 84). Blockade of 4-1BB with antagonist antibodies also synergizes with other checkpoint blockades; for example, 4-1BB– and PD-1– targeting combination therapy caused tumor regression in an ovarian cancer model (85, 86). Agonist anti-4-1BB antibodies, namely urelemab (IgG4) and PF-582566 (IgG2), are currently being tested in early phase trials. A phase II study of urelemab given at 1 mg/kg to metastatic melanoma patients was terminated early due to grade 4 hepatotoxicity, but further safety analysis confirmed safety at a lower dose of 0.1 mg/kg every three weeks (87). In summary, 4-1BB still requires careful evaluation of toxicity, particularly as combinatorial therapy.

CD40, also a TNFR family member, is expressed on B cells, macrophages, dendritic cells, and certain tumor cells, whereas its ligand, CD40L (also known as CD154), is present on activated CD4+ T cells (88). CD40 activation upregulates MHCII on APCs and elicits the secretion of proinflammatory cytokines, including IL-12, and thereby primes tumor-specific CD8+ T cell responses...
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ic CD8+ T cells. Immunosuppressive signals in the TME can cause tumor-infiltrating lymphocytes (TILs) to be exhausted or dysfunctional, leading to tumor escape and therapeutic resistance. In many instances, monotherapy with checkpoint modulators may not sufficiently intercept these inhibitory signals. Thus, combinations of checkpoint antibodies may be required to enhance tumor immunogenicity. In other instances, such as in pancreatic cancers, which do not naturally attract T cells, checkpoints may need to be paired with other agents that would first induce tumor-specific TILs.

Inducing T cells into an immunologically cold tumor. The conversion of an immunologically cold or noninflamed tumor to a hot tumor merits consideration of three broad, but overlapping, approaches (Figure 3). First, T cells need to be primed sufficiently with antigen in the lymph node and then must traffic to and infiltrate the tumor. One strategy to induce priming of T cells and/or enhance antigen expression, such as vaccines, oncolytic viruses, chemotherapy, and radiation, involves dendritic cells that traffic to the lymph node and activate T cells, a process that is regulated precisely by stimulatory (e.g., OX40, GITR, 4-1BB) and inhibitory checkpoints (e.g., PD-1, LAG-3, TIM3, VISTA), which can enhance or inhibit T cell responses, respectively. These checkpoints can be modulated by their respective antibodies that are currently being tested clinically. Many cold tumors also require reprogramming of other immune subsets in the TME. Tumors recruit immunosuppressive cells, such as Tregs, MDSCs, and M2-polarized macrophages, which can be modified via various strategies. The action of soluble mediators, such as adenosine, IDO, cytokines (TGF-β), and chemokines, can also be modulated.

Combinatorial strategies

Effective antitumor immunity results from antigen-specific T cell priming in lymphoid tissue, Teff differentiation, trafficking of T cells to the tumor bed, and finally, killing of tumor cells by cytotoxicic CD8+ T cells. Immunosuppressive signals in the TME can cause tumor-infiltrating lymphocytes (TILs) to be exhausted or dysfunctional, leading to tumor escape and therapeutic resistance. In many instances, monotherapy with checkpoint modulators may not sufficiently intercept these inhibitory signals. Thus, combinations of checkpoint antibodies may be required to enhance tumor immunogenicity. In other instances, such as in pancreatic cancers, which do not naturally attract T cells, checkpoints may need to be paired with other agents that would first induce tumor-specific TILs.

**Inducing T cells into an immunologically cold tumor.** The conversion of an immunologically cold or noninflamed tumor to a hot tumor merits consideration of three broad, but overlapping, approaches (Figure 3). First, T cells need to be primed sufficiently with antigen in the lymph node and then must traffic to and infiltrate the tumor. One strategy to induce T cell clones or broaden the T cell repertoire uses vaccines, such as the granulocyte-macrophage CSF–secreting (GM-CSF–secreting) allogenic vaccine GVAX, particularly in tumors that are neoantigen-low, such as pancreatic cancer. We showed that, when given alone, GVAX increased lymphoid aggregates in the pancreatic tumors, while, in combination with ipilimumab, it enhanced antitumor immunity (95). New approaches using personalized, neoantigen-targeted vaccines are being explored as attractive modalities for inducing neoepitope-specific T cells that are less likely to be deleted by central and peripheral tolerance due to their lack of expression in

