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Membrane assembly of aquaporin-4 autoantibodies regulates classical complement activation in neuromyelitis optica

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Introduction

Neuromyelitis optica (NMO) is an autoimmune CNS disorder mediated by pathogenic aquaporin-4 (AQP4) water channel autoantibodies (AQP4-IgG). Although AQP4-IgG–driven complement-dependent cytotoxicity (CDC) is critical for the formation of NMO lesions, the molecular mechanisms governing optimal classical pathway activation are unknown. We investigated the molecular determinants driving CDC in NMO using recombinant AQP4–specific autoantibodies (AQP4 rAbs) derived from affected patients. We identified a group of AQP4 rAbs targeting a distinct extracellular loop C epitope that demonstrated enhanced CDC on target cells. Targeted mutations of AQP4 rAb Fc domains that enhance or diminish C1q binding or antibody Fc-Fc interactions showed that optimal CDC was driven by the assembly of multimeric rAb platforms that increase multivalent C1q binding and facilitate C1q activation. A peptide that blocks antibody Fc-Fc interaction inhibited CDC induced by AQP4 rAbs and polyclonal NMO patient sera. Super-resolution microscopy revealed that AQP4 rAbs with enhanced CDC preferentially formed organized clusters on supramolecular AQP4 orthogonal arrays, linking epitope-dependent multimeric assembly with enhanced C1q binding and activation. The resulting model of AQP4-IgG CDC provides a framework for understanding classical complement activation in human autoantibody–mediated disorders and identifies a potential new therapeutic avenue for treating NMO.

Conflict of interest: JLB serves as a consultant for Clene Nanomedicine, MedImmune, Chugai Pharmaceutical, EMD Serono, Equillium, and Frequency Therapeutics; receives research support from Mallinckrodt; and serves on the editorial boards of the Multiple Sclerosis Journal, Neurology: Neuroimmunology & Neuroinflammation, and the Journal of Neuro-Ophthalmology.


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AQP4-IgG–mediated CDC is dependent on the assembly of cell-surface AQP4 tetramers into supramolecular orthogonal array of particles (OAPs). AQP4 tetramers are composed of 2 isoforms: a full-length M1 and a shorter M23 protein (15). M1- and M23-AQP4 possess identical extracellular domains and differ only by a 22–amino acid, intracellular N-terminal sequence. M23-AQP4 promotes and M1-AQP4 limits the organization of AQP4 into OAPs. Classical complement activation by AQP4-IgG occurs only on plasma membrane OAPs, presumably because the larger arrays permit denser AQP4-IgG binding to enhance multivalent interactions with C1q (12, 16, 17). However, serum AQP4-IgG titers do not correlate directly with CDC, suggesting that AQP4 autoantibodies may not bind or activate C1q equally (18). Indeed, there exists significant microheterogeneity among the conformational epitopes recognized by individual AQP4 autoantibodies (19, 20), resulting in distinct affinities for AQP4 OAPs and tetramers (21, 22). Therefore, while most AQP4 autoantibodies are IgG1, AQP4 IgG epitope specificity may modulate CDC by facilitating C1q binding or activation (16).

Although a definitive model of C1q activation by IgG is lacking (23, 24), recent studies have indicated that the ordered assembly of IgG hexamers on membrane targets yields a best-fit model for C1q binding and activation (25). While the model has guided the generation of novel, highly efficient antibody therapeutics (26–28), it remains uncertain whether natural circulating antibodies exploit similar mechanisms to engage and activate C1q. We made use of human monoclonal AQP4 recombinant autoantibodies (rAbs) generated from cerebrospinal fluid plasmablasts obtained.
from individual NMO patients to test whether multimeric IgG plasma membrane assembly governs AQP4-IgG-mediated CDC in NMO. We identified a group of AQP4 rAbs with distinct epitope specificities measured using an LDH release assay (mean ± SEM; n = 4). Complete or depleted preparations of NHS were used as sources of complement proteins. Recombinant complement C1q (rC1q) was added where indicated. The dashed line indicates 50% lysis. (B) CDC induced by monovalent AQP4 rAbs with differing epitope specificities measured using an LDH release assay (mean ± SEM; n = 4). The dashed line indicates 50% lysis. (C) The ratio of bound C1q to AQP4 is plotted against the rAb concentration. Solid circles indicate the CDC EC50 for complement activation. (D) The ratio of bound rAb to cell-surface AQP4 (rAb/AQP4) is plotted against the rAb concentration. Solid circles indicate the CDC EC50 for CDC. (E) Lateral and top views of an AQP4 tetramer. Extracellular loops A, C, and E are colored red, green, and blue, respectively. Loop C amino acids His151 and Leu154 are colored purple; membrane-spanning and intracellular amino acids are colored gray. (F) Binding of AQP4 rAbs to U87MG cells expressing M23-AQP4 (solid line) or M23-AQP4H151A/L154A is shown as the ratio of bound rAb to cell-surface AQP4 (rAb/AQP4) (mean ± SEM; n = 3). Data were fitted using a single-site total binding model. hC, human complement.

**Figure 1. AQP4 rAb binding and classical complement activation on M23-AQP4 CHO cells.** (A) CDC of M23-AQP4 CHO cells induced by AQP4 rAb ON 07-5 no. 58 or isotype control rAb ON 07-5 no. 132 was quantified using an lactate dehydrogenase (LDH) release assay (mean ± SEM; n = 4). Complete or depleted preparations of NHS were used as sources of complement proteins. Recombinant complement C1q (rC1q) was added where indicated. The dashed line indicates 50% lysis. (B) CDC induced by monovalonal AQP4 rAbs with differing epitope specificities measured using an LDH release assay (mean ± SEM; n = 4). The dashed line indicates 50% lysis. (C) The ratio of bound C1q to AQP4 is plotted against the rAb concentration. Solid circles indicate the CDC EC50 for complement activation. (D) The ratio of bound rAb to cell-surface AQP4 (rAb/AQP4) is plotted against the rAb concentration. Solid circles indicate the CDC EC50 for CDC. (E) Lateral and top views of an AQP4 tetramer. Extracellular loops A, C, and E are colored red, green, and blue, respectively. Loop C amino acids His151 and Leu154 are colored purple; membrane-spanning and intracellular amino acids are colored gray. (F) Binding of AQP4 rAbs to U87MG cells expressing M23-AQP4 (solid line) or M23-AQP4H151A/L154A is shown as the ratio of bound rAb to cell-surface AQP4 (rAb/AQP4) (mean ± SEM; n = 3). Data were fitted using a single-site total binding model. hC, human complement.

