Type 1 diabetes, also known as either juvenile diabetes (because of the early age of onset) or insulin-dependent diabetes mellitus (because of the clinical need for insulin), is now widely thought to be an organ-specific autoimmune disease. Here we wish to summarize some generally accepted facts about this disease and then give our perspectives on unresolved issues surrounding prediction, pathogenesis, and treatment. In particular, we will discuss markers of the disease, such as autoantibodies against pancreatic islet cell antigens.

Prevalence and etiology of type 1 diabetes
It is estimated that nearly a million people in the US are afflicted with this disease (1). The majority of the patients are diagnosed and classified with type 1 diabetes within the first two decades of life, but an increasing number of cases are being recognized in older individuals. The geographic incidence varies widely from 1.7/100,000 per year in Japan to more than 35/100,000 in Finland. In the US the lifetime prevalence approaches 0.4%, but in high-incidence countries, such as Finland and Sweden, it may be as high as 1%.

Type 1 diabetes is due to a deficiency of insulin as a result of destruction of the pancreatic \( \beta \) cells. At the time of clinical symptoms, 60–80% of the \( \beta \) cells are destroyed. Cells secreting glucagon, somatostatin, and pancreatic polypeptide are generally preserved but may be redistributed within the islets. Insulitis, an inflammatory infiltrate (Figure 1) containing large numbers of mononuclear cells and CD8 T cells, typically occurs around or within individual islets.

The cause of \( \beta \) cell destruction remained an enigma for years, but two discoveries in the 1970s provided the basis for our current thinking about the disease. The first was a strong linkage of type 1 diabetes to the highly polymorphic HLA class II immune recognition molecules — DR and, later, DQ — located on chromosome 6 (2, 3). Over the years, extensive studies have revealed a large number of high- and low-risk HLA alleles (4–6). For example, whereas only 45% of the population in the US expresses DR3 or DR4, 95% of those who develop type 1 diabetes express these haplotypes. A particularly strong association is found with the HLA haplotypes DQA1*0301–B1*0302, especially when combined with DQA1*0501–B1*0201. Other haplotypes show a strong negative association with type 1 diabetes. The DQA1*0102–B1*0602 haplotype occurs in over 20% of some populations, but less than 1% of children who develop type 1 diabetes express these alleles (7). HLA genotyping thus has become an important research tool for identifying subjects at risk of developing type 1 diabetes. Perhaps even more important, because of the known role of HLA molecules in antigen presentation, the HLA linkage and association supported the hypothesis that type 1 diabetes has an autoimmune component.

The second discovery, providing direct evidence for autoimmunity, came by incubating sera from type 1 diabetic patients with frozen tissue sections of normal blood group 0 pancreas (8, 9). Sera from type 1 diabetic patients with polyendocrine disease were found, by immunofluorescence, to stain pancreatic islets. These antibodies, which came to be known as islet cell anti-
autoantigens in type 1 diabetes

<table>
<thead>
<tr>
<th>Protein</th>
<th>Molecular weight (Da)</th>
<th>Amino acid length</th>
<th>Cell type in which expressed</th>
<th>Intracellular location</th>
<th>Function</th>
</tr>
</thead>
<tbody>
<tr>
<td>GAD65</td>
<td>65,000</td>
<td>585</td>
<td>Neuroendocrine</td>
<td>Neuron-like small vesicles</td>
<td>Converts glutamic acid to GABA: inhibitory neurotransmitter</td>
</tr>
<tr>
<td>IA-2</td>
<td>106,000</td>
<td>979</td>
<td>Neuroendocrine</td>
<td>Secretory vesicles</td>
<td>Enzymatically inactive member of PTP family</td>
</tr>
<tr>
<td>Insulin</td>
<td>6,000</td>
<td>51</td>
<td>Pancreatic islet β cells</td>
<td>Secretory vesicles</td>
<td>Ligand for the insulin receptor; regulation of blood glucose</td>
</tr>
</tbody>
</table>

Autoantibodies as predictors of type 1 diabetes

Hundreds of studies have now been carried out in laboratories around the world to determine the relationship between autoantibodies to GAD/IA-2/insulin and...
type 1 diabetes (16, 23–27). Approximately 70–80% of newly diagnosed type 1 diabetes patients have autoantibodies to GAD65. Nearly the same number or slightly less have autoantibodies to IA-2. Overall, fewer patients appear to have insulin autoantibodies, but this is due to a pronounced age effect: children with newly diagnosed type 1 diabetes have a markedly higher frequency of autoantibodies to insulin than teenagers or young adults (23). Some patients carry autoantibodies to only one of the major autoantigens, but others may react to all three. In newly diagnosed subjects, up to 90% have autoantibodies to one or more of these antigens. The percent positivity depends on a variety of factors, including not only the age of the subjects, but also the duration of the disease and, in some cases, their ethnic origins. Some intrinsic variability is also seen in the assay, particularly at the limit of its range of detection. In general, GAD65 autoantibody positivity tends to be stable, whereas IA-2 autoantibodies tend to decrease with duration of disease, and insulin autoantibodies cannot be usefully measured after initiation of insulin therapy. Extensive analyses of these autoantibodies in normal controls suggest that about 1.0% have autoantibodies to IA-2, GAD65, or insulin.