Figure 3. Combinatorial therapy of checkpoint modulators with other anticancer modalities. (A) Immunologically hot and cold tumors differ in neoantigen burden. Hot tumors just need checkpoint inhibitors or combinations of checkpoint inhibitors and/or checkpoint agonist antibodies to optimize T cell function. However, in addition to checkpoint modulation, naturally cold tumors require T cells to be first primed and then to traffic to the tumor tissue. (B) Several strategies exist to induce priming of T cells and/or enhance antigen expression, such as vaccines, oncolytic viruses, chemotherapy, and radiation. Polarized dendritic cells then traffic to the lymph node and activate T cells, a process that is regulated precisely by stimulatory (e.g., OX40, GITR, 4-1BB) and inhibitory checkpoints (e.g., PD-1, LAG-3, TIM3, VISTA), which can enhance or inhibit T cell responses, respectively. These checkpoints can be modulated by their respective antibodies that are currently being tested clinically. Many cold tumors also require reprogramming of other immune subsets in the TME. Tumors recruit immunosuppressive cells, such as Tregs, MDSCs, and M2-polarized macrophages, which can be modified via various strategies. The action of soluble mediators, such as adenosine, IDO, cytokines (TGF-β), and chemokines, can also be modulated.

(89–92). CD40 ligation on B cells also causes increased antigen presentation and proliferation (92). Impressive results have been noted with CD40 agonism in pancreatic cancers, where low T cell numbers, high numbers of TAMs, and an overall immunosuppressive stroma are hallmarks of immune resistance. In a Kras<sup>LSL-G12D</sup>:Trp53<sup>LSL-R172H</sup>;<Pdx1-Cre> (KPC) transgenic mouse model of pancreatic adenocarcinoma, an agonist CD40 antibody with gemcitabine caused the depletion of tumor stroma and triggered the rapid infiltration of CD40-activated macrophages that were reprogrammed to a tumoricidal M2 phenotype (93). In the same KPC mouse model, a single dose of agonist CD40 antibody in conjunction with standard chemotherapy, namely nab-paclitaxel and gemcitabine, converted a T cell–poor to a T cell–infiltrated tumor, resulting in durable control, whereas neither treatment modality alone elicited a T cell response (94). Among several other trials, anti-CD40 is currently being tested with gemcitabine and nab-paclitaxel in pancreatic cancer patients with resectable disease (NCT02588443). CD40 agonist combinatorial therapy is thus likely to have a major role in converting immunologically “cold” into “hot” tumors.

Combinatorial strategies

Effective antitumor immunity results from antigen-specific T cell priming in lymphoid tissue, Teff differentiation, trafficking of T cells to the tumor bed, and finally, killing of tumor cells by cytotox
the thymus and their relatively recent expression in the periphery, respectively (96, 97). Our group and others have also shown that, while inducing tumor-specific T cells, cancer vaccines, when administered alone, can upregulate immunosuppressive pathways, such as PD-L1, mainly through the increased secretion of IFN-γ (98). This means that cancer vaccines are likely to work best when in combination with checkpoint modulation.

A second approach is to use oncolytic viruses that enhance T cell priming, which have shown efficacy, particularly in melanoma patients (99, 100). Briefly, these virus strains preferentially attack tumor cells, causing the release of tumor antigens and thereby enhancing antigen expression. *Talimogene laherparepvec* (T-VEC), a herpes simplex 1–derived virus, replicates within tumors and produces GM-CSF to enhance antitumor immunity. A significant advantage in overall survival (OS) was noted with T-VEC versus GM-CSF in a randomized open-label phase III trial of patients with metastatic, unresectable melanoma (99).

A third combinatorial strategy uses checkpoints with chemotherapy and radiation therapy. Radiation therapy increases the expression and/or release of tumor neoantigens, which results in greater antigen presentation and enhanced antitumor immunity (101). There is evidence for synergy and an enhanced T cell repertoire in tumors when radiation therapy is combined with CTLA-4 or PD-1 blockade in patients with metastatic melanoma (102, 103). Chemotherapy likewise causes cell death and releases tumor antigens to enhance antigen presentation. The widely used chemotherapeutics pemetrexed and carboplatin have recently been approved for use in combination with pembrolizumab for the first-line treatment of NSCLCs, notably irrespective of PD-L1 expression. There was an impressive increase in ORR of 55% in the combinatorial group (pemetrexed, carboplatin, and pembrolizumab) versus 29% with chemotherapy alone (104). Another mechanism for chemotherapy-induced enhancement of tumor immunity is the alteration of immune subsets in the TME, as discussed below. For example, cyclophosphamide has been used at a low dose to deplete Tregs, and paclitaxel, fluorouracil (5FU), and taxanes to decrease MDSCs (105, 106).