**Results**

**AQP4 rAbs display discrete levels of classical complement activity.** We first evaluated the contribution of the classical, alternative, and lectin pathways to AQP4-IgG-mediated CDC in vitro (Figure 1A). Chinese hamster ovary (CHO) cells expressing the M23-AQP4 isoform were incubated with the AQP4 rAb ON 07-5 no. 58 and complement or depleted normal human serum (NHS) as a source of complement. We found that CDC in M23-AQP4 CHO cells was completely dependent on classical pathway activation. We observed no lysis with C1q-depleted serum, and CDC was rescued following the addition of recombinant C1q. In contrast, inhibition of the alternative or lectin pathway through the selective depletion of either complement factor B or mannose-binding lectin had no significant effect on CDC in this assay, CDC was not observed when only the lectin pathway was active (factor D and C1q depletion).

Next, we examined the ability of AQP4 rAbs with distinct binding affinities and epitope specificities (19) to activate CDC on M23-AQP4 OAPs (Table 1). The EC50 for CDC varied widely across AQP4 rAbs (3.2–1226 nM; Figure 1B and Table 1) and did not correlate with the levels of bound C1q or rAb (Figure 1, C and D, and Table 1). Interestingly, 4 rAbs (ON 09-3 no. 33, ON 07-5 no. 93, ON 10-1 no. 153, and ON 07-5 no. 186) activated CDC efficiently at low levels of bound C1q and rAb (Figure 1, C and D, and Table 1). This group of rAbs shared unique epitope sensitivity to the amino acids His151 and Leu154 in extracellular loop C (Figure 1, E and F) (19). In contrast, AQP4 rAbs insensitive to alanine substitutions at these positions (ON 07-5 no. 53, ON 07-5 no. 58, and ON 10-1 no. 121) (Figure 1G) showed higher levels of bound C1q and rAb at their EC50 for CDC (Figure 1, C and D, and Table 1).

His151 and Leu154 epitopes facilitate AQP4-IgG Fc domain interactions on AQP4 OAPs. The IgG1 Fc fragment contains a CH2 domain that binds the C1q globular head and a CH3 domain that possesses a large hydrophobic patch critical for noncovalent interactions with neighboring IgG Fc domains (Figure 2A). His151/Leu154-dependent AQP4 rAbs may display enhanced CDC on M23-AQP4 OAPs by either optimizing Fc CH2 domain exposure or facilitating the interaction of neighboring OAP-bound AQP4-IgG. To test the relative contribution of these interactions to CDC, we introduced Fc domain point mutations that either increased (G236A/S267E/H268F/S324T/I332E, abbreviated as AEFTF) or decreased (K322A) CH2-C1q affinity (29), or promoted (E345R) or inhibited (I253D) CH3 Fc-Fc interactions (Figure 2B) (25). The Fc mutations did not impact rAb-binding affinity (Supplemental Table 1; supplemental material available online with this article; https://doi.org/10.1172/JCI122942DS1), but distinctly impacted CDC and C1q binding based on AQP4 rAb His151 and Leu154 epitope specificity (Supplemental Table 2).
The differing effects of CH2 and CH3 domain mutations are exemplified by His151/Leu154-independent and -dependent rAbs ON 07-5 no. 53 (Figure 2C) and ON 07-5 no. 186 (Figure 2F), respectively. Both IgG1 rAbs bind to AQP4 with similar affinity, however the His151/Leu154-dependent rAB ON 07-5 no. 186 activates CDC at a 6-fold lower CDC EC\(_{50}\) (ON07-5 no. 186: 3.2 nM vs. ON07-5 no. 53: 20.1 nM) (Table 1). For ON 07-5 no. 53, enhancing CH1-CH2 affinity (AEFTE) modestly increased the CDC EC\(_{50}\) to 9.3 nM (Figure 2C), whereas enhancing CH3 Fc-Fc interaction (E345R) increased the CDC EC\(_{50}\) to 4.1 nM, which was comparable to CDC by native ON 07-5 no. 186 (Figure 2C, and F, and Supplemental Table 2). CDC by native ON 07-5 no. 186 was insensitive to both the AEFTE and E345R mutations, indicating that binding of the rAb to its unique epitope had optimized CDC (Figure 2F). Importantly, both rAbs required Fc-Fc interaction to activate CDC, as the disrupting mutation I253D abolished CDC (Figure 2, C and F). Increasing C1q−CH2 affinity did not rescue or boost ON 07-5 no. 53 CDC when combined with mutations abolishing or enhancing CH3 Fc-Fc interactions (Figure 2C; I253D/AEFTE and E345R/AEFTE).

We further examined the role of CH3 domain interactions in driving CDC on M23-AQP4 OAPs using additional His151/Leu154-dependent and -independent AQP4 rAbs. The I253D mutation abrogated CDC activity in all AQP4 rAbs assayed, and this was independent of epitope specificity. The enhancing CH3 domain mutation E345R substantially increased CDC activity in His151/Leu154-independent rAbs (Figure 2, C-E). For example, CDC activity induced by ON 07-5 no. 53-E345R was fully rescued to reach the CDC levels seen with ON 07-5 no. 186-IgG1 (Figure 2D). In contrast, promoting Fc interaction had mixed effects on His151/Leu154-dependent rAbs (Figure 2, F-I). For example, CDC induced by ON 07-5 no. 93 was not affected by the E345R mutation (Figure 2G). The rAbs ON 10-1 no. 153-E345R and ON 09-3 no. 33-E345R showed some enhancement of CDC EC\(_{50}\) (ON 10-1 no. 153: 16.6 to 6.2 nM, ON 09-3 no. 33: 10.8 to 3.8 nM) (Figure 2, H and I), which could be due in part to the otherwise relatively low levels of C1q binding exhibited by these rAbs when compared with other His151/Leu154-dependent rAbs. C1q binding increased markedly with the introduction of the E345R mutation (Figure 2, H and I).