Although initial interest focused on the presence of autoantibodies at the time of diagnosis and during follow-up of patients, it soon became apparent that these autoantibodies precede the development of diabetes by many months or years (see Leslie, this Perspective series, ref. 28). A large number of studies have now shown that the presence of autoantibodies in an otherwise healthy subject can be used as a predictive marker to identify subjects at high risk for type 1 diabetes (16, 23–26) (Figure 2). The highest risk is associated with subjects carrying autoantibodies to two or three of these autoantigens. For example, one study showed that the presence of both GAD65 and IA-2 autoantibodies carried nearly a 50% risk of developing type 1 diabetes within 5 years and an even higher risk within 10 years (16). Other studies show that when subjects carry autoantibodies not only to IA-2 and GAD65, but also to insulin, the risk of developing diabetes within 5 years is increased to at least 70% (24). For the purpose of prediction, the presence of autoantibodies to two or more antigens is far more strongly associated with the risk of disease than is a high titer of autoantibody to any single antigen. Moreover, the combination of high-risk HLA genes with autoantibodies further increases positive prediction, and preliminary data suggest that autoantibodies appear earlier in children with high-risk genes (29, 30).

Populations are currently being screened for autoantibodies to GAD65, IA-2, and insulin to identify high-risk subjects for entry into therapeutic intervention trials. The value of this approach is obvious when one considers that the prevalence of individuals at risk of type 1 diabetes in the general US population is only 1 in 400 individuals. Therefore, to study the effect of a test drug on 100 subjects who will come down with type 1 diabetes, over 40,000 individuals would have to participate in the study. In contrast, with autoantibody markers, only 200 doubly autoantibody-positive subjects would be required, since almost 50% of these high-risk subjects will become diabetic within 5 years.

Autoantibodies in adults

Autoantibody screening is also being used to identify patients with type 1 diabetes in the older age group and in subjects initially classified as having type 2 diabetes. Diagnosis of diabetes follows internationally accepted thresholds of blood glucose. Classification of diabetes is based on clinical symptoms and treatment requirements rather than knowledge of the etiology and pathogenesis. This approach to classify a disease is unique to diabetes. It is therefore not surprising that screening of type 2 diabetes patients first by the ICA method and more recently by use of recombinant antigens has shown that 5–10% have autoantibodies to GAD65 and a somewhat lower percentage (2–4%) to IA-2 (31–33). Very few older subjects have autoantibodies to insulin. Based on these findings, GAD65 appears to be the predominant autoantigen in the older age group with autoimmune diabetes. Taken together, these studies are showing that many patients who were thought to have type 2 diabetes either have a combination of type 1 and type 2 diabetes or have been misclassified and actually have type 1 diabetes. This type of diabetes has also been referred to as latent autoimmune diabetes in the adult (LADA), type 1.5 diabetes, and slowly progressive insulin-dependent diabetes mellitus (SPIDDM). Moreover, it should be noted that in some patients classified as having type 2 diabetes who nonetheless carry autoantibodies to GAD65, the fasting c-peptide and body mass index are low, and the likelihood of ultimately requiring insulin is remarkably high (34). Since there are nearly 16 million people in the US with type 2 diabetes, if only 5% have been misclassified, the number of patients with type 1 diabetes would be nearly double the current estimate.
Major unresolved issues

**Triggers of type 1 diabetes.** Both genetic and environmental factors have been implicated as triggers in the pathogenesis of type 1 diabetes. Of particular importance are the HLA genes that are involved in antigen presentation. While certain HLA haplotypes, such as DQA1*0301–B1*0302 and A1*0501–B1*0201, are positively associated with type 1 diabetes, others, such as DQA1*0102–B1*0602, are negatively associated with type 1 diabetes (35). The positive association is far from absolute and, in fact, decreases in strength with increasing age of onset (35). Similarly, the negative association does not eliminate the possibility of developing type 1 diabetes but appears to slow the process and makes the development of the disease unlikely before age 30. Although the binding of antigens to different HLA molecules has been extensively studied, why some HLA haplotypes are positively associated and others negatively associated with type 1 diabetes is not clear.