Optimizing T cell function. T cells primed with tumor antigen and subjected to chronic antigen exposure may eventually need rescue from exhaustion with checkpoint-modulating agents (Figure 3). Combining ipilimumab and nivolumab yields a significant OS benefit when compared with ipilimumab alone in patients with metastatic melanoma (27). Stimulatory checkpoints, such as OX40, GITR, and CD40, may have a role in further enhancing Teff function. As noted above, as most costimulatory checkpoints, notably OX40 and GITR, are expressed after initial T cell priming, they may not be effective as monotherapy. Instead, their modulation may be more helpful in amplifying a preexisting T cell response and in generating a memory response. Similarly, checkpoint inhibitors, importantly, LAG-3 and TIM-3, may only be useful in tumors that have preexisting tumor-specific T cells that require reversal of exhaustion and restoration of T cell function. Thus, checkpoint modulators, even when used in combination, may not be sufficient to convert nonimmunogenic tumors into immunogenically hot tumors.

Reprogramming the TME. Residing within tumors is a highly complex microenvironment characterized by the presence of multiple immunosuppressive cell subsets, including Tregs, TAMs, and MDSCs; immunomodulatory cytokines, such as TGF-β; chemokines and their receptors, namely CXCL12 and CXCR4; and metabolic enzymes, such as IDO. In some tumor types, this highly immunosuppressed TME needs to be sufficiently reprogrammed to allow for a robust immune response (Figure 3). Toward this goal, several specific strategies are being explored in combination with checkpoint-modulating agents. Of note is that checkpoint modulators can themselves regulate the proliferation, function, and/or survival of Tregs, NK cells, and monocytes, among other immune cells.

There is significant new interest in using specific macrophage modulators to optimize TAMs, which otherwise mediate immune escape and treatment resistance. M2-polarized TAMs (as opposed to M1-polarized TAMs) promote tumorigenesis by inhibiting T cell function and secreting immunosuppressive cytokines and chemokines. CSF-1 is a macrophage-derived cytokine that acts upon its receptor, CSF1R, to maintain M2 polarization and induce TAM proliferation. An anti-CSF1R antibody has been shown to reprogram TAM polarization and to act in synergy with checkpoint blockade and gemcitabine in pancreatic cancer (107). Macrophage ingress into tumors is facilitated by two chemokines, CXCL12 and CCL2, which interact, respectively, with their receptors CXCR4 and CCR2. Anti-CXCR4 and anti-CCR2 antibodies inhibit TAM recruitment into tumors, but a phase 2 open-label trial did not provide evidence for an OS advantage by adding anti-CXCR4 antibody to sunitinib as first-line treatment for metastatic renal cell carcinoma (108).

MDSCs promote tumor cell invasion and metastases (109, 110) by suppressing antigen-specific T cell proliferation and inducing Tregs in the TME (25). Interestingly, greater MDSC numbers in patients with melanoma correlate with a poor ipilimumab response, indicating that MDSCs dampen the effect of checkpoint blockade (111). In this regard, strategies are focused on impairing MDSC function and depleting and/or reprogramming MDSCs to enhance the efficacy of checkpoint agents. Etnostat, a histone deacetylase (HDAC) inhibitor, is one example of an agent that impairs MDSC function, enhances antitumor immunity, reduces tumor growth, and increases survival when used in combination with anti–PD-1 antibody in lung and renal cell carcinoma models (112). In the ongoing phase II ENCORE 601 trial, preliminary results with the PD-1/HDAC inhibitor combination induced a favorable response in 4 of 13 (31%) melanoma patients who had progressed on checkpoint inhibitor monotherapy (NCT02437136) (113).