While enhanced CH3 Fc-Fc interaction routinely increased C1q binding, it additionally promoted C1q activation. When compared with His151/Leu154-independent rAb ON 07-5 no. 53, the CDC EC\(_{50}\) for His151/Leu154-dependent rAb ON 07-5 no. 186 occurred at lower total levels of bound C1q (5.2 vs. 10.5 nM, respectively) and a lower percentage of C1q (C1q/AQP4 B\(_{max}\)) (5.8% vs. 53.6%, respectively) (Supplemental Table 2). Large increases in C1q binding did not enhance CDC activated by ON 07-5 no. 186 (Figure 2D; AEFTE and E345R), and ON 07-5 no. 53-E345R dramatically increased CDC, despite a minimal boost in C1q binding compared with ON 07-5 no. 53-AEFTE (Figure 2C). Like ON 07-5 no. 186, strengthening the Fc-Fc interactions of His151/Leu154-dependent rAb ON 07-5 no. 93 (E345R) increased C1q binding, without enhancing CDC (Figure 2G).

Disruption of CH3 Fc-Fc interaction (I253D) or interference with CH2 domain C1q binding (K322A) eliminated C1q binding and CDC for both His151/Leu154-dependent ON 07-5 no. 53 and His151/Leu154-independent ON 09-3 no. 33 (Figure 2, C and I). Enhancement of CH2 domain C1q binding (AEFTE) was not able to restore C1q binding or CDC when introduced in combination with the I253D mutation for rAbs ON 07-5 no. 53 and ON 07-5 no. 186 (Figure 2, C and F). Consistent with a role for CH3 Fc-Fc interaction in C1q activation, rAb ON 09-3 no. 33-AEFTE/I253D bound similar levels of C1q to native rAb but failed to initiate CDC. Hence, epitope-mediated CH3-driven Fc-Fc interactions enhance both C1q binding and activation.

Enhancement of CH2-C1q binding or CH3-Fc interactions fail to rescue CDC on M1-AQP4. Previous work demonstrated that AQP4 rAbs and polyclonal serum AQP4-IgG are unable or have limited abilities to initiate CDC on M1-AQP4 (12, 17). Compared with densely packed M23-AQP4 tetrakers in OAPs, M1-AQP4 tetramers are dispersed throughout the cell membrane (30, 31), resulting in greater challenges for IgG complex assembly, C1q binding, and activation (Figure 3A). Neither His151/Leu154-dependent

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**Table 1. Summary of AQP4 rAb binding and CDC**

<table>
<thead>
<tr>
<th>AQP4 rAb</th>
<th>Loop epitopes</th>
<th>H151/L154 dependence</th>
<th>rAb K(_D) M23-AQP4 (nM)</th>
<th>rAb B(_{max}) M23-AQP4</th>
<th>CDC EC(_{50}) (nM)</th>
<th>C1q/AQP4 at EC(_{50})</th>
<th>rAb/AQP4 at EC(_{50})</th>
<th>Mean ASA score</th>
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<tbody>
<tr>
<td>ON 07-5 no. 186</td>
<td>A, C, E</td>
<td>Yes</td>
<td>15.2</td>
<td>2.4</td>
<td>13</td>
<td>0.1</td>
<td>0.1</td>
<td>13</td>
</tr>
<tr>
<td>ON 09-3 no. 33</td>
<td>A, C, E</td>
<td>Yes</td>
<td>24.7</td>
<td>3.6</td>
<td>3</td>
<td>0.6</td>
<td>0.1</td>
<td>3</td>
</tr>
<tr>
<td>ON 10-1 no. 153</td>
<td>C, E</td>
<td>Yes</td>
<td>275.0</td>
<td>68.1</td>
<td>7</td>
<td>0.9</td>
<td>0.1</td>
<td>3</td>
</tr>
<tr>
<td>ON 07-5 no. 93</td>
<td>A, C, E</td>
<td>Yes</td>
<td>16.9</td>
<td>3.1</td>
<td>5</td>
<td>1.1</td>
<td>0.1</td>
<td>5</td>
</tr>
<tr>
<td>ON 07-5 no. 53</td>
<td>C, E</td>
<td>No</td>
<td>14.8</td>
<td>2.4</td>
<td>15</td>
<td>1.0</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>ON 07-5 no. 58</td>
<td>A, C, E</td>
<td>Yes</td>
<td>61.3</td>
<td>8.3</td>
<td>10</td>
<td>1.2</td>
<td>0.2</td>
<td>6</td>
</tr>
<tr>
<td>ON 10-1 no. 121</td>
<td>A, C, E</td>
<td>No</td>
<td>2563.0</td>
<td>77.0</td>
<td>2</td>
<td>0.8</td>
<td>0.3</td>
<td>2</td>
</tr>
</tbody>
</table>

Loop epitopes A, C, and E represent the extracellular loops containing unique amino acid epitope contacts as mapped by Owens et al. (19). His151/Leu154 dependence is the binding dependence to the unique extracellular loop C amino acids His151 and Leu154. The K\(_D\) value indicates the equilibrium dissociation constant for rAb binding to AQP4. B\(_{max}\) denotes the maximum number of binding sites at saturating antibody concentrations. EC\(_{50}\) denotes the effective concentration at which 50% cell lysis occurred by CDC using 5% human complement. C1q/AQP4 indicates the ratio of C1q fluorescence intensity over total AQP4 fluorescence intensity. The mean ASA score denotes the potential for multivalent C1q contacts quantified from super-resolution images as described by Soltys et al. (32).
and M23-AQP4 (12), was the only rAb to show minimal CDC on M1-AQP4, although activity was severely limited (Figure 3C). We next examined C1q binding on AQP4 rAbs bound to M1-AQP4. All AQP4-IgG1 rAbs showed reduced C1q binding when compared with M23-AQP4 (Figure 3 and Figure 2). Despite generally lower levels of bound C1q, higher concentrations of the nor His151/Leu154-independent AQP4 rAbs showed significant CDC on cells expressing M1-AQP4 (Figure 3, B–H). Enhancement of Fc-Fc interactions (E345R) or CH3 Fc-Fc interactions did not rescue CDC. In addition, the combined mutation (AEFTE and E345R) failed to restore CDC on M1-AQP4 (Figure 3, B and E). ON 07-5 no. 58-E345R, which binds with equal affinity to M1-AQP4 and M23-AQP4 (12), was the only rAb to show minimal CDC on M1-AQP4, although activity was severely limited (Figure 3C).