Complete genome scanning of sib pairs with diabetes has revealed two quantitative trait loci (36), one linked to the HLA class II loci on chromosome 6 and the other linked to the promoter polymorphism of the Insulin gene on chromosome 11. Multiple other loci that may contribute to type 1 diabetes risk have been reported, but often at low frequency, and such reports are not always confirmed. Genes that might affect immunological tolerance have figured prominently in the speculation about pathogenesis. However, the role of these multiple loci in the disease process has not been defined. It is still unclear to what extent they actually contribute to genetic risk beyond the negative association does not eliminate the possibility of developing type 1 diabetes but appears to slow the process and makes the development of the disease unlikely before age 30. Although the binding of antigens to different HLA molecules has been extensively studied, why some HLA haplotypes are positively associated and others negatively associated with type 1 diabetes is not clear.

Complete genome scanning of sib pairs with diabetes has revealed two quantitative trait loci (36), one linked to the HLA class II loci on chromosome 6 and the other linked to the promoter polymorphism of the Insulin gene on chromosome 11. Multiple other loci that may contribute to type 1 diabetes risk have been reported, but often at low frequency, and such reports are not always confirmed. Genes that might affect immunological tolerance have figured prominently in the speculation about pathogenesis. However, the role of these multiple loci in the disease process has not been defined. It is still unclear to what extent they actually contribute to genetic risk beyond the negative association does not eliminate the possibility of developing type 1 diabetes but appears to slow the process and makes the development of the disease unlikely before age 30. Although the binding of antigens to different HLA molecules has been extensively studied, why some HLA haplotypes are positively associated and others negatively associated with type 1 diabetes is not clear.

Environmental factors such as pathogens, toxins, drugs, and food components have long been thought to contribute to the pathogenesis of type 1 diabetes, with viruses being the leading environmental candidate (37, 38). Animal studies have shown that viruses can infect pancreatic β cells and that the development of diabetes is dependent upon both the genetic background of the animal and the viral strain. Indeed, a single amino acid substitution within the virus can determine whether or not diabetes develops (39). In human twins, the development of type 1 diabetes in one twin is associated in less than half of cases with disease development in the identical co-twin, arguing that an environmental factor is required to trigger the disease. Of particular importance, susceptibility among identical twins is superimposed upon a diabetes-prone genetic background.

Case reports going back 100 years have shown a temporal relationship between certain viral infections and the development of type 1 diabetes, and seroepidemiologic studies provide additional support for this model (27, 37, 38, 40). Perhaps the strongest evidence for viral involvement in human type 1 diabetes comes from congenital rubella (41). Approximately 20% of children born with this infection ultimately develop type 1 diabetes. It is assumed that rubella either destroys a critical number of β cells, or triggers an autoimmune response to β cells, or both, in children with type 1 diabetes–susceptible HLA genes. Despite major efforts and numerous investigations of a large number of viruses in relation to diabetes onset, a viral cause for the vast majority of patients with type 1 diabetes has not been established. However, the demonstration that type 1 diabetes is a chronic autoimmune disease has changed the emphasis in the search for a viral agent from one that rapidly destroys β cells to one that may establish a chronic infection or trigger an autoimmune response to β cell antigens.

Pathogenesis of type 1 diabetes disease in humans. Although there is extensive information on the pathogenesis of autoimmune diabetes in the NOD mouse and the BB rat, there is relatively little direct information on the pathogenesis of type 1 diabetes in humans. In fact, many of our ideas about the pathogenesis of human type 1 diabetes are the result of extrapolation from rodents. The NOD mouse has proved to be an excellent model for studies on autoimmunity, but there are considerable differences between the mouse model and the human disease, and direct information on etiology and pathogenesis at the human level is still needed.

The perceived hazards in performing pancreatic biopsies, which would be of great value in following the course of the disease over time, have greatly limited our knowledge. What is known about the pathogenesis of human type 1 diabetes comes largely from random autopsy specimens obtained from patients who died either near the time of diagnosis from acute metabolic diabetic ketoacidosis or late in the course of the disease. In both cases the findings probably represent a late stage of the disease many months or years after the initial triggering event. Morphological techniques show a marked decrease in β cells over time, consistent with a chronic autoimmune inflammation, probably driven by macrophages and lymphocytes surrounding and infiltrating the islets. Because of the paucity of viable pancreatic islets, only limited information is available on the nature and function of the infiltrating cells. CD8 T cells seem to predominate, but neither the proportion of CD4 and CD8 cells nor the significance of other cell types in the infiltrate (e.g., natural killer cells, macrophages, dendritic cells) is known. Moreover, the proportion of CD4 or CD8 cells specific for GAD/IA-2/insulin is not well defined.