Finally, a host of other molecules released locally by immune and tumor cells have been found to contribute to immunosuppression and have therefore been targeted toward TME reprogramming. TGF-β, produced by TAMs and tumor cells, is one cytokine that contributes to Treg activation and tumor angiogenesis. Increased levels of TGF-β are associated with poor prognosis, and TGF-β inhibitors have been shown to synergize with anti–CTLA-4 antibodies in melanoma models to enhance antitumor immunity (114). We likewise found that combining GVAX and TGF-β blockade resulted in the depletion of Tregs and a survival advantage in a Treg-rich pancreatic cancer model (115). Another immunosuppressive molecule, IDO1, is a tryptophan-metabolizing enzyme produced by tumor cells, TAMs, and MDSCs. IDO1 negatively
affects Teff function and enhances Treg activity (116, 117). Similarly, to the IFN-γ-induced upregulation of PD-L1 and CEACAM-1, IFN-γ also induces IDO1, thereby contributing to resistance to checkpoint blockade (118). Several IDO inhibitors are thus being evaluated clinically. The most advanced evaluation is in the phase III trial combining the IDO inhibitor epacadostat with pembrolizumab in patients with unresectable or metastatic melanoma (NCT02752074), results of which are awaited.

Testing combinatorial immunotherapies. Novel combinatorial therapies with immune checkpoint modulators are required to both enhance response rates in hot tumors and to sensitize cold tumors to immunotherapy. However, there is an unmet need for the rational design of clinical trials that are informed by rigorous preclinical testing and a deep mechanistic understanding of both monotherapies and combinatorial approaches. For example, certain agonist molecules, such as an agonist OX40 antibody, may only work early, just following T cell activation, and thus sequencing of immune-modulating agents may be pivotal in such combinatorial trials. Optimal clinical trial design would also require a full understanding of drug pharmacokinetics in order to reduce the possibility of toxicity. Furthermore, in early phase clinical trials, there is a requirement for multiple biopsy time points so that we can understand the changes in the immune milieu over time with treatment. Finally, overlapping toxicities of immunotherapies must be considered carefully when combining modalities.

A second requirement for optimal combinatorial immunotherapy is the identification of sensitive biomarkers for guidance toward individuals who would benefit most from immune checkpoint modulators and minimize unnecessary toxicities in those unlikely to respond. Novel response biomarkers to combination therapy are emerging, although most, to date, have studied the effect of anti–PD-1 monotherapy. Most notable among these are tumor PD-L1 expression and tumor-mutational burden (TMB), which have both been shown to predict anti–PD-1 responses (16–18, 119). Most recently, TMB has been shown to be a strong predictor of objective response, durable benefit, and progression-free survival (PFS) in patients with NSCLC who had received combined anti–PD-1 and anti–CTLA-4 blockade (119). MMR deficiencies, which lead to greater TMB and neoantigen load, have likewise been extraordinary predictors of anti–PD-1 inhibition (11, 12). This predictive biomarker was initially described as predicting response in a subset of colon cancer, but has now been applied across all tumor types (11, 12).

Finally, there are new data to suggest that higher quality pre-existing T cells may predict response to chimeric antigen receptor (CAR) T cell therapy in patients with chronic lymphocytic leukemia (CLL) (67). Transcriptome analyses of responders versus nonresponders demonstrated that the CAR T cells that persisted in responders were enriched in genes that regulate early memory and Teffs and possess the IL-6/STAT3 gene signature, while nonresponders expressed genes involved in late T cell differentiation, glycolysis, exhaustion, and apoptosis (67). Further studies that evaluate the quality of T cells before and after immunotherapies are thus warranted, as it is becoming increasingly clear that it is not just the number of T cells infiltrating the tumor, but also their quality, that influences the overall antitumor immune response. In summary, the horizon of biomarker development is diversifying and broadening, and it is likely that multiple biomarkers will cumulatively predict response to mono- and combination immunotherapy.

Conclusions

Immune checkpoint-modulating agents have proven to be increasingly successful in driving antitumor immune responses, particularly for those tumors, such as melanoma, that harbor high neoantigen loads and thus naturally attract T cells into the tumor. In such tumor types, combinations of checkpoint-modulating agents have been successful in increasing antitumor immunity. However, T cell exhaustion due to multiple upregulated inhibitory checkpoints limits their durable control of tumors. Additionally, there are many tumors, particularly those with a low neoantigen burden, that display primary resistance to checkpoint agents. An immunosuppressive TME further impairs antitumor immunity. It is therefore critical to convert immunologically cold tumors to T cell-rich hot tumors. Agents or strategies that activate T cells, reverse T cell exhaustion, and/or reprogram an otherwise immunosuppressive TME must be employed together with checkpoint modulators to achieve a robust and durable clinical response. Such combinatorial therapies are being tested widely, with a number of promising candidates due for FDA approval.

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