We next examined C1q binding on AQP4 rAbs bound to M1-AQP4. All AQP4-IgG1 rAbs showed reduced C1q binding when compared with M23-AQP4 (Figure 3 and Figure 2). Despite generally lower levels of bound C1q, higher concentrations of the
Hist151/Leu154-dependent rAbs ON 07-5 no. 186-IgG1 and ON 10-1 no. 153-IgG1 bound C1q at levels that were sufficient to reach 50% cytotoxicity on M23-AQP4 (Figure 3, E and F, and Supplemental Table 3), yet yielded no CDC on M1-AQP4. Furthermore, ON 07-5 no. 53-AEFTE and ON 07-5 no. 58-AEFTE bound sufficient levels of C1q on M1-AQP4 for classical complement pathway activation on M23-AQP4 but also failed to induce CDC (Figure 3, B and C). Last, and in contrast to the observed C1q-binding effect on M23-AQP4, the CH3 domain E345R mutation did not enhance C1q binding on M1-AQP4 tetramers (Figure 3, B, C, E, and F).

The results suggest that the lack of AQP4 OAP formation by M1 tetramers prevents CH3 domain Fc-Fc interactions that are necessary for C1q activation. To evaluate this question directly, we probed for sublytic complement activation on target cells (C3d deposition) using C5-depleted serum. We did not detect C3d deposition on cells expressing M1-AQP4 for any AQP4-specific or iso-

Figure 3. AQP4 rAb CDC and C1q binding on M1-AQP4. **(A)** Space-filling and ribbon models of C1q, AQP4 rAb, and M1-AQP4 tetramers illustrating interactions potentially driving C1q-AQP4 rAb-M1-AQP4 multivalent complex assembly. C1q globular heads bind to the CH2 domain of AQP4 rAb (green), while neighboring AQP4 rAbs interact via CH3 hydrophobic patches (blue). Fab variable regions and AQP4 extracellular loops are colored brown. **(B)** CDC (left graphs; mean ± SEM; n = 4) and C1q binding (right graphs; mean ± SD; n = 3) was measured on M1-AQP4—expressing CHO cells using Hist151/Leu154-independent (B–D) and Hist151/Leu154-dependent (E–H) AQP4 rAbs. CDC activity for the native IgG1 AQP4 rAb on M23-AQP4 is plotted as the dotted black line. Some Fc domain mutations were introduced only into selected rAbs, as indicated in the key.
Figure 4. Complement deposition on M1-AQP4 and M23-AQP4–expressing CHO cells. Complement protein deposition on M1-AQP4–expressing (A) and M23-AQP4–expressing (B) CHO cells was detected by C3d immunostaining. AQP4 and control rAbs were as shown. The fluorescence intensity of C3d/AQP4 is tabulated with each point representing a single image, mean ± SD on bars. *P < 0.05, by 1-sided ANOVA with Tukey’s post hoc test compared with IgG1 or AEFTE/I253D (A) or ON 07-5 no. 58 E34S5R (B). C3d deposition by all IgG1 rAbs did not differ from that by control rAb 2B4 on M1-AQP4. Original magnification, ×400.
test whether differences in AQP4 rAb clustering on M23-AQP4 could be directly correlated with enhanced complement activation. We recently developed an image-processing algorithm to quantify the average size and spatial arrangement of AQP4 rAb clusters on AQP4 OAPs at less than 30-nm resolution using stimulated emission depletion (STED) microscopy (Figure 5A) (32). Using this method, we evaluated the average resolvable antibody cluster spread for multiple AQP4 rAbs on M23-AQP4 OAPs. His151/Leu154-dependent rAbs bound to M23-AQP4 OAPs generally appeared as larger clusters when compared with His151/Leu154-independent rAbs, independent of binding affinity (Figure 5B). As predicted, promoting antibody clustering via the E345R CH3 domain mutation significantly increased the average cluster size of His151/Leu154-independent AQP4 rAbs to a degree comparable to that seen with His151/Leu154-dependent AQP4 rAbs (Figure 5B).

We used a previously validated antibody spatial arrangement (ASA) scoring method to quantify the multivalent C1q-binding potential of AQP4 rAbs by STED microscopy (32). An ASA score of 1 represents a low probability for multivalent C1q binding, while a score of 4 represents a high probability (Figure 5C). Nonbiased hierarchical clustering analysis demonstrated that ASA scores for His151/Leu154-dependent AQP4 rAbs and AQP4 rAbs with enhanced Fc-Fc interaction (E345R mutation) were skewed higher (Figure 5D). ASA scores were largely independent of AQP4 rAb concentration, indicating that AQP4 antibody clustering on M23-AQP4 OAPs was driven predominantly by the intrinsic binding properties of the rAb. Indeed, AQP4 rAbs with higher mean ASA scores demonstrated lower AQP4 antibody saturation and C1q binding at EC₅₀ (Figure 5, E and F, and Table 1). Thus, data from super-resolution STED microscopy support a model of complement activation in NMO that is driven by the surface assembly of multimeric AQP4-IgG clusters.

**Antibody clustering drives CDC on primary murine astrocytes.** We examined whether the factors driving CDC on M23-AQP4-transfected cells were reproduced on primary murine cerebellar and cortical astrocyte cultures. Consistent with data derived from M23-AQP4–transfected cells, rAb ON 07-5 no. 186 demonstrated greater maximal CDC than did ON 07-5 no. 53 on both astrocyte populations (P < 0.05, unpaired Student’s t test) (Figure 6A and Supplemental Videos 1–3). Furthermore, enhancement of C1q binding (AEFTE mutation) did not increase CDC for either AQP4 rAb (Figure 6B); however, promotion of Fc-Fc interaction (E345R mutation) accentuated CDC activated by ON 07-5 no. 53 to levels comparable to those for native ON 07-5 no. 186 (Supplemental Video 4). As anticipated, the I253D mutation that inhibited Fc-Fc interaction abolished CDC induced by ON 07-5 no. 53.

Both AQP4 rAbs activated CDC at enhanced levels on cerebellar astrocytes compared with cortical astrocytes (Figure 6A and Supplemental Videos 2 and 5). To investigate whether this difference correlated with regional variations in AQP4 protein expression, we quantified AQP4 OAP abundance and array size using super-resolution stochastic optical reconstruction (STORM) microscopy (Figure 6, C–E). Consistent with prior studies (33, 34), cerebellar astrocytes expressed both higher levels of AQP4 protein (Figure 6F; P < 0.05, Student’s t test) and organized AQP4 into larger OAPs (Figure 6G; P < 0.0001, Kolmogorov-Smirnov test).