A variety of cell-mediated immune models have been proposed to explain the autoimmune destruction of β cells, but none of these models have been documented at the human level. It is generally thought that β cells expressing HLA class I antigens with peptides from one or more of the major autoantigens or from environmental triggers (e.g., viruses) might be recognized by and serve as targets for destruction by specific cytotoxic CD8 T cells. Surrogates of cell-mediated cytotoxicity using proliferation of peripheral blood T cells have shown that some patients with type 1 dia-
betes recognize one or more of these major autoantigens, but often only a small fraction of patients respond, and it is sometimes difficult to quantitatively distinguish the response of patients from that of controls. This difficulty may be due to the fact that pathogenic T cells are concentrated in the islets and are only sparsely represented in the peripheral blood. Assays for evaluating the cell-mediated response of patients and controls to diabetes-related autoantigens have also proved difficult to standardize. The whole area of islet pathogenesis would benefit enormously if noninvasive and quantitative techniques could be developed for estimating residual islet mass and the profile of inflammatory cells.

Of great importance, but still unresolved, is whether the infiltrating inflammatory cells themselves express cytokines that lead to cellular cytotoxicity or autoantibody formation. A cocktail of IL-1β, INF-γ, and TNF-α is known to be toxic to human islet cells in vitro (42). ELISPOT or soluble HLA class II tetramer assays might be used to characterize the responses of individual peripheral or infiltrate-associated T cells and should help resolve the role of these cytokines. An alternative approach, although perhaps less convincing, is the measurement of immunoglobulin isotypes, which are thought to be surrogate markers for identifying Th1 and Th2 responses. These studies have shown that the autoantibodies to IA-2 and GAD65 are primarily of the IgG1 isotype, which argues in favor of a cytotoxic, Th1-type immune response in autoimmune type 1 diabetes (33).

Clinical trials. The discovery of autoantibodies and their value as predictors of type 1 diabetes is making it possible to identify subjects for clinical intervention trials long before their β cell reserve is lost. The majority of these ongoing and proposed trials (6, 29, 30) are designed to induce immunological tolerance or to suppress the immune response. These approaches are promising but face obstacles. The fact that there are three major autoantigens in type 1 diabetes makes it difficult to know whether one, two, or all three major autoantigens are needed to induce immunological tolerance. It is also uncertain whether there is a single triggering autoantigen and whether the development of the autoantibodies to secondary autoantigens results from epitope spread or a bystander response (see Wucherpfennig, this Perspective series, ref. 43). Indeed, despite innumerable studies, it is not absolutely clear whether autoimmunity in type 1 diabetes is the cause or the result of the disease process. It remains possible that a still-unrecognized combination of genes or environmental factors triggers the destruction of β cells and that the autoimmune response is secondary to this process. Also of concern is the fact that 10–20% of newly diagnosed type 1 diabetes patients and an even higher percentage of African and Hispanic patients do not carry autoantibodies to any of the major autoantigens (7). These findings suggest either that the autoantibodies are present in very low titer or that other still-unidentified autoantigens are involved. Alternatively, a fraction of type 1 diabetes may not be autoimmune in nature (44). More precise information about the mechanisms involved in the pathogenesis of human type 1 diabetes is needed for designing effective clinical trials.

Summary

Based on the presence of autoantibodies and a strong HLA linkage, type 1 diabetes is now classified as a chronic autoimmune disease. Many issues, however, remain unresolved. Although autoantibodies to GAD65, IA-2, and insulin are clearly markers for this disease, it is not known whether they contribute to pathogenesis or are simply the response to an existing underlying destructive process. Based on extensive studies in animal models, it is thought that it is the cell-mediated immune response that is actually responsible for the destruction of β cells. However, this has not been unequivocally established in humans because of the lack of a reliable assay for measuring cell-mediated immunity to β cell antigens. What triggers the autoimmune response also is not known. The search for type 1 diabetes–specific genes so far has not shown revealing, and environmental triggers, although widely viewed as important, have remained elusive. Despite enormous interest in the basis of the disease, type 1 diabetes pathogenesis remains understudied because of the difficulty and hazards in biopsying the pancreas.

Nevertheless, the studies on autoimmunity have provided clinically useful information. In particular, the demonstration of the presence of autoantibodies years before the onset of clinical symptoms has made it possible to identify individuals at high risk of developing type 1 diabetes and to initiate therapeutic intervention trials on relatively small numbers of subjects. Thus, to a very large degree, type 1 diabetes is a predictable disease. In addition, the demonstration of autoantibodies in 5–10% of individuals who were classified with type 2 diabetes suggests either that some of these individuals have a combination of type 1 and type 2 diabetes or that the number of patients with type 1 diabetes may be nearly twice as great as previously thought.