**Antibody clustering drives pathologic complement activation by polyclonal serum from patients.** Given the critical role for CH3 Fc-Fc interactions in organizing AQP4 rAb clusters, we asked whether it was possible to destabilize CH3 domain self-assembly by AQP4 rAb and polyclonal NMO serum using a small peptide, Fc-CH3, which binds human Ig Fc with high affinity and inhibits CDC induced by monoclonal anti-CD20 and anti-CD38 antibodies (25, 35). The peptide inhibited CDC by both His151/Leu154-independent (Figure 7A) and dependent (Figure 7B) AQP4 rAbs, and the inhibition was not overcome by the Fc mutations (AEFTE) that optimized C1q binding.

We next tested whether Fc-CH3 peptide could inhibit CDC driven by patients’ polyclonal NMO serum. Polyclonal serum from 7 distinct relapsing patients had variable levels of CDC on target cells expressing M23-AQP4 (Figure 7C) (18). CH3-Fc peptide consistently reduced complement injury when compared with control peptide (P < 0.05, paired Student’s t test) (Figure 6C). The results indicate that antibody clustering drives pathologic complement activation by polyclonal NMO serum IgG and that inhibition of CH3 domain–mediated IgG multimerization may provide a therapeutic approach for treating NMO attacks.

**Discussion** We examined the molecular interactions driving classical complement activation by pathogenic AQP4 autoantibodies in NMO. Using recombinant monoclonal anti-AQP4 antibodies derived from patients with NMO, we demonstrate that CH3 Fc-Fc-mediated assembly of multimeric autoantibody complexes on plasma membrane arrays of AQP4 protein is critical for C1q activation (Figure 8A). As predicted, large M23-AQP4 OAPs provide a stable, high-affinity platform for AQP4-IgG binding, complex assembly, C1q engagement, and C1q activation (16, 17, 22, 36, 37) (Figure 8A). In contrast, mobile M1-AQP4 tetramers resist array assembly and prevent the formation of Fc-Fc interactions between bound AQP4 autoantibodies (Figure 8A, left). AQP4-IgG binding affinity, epitope specificity, and titers combine to determine the distribution and orientation of autoantibodies on the surface of target astrocytes. High- or low-affinity AQP4 autoantibodies with His151/Leu154-dependent epitope specificity and orientation into clusters that are stabilized by adjacent CH3-CH3 binding, permitting efficient C1q binding and activation despite relatively low levels of bound IgG (Figure 8A, top). His151/Leu154-independent autoantibodies, however, require higher levels of IgG binding to assemble IgG platforms sufficient for C1q binding and activation (Figure 8A, bottom). Consequently, the severity and distribution of CNS NMO lesions are probably dependent on the combined action of factors affecting AQP4 OAP formation, AQP4-IgG binding, and activation of the complement pathway (Figure 8B). Future studies of the role of these individual mechanisms may facilitate the development of novel diagnostic, prognostic, and therapeutic strategies to predict and treat disease relapses.

Classical pathway–dependent cytotoxicity initiates with multivalent C1q-IgG binding to IgG clusters, resulting in C1 enzymatic activation, downstream proteolytic cascade activation, and deposition of membrane attack complexes on target cells. The molecular mechanisms regulating C1 activation have been the subject of considerable investigation (24, 38). C1 activation requires mechan-
best-fit platform that both optimizes high-affinity C1q binding and minimizes the activation energy needed to disrupt the C1r2:C1s2 complex. Indeed, IgG hexamers induce fluid-phase complement activation in the absence of target antigen (25, 26, 40). As only a limited number of C1q globular heads may need to contact a hexamer to initiate activation, it is possible that nonhexamer platforms arrayed on M23-AQP4 OAPs may be suitable for CDC.

Using STED microscopy, we visualized distinct spatial arrangements of plasma membrane–bound AQP4-IgG that varied depending on epitope specificity (Figure 5). While a hexameric organization may represent the optimal structure for C1q binding and activation, the geometry of certain epitopes on M23-AQP4 may provide an alternative stress to disrupt the C1r2:C1s2 complex housed within the C1 collagenous stems (23, 39). The activation energy necessary to achieve this stress may be minimized by the pattern of engagement between C1q and membrane-bound AQP4-IgG. In NMO, AQP4 rAbs that efficiently cluster on M23-AQP4 rAbs, or clone AQP4 rAbs, or enhance CH3 Fc-Fc interaction, activate C1q efficiently, despite low levels of bound protein, by optimizing the spatial interaction between the hexameric C1q and IgG Fc CH2 domains. In contrast, AQP4 rAbs on M1-AQP4 fail to coalesce into the necessary arrangement to activate the complement cascade, despite binding sufficient levels of C1q. IgG hexamer assemblies may represent a best-fit platform that both optimizes high-affinity C1q binding and minimizes the activation energy needed to disrupt the C1r2:C1s2 complex. Indeed, IgG hexamers induce fluid-phase complement activation in the absence of target antigen (25, 26, 40). As only a limited number of C1q globular heads may need to contact a hexamer to initiate activation, it is possible that nonhexamer platforms arrayed on M23-AQP4 OAPs may be suitable for CDC.

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AQP4 OAPs may restrict the assembly of certain AQP4-IgGs. In addition, the orientation of bound AQP4-IgG CH2 domains may impact C1q binding, as evidenced by the variations in C1q binding to native and mutagenized Fc domains on M1-AQP4 (Figure 3). Thus, the clusters of AQP4 rAb visualized on M23-AQP4 OAPs represent geometric variations of idealized IgG hexamers that drive C1q binding and subsequent activation. In patients with NMO, polyclonal serum AQP4-IgG binds to distinct epitopes on target OAPs using CH3 domain interactions to optimize C1q binding and activation despite constraints imposed by steric interference and competing Fc domain interactions (Figure 8B). Recently, Tradtrantrip and colleagues (28) demonstrated that preformed, fluid-phase IgG hexamers limit experimental NMO lesion formation ex vivo and in vivo. Fluid-phase IgG hexamers do not bind AQP4 or cell membrane proteins but rather inhibit C1q binding. It is likely that these artificially assembled IgG platforms provide idealized targets for C1q that outcompete imperfect AQP4-IgG multimers assembled on AQP4 OAPs.

In NMO, there is a predilection for optic nerve and spinal cord injury. In the optic nerve and spinal cord, AQP4 is expressed and assembled into large OAPs (41, 42). This may allow surface AQP4-IgG assembly and complement activation to overwhelm local tissue complement inhibition (Figure 8B). In NMO, pathology is also notably absent in peripheral organs despite significant AQP4 OAP expression (43), AQP4-IgG binding (44), and tissue susceptibility to complement activation. For example, the kidney is particularly susceptible to an array of complement-mediated disorders but is spared in NMO despite high levels of AQP4 expression (45, 46). While the local expression of complement inhibitors probably plays a role in elevating the threshold level for C1q activation and membrane attack complex deposition (47, 48), AQP4 OAP size, number, and organization also affect the initiation of the classical complement pathway to influence the regional specificity of CDC activation and consequent tissue injury (Figure 6) (12, 49). Therefore, modulating AQP4 OAP array formation may offer a therapeutic strategy for minimizing injury during acute attacks (12, 50).

The importance of IgG assembly formation for complement activation may have clinical implications for other antibody-mediated autoimmune disorders. For example, in anti-NMDA receptor (anti-NMDAR) encephalitis, anti-NMDAR IgG antibodies induce prominent memory and behavioral deficits in the absence of tissue destruction. Complement activation is not observed in pathologic specimens, despite large amounts of IgG1 autoantibodies (51). Instead, anti-NMDAR antibodies induce rapid receptor internalization (52) that likely prevents CH3 domain Fc-Fc interactions between plasma membrane-bound anti-NMDAR
IgG1. Conversely, in myasthenia gravis, autoantibodies against the nicotinic acetylcholine receptor (nAChR) bind monovalently and bivalently to dense, highly ordered hexagonal lattices at the neuromuscular junction (53). Although some autoantibodies may modulate nAChR signaling (54), complement activation is commonly observed in regions of anti-nAChR IgG deposition, and the destruction of neuromuscular junctions contributes to disease pathology (55). Indeed, the anti-C5 complement monoclonal antibody eculizumab was recently approved for the treatment of refractory myasthenia gravis (56). Large immobile arrays of target antigen may be the ideal target for complement-mediated humoral immunity, given the stable assembly of antibodies through the formation of CH3-CH3 interactions.

The requirement of ordered Fc domain organizations for complement activation by AQP4-IgG introduces unique challenges to correlating antibody titers with lesion severity and disease activity. First, as evidenced by His151/Leu154-independent and -dependent AQP4 rAb, individual AQP4 autoantibodies may differ profoundly in their ability to activate complement. As a result, NMO patients’ serum may activate complement with markedly different efficiencies despite similar autoantibody titers. Quantifying the specific antibody interaction. *P < 0.05, by paired t test.

### Methods

**AQP4 rAbs.** CSF plasmablasts isolated from clinically relapsing NMO patients were used to generate individual monoclonal AQP4 autoantibodies as described previously (10). Briefly, single-cell reverse transcriptase PCR was performed on isolated CD138+CD19– CSF plasmablasts to amplify heavy- and light-chain variable regions, and the resulting cDNA was then cloned on isolated CD138+CD19– CSF plasmablasts to amplify heavy- and light-chain variable regions, and the resulting cDNA was then cloned and cotransfected into HEK293 EBNA cells (American Type Culture Collection [ATCC]) to produce monoclonal antibodies. These rAbs were purified from culture supernatant using protein A columns, with structural and functional integrity confirmed by nondenaturing gel electrophoresis and immunohistochemistry.

The AQP4 rAbs used in this study were recovered from 3 AQP4 seropositive patients, and the extracellular loop amino acid epitope specificities are as described previously (26). Isotype control antibodies included rAb 2B4 (63), IC05-2 no. 2 (64), and rAb ON 07-5 no. 132 (non-AQP4 antibody).

Fc-domain point mutations that modulate the Fc-C1q (29) or Fc-Fc interface (25) were introduced into AQP4 rAbs by site-directed mutagenesis and confirmed by DNA sequencing. The AEFTE mutations include Fc-domain point mutations that enhance C1q affinity, while the K322A mutation decreases C1q affinity. The E345R and I253D mutations, respectively, enhance and decrease C1q affinity. The E345R and I253D mutations, respectively, enhance and decrease C1q affinity.

In conclusion, we developed a molecular model for classical complement activation by AQP4 autoantibodies in NMO. The model explains the influence of AQP4-IgG epitope specificity and AQP4 OAP array assembly on autoantibody-mediated CDC and offers new avenues for monitoring disease activity and treating relapse. This model advances our understanding of the pathogenesis of antibody-mediated autoimmune disorders and highlights an approach for the design of next-generation complement therapeutics.

**CH3 domain Fc-Fc interactions provide a target for complement inhibition in NMO and other autoimmune disorders. Current complement therapeutics targeting proteins such as C5 and C1q (6) carry significant risks for meningococcal infection (61) or kidney injury (62). More targeted inhibition of IgG CH3 Fc-Fc interactions should inhibit AQP4-IgG complement-mediated astrocyte destruction yet leave the alternative and lectin pathways intact. Our initial work demonstrates that CH3 domain peptides can block C1q activation in NMO patients’ sera. Additional efforts to design small-molecule inhibitors with CNS penetration will be needed to advance this approach, as small peptides have limited CNS penetration and short in vivo half-lives. Alternatively, antibody mutations that limit Fc-Fc interactions may advance the design of competitive AQP4 monoclonal antibody–blocking antibodies (21).**

**Figure 7.** Fc-CH3 peptide inhibits CDC induced by AQP4 rAb and sera from NMO patients. CDC induced by His151/Leu154-independent AQP4 rAb (A), His151/Leu154-dependent AQP4 rAb (B), or sera from NMO patients (C) was measured by an LDH release assay in the presence or absence of Fc-CH3 or control peptide. Brackets denote lack of statistical significance. The peptide concentration was 40 μM for all assays. Data were derived from a representative trial (n = 3 total trials, each of which had 4 experimental replicates). AEFTE denotes the combination Fc domain mutations that enhance C1q affinity. *P < 0.05, by paired t test.

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**NMO patients’ serum, complement, and peptides.** Serum was obtained from AQP4-IgG seropositive NMO patients and control AQP4-IgG seronegative NMO patients. Patients’ serum was heat inactivated prior to use in CDC assays. All assays tested CDC activation by serum from an individual patient at a final concentration of 5%.
NHS and complement-depleted human serum were used as sources of human complement (Complement Technologies). MBL protein was depleted from NHS over a sepharose 4B column, with depletion confirmed by Western blotting. The Fc-CH3 (DCAWHLGELVWCT) (25, 26) and control (WHTPDSLRLSNSGGGC) (66) peptides were synthesized by GenScript and MilliporeSigma, respectively.

Cell culture and reagents. All cells were incubated at 37°C in 5% CO₂. AQP4 expression was confirmed via immunohistochemistry. For assays with stable AQP4-expressing CHO cells (for rAbs) or U-87MG cells (for patients’ serum), cells were plated at 37,500 and 52,500 cells/well, respectively, in tissue culture 96-well plates and incubated overnight in complete media as described below, with assays performed the following day. All cell lines, including HEK-EBNA cells for rAb production, were authenticated at the University of Colorado Cancer Center Monoclonal and Tissue Culture Core.

CHO cells expressing M1- or M23-AQP4 were provided by Alan Verkman (UCSF, San Francisco, California, USA) and cultured with G418 supplementation to maintain AQP4 expression (19). CHO cells were grown in F12 media supplemented with 10% FBS, 10 μg/ml streptomycin, 10 U/ml penicillin, and 0.25 μg/ml amphotericin B (all from Gibco, Thermo Fisher Scientific). U-87MG cell lines permanently expressing M23-AQP4 were cultured in MEM media supplemented with 10% FBS, 1 mM sodium pyruvate, 1× nonessential amino acids, 10 μg/ml streptomycin, 10 U/ml penicillin, and 0.25 μg/ml amphotericin B.

A permanent cell line expressing M23-AQP4 with H151A and L154A mutations was generated for epitope mapping studies. Mutations were introduced into human M23-AQP4 using the GeneArt Site Directed Mutagenesis Kit (Thermo Fisher Scientific, catalog A13282) and confirmed by DNA sequencing. The final construct (pcDNA3.1-M23AQP4 H151A L154A) was transfected into the U-87MG cells (ATCC, HTB-14) in a 6-well tissue culture dish using Lipofectamine 2000 (Thermo Fisher Scientific, catalog 11668027). Cells were incubated at 37°C with 5% CO₂ and selected using G418 (400 μg/ml). Clones were then individually diluted into single wells of a 96-well plate. Individual clones were screened for AQP4 expression and AQP4 rAb binding by immunohistochemistry. The WT M23-AQP4 U-87MG cell line was generated as previously described (19).

Primary pure murine astrocyte cultures were prepared from C57Bl6 pups as previously described with some modifications (67). Mixed glial cells were isolated from P1-3 dissociated cortex and cerebellum. Astrocytes were purified using the Anti-GLAST (ACSA-1) MicroBead Kit (Miltenyi Biotec) and plated in poly-D-lysine–coated 24- or 48-well plates. Cell population purity was greater than 95%, with AQP4 expression limited to astrocytes (67, 68–70). Experiments were performed after greater than 90% confluency was reached on culture days 7–12. Cell cultures were maintained at 37°C in 5% CO₂ atmospheric conditions.

CDC assays with AQP4-transfected cells. CDC assays were performed using established protocols (21, 71). Cells were washed twice with F12 media (Gibco, Thermo Fisher Scientific) and incubated with serial dilutions of rAb or 5% patients’ serum in F12 (for rAb) or MEM (for patients’ serum) media containing 5% pooled human serum (Complement Technology) as a source of complement for 60 minutes at 37°C. LDH release was quantified using an LDH Cellular Cytotoxicity Kit (ClonTech). Absorbance at 490 nM was measured after 20 minutes of reaction development at room temperature using an absorbance plate reader (Molecular Devices). Complete LDH release (100%) was determined by lysing cells in a 1% Triton solution, and the background (no lysis) was determined by adding human serum to wells without AQP4 rAb. Cell death is presented as the percentage of lysis for all rAbs, calculated as (LDH experimental well – LDH background)/(LDH 100% lysis – LDH background) × 100%. Data were fit to a sigmoidal 4-point binding curve to calculate CDC EC₅₀ values. For CDC assays using patients’ serum, LDH release was normalized to heat-inactivated patients’ serum alone, in the absence of supplemental human serum as a source of complement. Each treatment had 4
replicates per experiment. For experiments with peptide inhibitors, the final concentration of peptide was 40 μM.

**Primary astrocyte cultures for CDC assays.** Astrocytes were washed and incubated with AQP4 rAb at 20 μg/ml and 5% pooled human serum (Complement Technologies) as a source of human complement for 6 hours at 37°C. Dead cells were labeled with DRAQ7 (1:200; Abcam, 109202) and imaged with an IncuCyte Zoom system (Essen Bioscience) as described previously (72). Briefly, each well was scanned with a ×10 objective lens in 9 (for 24-well plates) or 4 (for 48-well plates) randomly selected positions with high-definition phase-contrast and epifluorescence microscopy using a 585-nm/635-nm filter. Image processing and cell counting were performed using IncuCyte software. Each treatment had 3 replicates per experiment. Experimental controls were 20 μg/ml isotype control rAb plus 5% human serum.

**AQP4 rAb-binding assays.** Cells were washed twice in basal F12 media and incubated in live cell blocking buffer (MEM containing 2% NGS, 1% BSA, 1 mM NaPyr, 1 mM NEAA) for 30 minutes at 37°C. Cells were washed once in basal MEM, fixed in chilled 4% PFA for 15 minutes, and washed 3 times in 1× PBS. Cells were blocked and permeabilized (10% NGS, 1% BSA, 1% Triton in 1× PBS) for 30 minutes, washed once in 1× PBS, and incubated for 30 minutes at room temperature in 5% NGS, 1% BSA, and 1× PBS with a commercial AQP4 antibody (1:200) recognizing an intracellular epitope (Santa Cruz Biotechnology, catalog sc-20812). Cells were washed 3 times in 1× PBS, and secondary antibodies (anti-human Alexa Fluor 594, A-11014, 1:400; anti-rabbit Alexa Fluor 488, A-11034, 1:400; Life Technologies, Thermo Fisher Scientific) were added for 30 minutes at room temperature in 2% NGS, 1% BSA, 1× PBS, followed by washes in 1× PBS. Binding affinity was calculated by nonlinear regression using a single-site, total-binding equation of background-subtracted red/green fluorescence intensity ratios. When CDC EC50 values were superimposed over C1q-binding curves, the data points used to generate the curves were removed for clarity. Each experimental condition had 3 wells per treatment with at least 3 or more biologic replicates. AQP4 rAb ON 07-5 no. 53 or ON 07-5 no. 186 was tested in each experiment to confirm assay consistency. To quantify AQP4 expression without AQP4 rAb treatments, cells were fixed immediately after washing in basal F12 media, and immunohistochemistry was performed as above.

**C1q-binding assays.** Cells were washed twice in basal MEM media, and AQP4-specific or control rAb was added in the presence of 5% C4-depleted serum (Complement Technologies). After 30 minutes, cells were washed 3 times in basal MEM, and a FITC-conjugated anti-C1q antibody (Life Technologies, Thermo Fisher Scientific PA5-16601, 1:40) was added to live cell block solution for 30 minutes. Cells were washed once with basal MEM and fixed in chilled 4% PFA for 15 minutes. Three washes with 1× PBS were performed, and FITC fluorescence intensity was immediately quantified. Staining for AQP4 was then performed as described above. Data are represented as the fluorescence intensity ratio of C1q/AQP4 after background subtraction. When CDC EC50 values were superimposed over C1q-binding curves, the data points used to generate the curves were removed for clarity. C1q binding to ON 07-5 no. 186 was tested in each experiment to confirm assay consistency.

**C3d-binding assays.** Cells were washed twice in basal F12 media, and AQP4-specific or control rAb was added in the presence of 5% C5-depleted serum (Complement Technologies). After 60 minutes, cells were washed 3 times in basal F12, and a murine anti-C3d antibody (73) was added to live cell block solution for 30 minutes. Cells were washed twice with basal F12 and fixed in chilled 4% PFA for 15 minutes. AQP4 staining was performed as described above, with a C3d antibody secondary labeled with goat anti-mouse 594 (A-11032, 1:400, Life Technologies, Thermo Fisher Scientific). Images were acquired on a Leica DM IRB inverted microscope, and fluorescence was quantified using ImageJ software (NIH).

**Models.** Structural models were created using MacPyMOL version 1.7.4.4 (Schrödinger) and the crystal structures of AQP4 (Protein Data Bank [PDB] ID: 3GD8) (74), IgG1 (PDB ID: 1HZH) (75), IgG1 Fe domain (PDB ID: 1DN2) (76), C1q globular head (PDB ID: 1PK6) (76), and collagen (PDB ID: 2D3H) (77). C1q globular heads were joined to collagen assemblies on the basis of predicted structures (76, 78). For simplicity, the collagen stalk was removed from some C1q models.

**STED super-resolution imaging and analysis.** AQP4 rAb binding to cells was imaged using STED microscopy at a lateral resolution of less than 30 nm, with image acquisition, processing, and analysis performed as described previously (32). Briefly, bound AQP4 rAbs were labeled with Atto647N (Atto Tec), and AQP4 tetramers are detected with anti–rabbit STAR590 (Rockland). STED microscopy was performed (minimum 3 images per AQP4 rAb) using a noncommercial STED microscope at the University of Colorado’s Anschutz Light Microscopy Core. A series of MATLAB (R2015a software) programs were coded in-house to quantify the average size of all membrane-bound AQP4 rAb clusters across the entire STED image. Cluster spreading was calculated as the full width at half maximum (FWHM) of a resolvable object. The potential for multivalent C1q contacts (termed the ASA score) was quantified as previously described (32). Here, the rAb cluster spread was grouped by rAb epitope specificity and the ASA score distributions analyzed using nonbiased hierarchical clustering analysis in the Statistics and Machine Learning Toolbox in MATLAB. To correlate epitope-dependent antibody clustering with metrics of rAb binding, C1q binding, and CDC, ASA score distributions were converted into a mean score of 1 to 4 using the following formula: mean ASA = 1 × (% distribution ASA score 1) + 2 × (% distribution ASA score 2) + 3 × (% distribution ASA score 3) + 4 × (% distribution ASA score 4).

**STORM imaging and analysis.** Primary cerebellar and cortical murine astrocyte cultures were grown on glass-bottomed Petri dishes (MatTek). Intracellular AQP4 immunostaining was performed (32) and detected with anti-rabbit Alexa Fluor 647 (A-21244, 1:200, Life Technologies, Thermo Fisher Scientific). STORM imaging of AQP4 membrane protein was performed on a commercial Zeiss ElYra P1 Imaging System at the Anschutz Medical Campus Light Microscopy Core, using STORM imaging buffer (50 mM Tris-HCL, 10 mM NaCl, 10% glucose with ethanolamine, glucose oxidase, and catalase). At least 20,000 frames were acquired per image, and image processing was performed using the Zeiss software package including drift correction, filtering of continuous blinking signal, and resolution quantification. AQP4 expression was then estimated using an adapted binary threshold algorithm (33) for identically sized regions of interest randomly chosen within each imaged cell. Alexa Fluor 647 fluorophore resolution was characterized using M1-AQP4-transfected CHO cells. AQP4 rAbs genetically tagged with mGeos (79) were first bound to M1-AQP4 CHO cells using the live cell rAb-binding protocol and then intracellularly stained as described above to label individual AQP4 tetramers with Alexa Fluor 647. rAb
signal was processed and used to mask the Alexa Fluor 647 channel, and resolution was calculated for all underlying AQP4 tetramers. Resolution was consistent when imaging randomly immobilized secondary antibodies on glass dishes, with TetraSpeck fiducial markers (Thermo Fisher Scientific) randomly immobilized to establish the plane of focus.

Statistics. Statistical analyses were performed using GraphPad Prism 6 (GraphPad Software). For all analyses, a P value of less than 0.05 was considered statistically significant.

Study approval. Written informed consent was received from all participants prior to inclusion in the study. This study was approved by the IRB at the University of Colorado Anschutz Medical Campus.

Author contributions
JS and YL performed experiments. AR, SW, and KS cloned AQP4 rAb. HS produced AQP4 rAbs. JS, YL, GPO, and JLB analyzed data, prepared the figures, and edited the manuscript. JLB conceived the project.

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