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### Review Series

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# Friend or foe: assessing the value of animal models for facilitating clinical breakthroughs in complement research

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Animal experiments have long been a cornerstone of advancements in biomedical research, particularly in developing novel therapeutic strategies for inflammatory and autoimmune diseases. However, these historically important approaches are now facing growing scrutiny for ethical reasons, concerns about translational limitations to human biology, and the rising availability of animal-free research methods. This shift raises a critical question: How relevant and effective are animal models for driving future advancements in today's research landscape? This Review aims to explore this question within the field of biomedical research on the complement system, critically evaluating the contribution of animal models to the recent advancements and clinical successes of complement-targeted therapies. Specifically, we assess areas where animal studies have been indispensable for elucidating disease mechanisms and conducting preclinical evaluations, alongside instances where findings from animal models failed to translate successfully to human trials. Furthermore, we discuss similarities and differences in the complement system between animals and humans and explore innovations in animal research designed to improve translatability to human biology. By assessing the contributions of animal studies to complement therapeutics, this Review aims to provide insights into animal models' strengths, limitations, and evolving role in complement research.

## Introduction

The complement system is a network of circulating and membrane-bound proteins (Tables 1, 2, 3, 4, and 5), crucial for immune defense and tissue homeostasis, while driving inflammation and injury during disease (1). As an ancient component of immunity, complement is highly conserved across vertebrates, with primitive forms present even in invertebrates (2). Research on this system, utilizing *in vitro* assays, animal models, and human samples, has provided key insights into its biology and role in numerous diseases (3). The FDA has currently approved 11 complement inhibitors for 11 disease indications (Supplemental Table 1; supplemental material available online with this article; <https://doi.org/10.1172/JCI188347DS1>), with more under investigation (4). Although clinical complement inhibition has only recently gained momentum, animal models have demonstrated its therapeutic potential for over 50 years (1, 5). However, the use of animal models is increasingly questioned because of ethical and translational concerns. For anti-neutrophil cytoplasmic antibody-associated vasculitis (AAV), animal models were crucial in uncovering the role of complement in its pathogenesis and achieving approval of the C5a receptor antagonist in this disease (6, 7). In contrast, complement inhibitors

for paroxysmal nocturnal hemoglobinuria (PNH) were approved almost entirely based on studies using human blood samples (8, 9). Presently, the clinical use of complement therapeutics enables the direct study of complement inhibition in humans (10). This, combined with innovations in molecular techniques and tools — *i.e.*, large-scale genomics (11, 12), AI-assisted methodology (13, 14), and organoids (15) — raises an important question in complement research: How essential is it to continue conducting animal experiments to achieve future success?

Although quantifying the contribution of animal models to clinical advancements in the complement field is challenging, this Review aims to assess areas where animal studies have made substantial contributions and where they have fallen short or proved unnecessary.

## Animal testing in biomedical research

The rise of animal-free methods, along with concerns about animal rights, ethics, high costs, and translatability, sparked skepticism regarding the continued reliance on animal testing. This shift is reflected in policy changes in the United States (Frank R. Lautenberg Chemical Safety Act — Toxic Substances Control Act) and the European Union (Registration, Evaluation, Authorisation and Restriction of Chemicals Regulation & 223/2009 EU CPR), placing animal research under heightened scrutiny. Additionally, the FDA now permits nonanimal testing alternatives for instances like biosimilar drugs and toxicity (FDA Modernization Act 2.0 — S.5002). Surveys indicate declining public support for animal testing and growing preference for its elimination (16). However, negative media coverage and misinformation contribute to unfavorable perceptions of animal testing (17), while increased awareness of regulations protecting laboratory animals improves attitudes (18).

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**Table 1. The initiators of the classical pathway and lectin pathway in mice and humans**

Protein	Mice	Humans	Ref.
C1q	<p>Mice have three C1q genes (<i>C1qa</i>, <i>C1qc</i>, <i>C1qb</i>) on chromosome 4. Human and mouse C1q share high homology (72%, 83%, and 73%) and cross-reactivity. However, mouse C1q has fewer arginine residues, which interact with the negative charges of ligands such as <math>\beta</math>-amyloid. No other key differences have been described between mouse and human C1q regarding structure, functions, or distributions.</p> <p>C1q deficiency in humans is linked to SLE, and <i>C1qa</i><sup>-/-</sup> mice develop a similar phenotype. In both species, BM transplantation can restore C1q levels and mitigate SLE, as myeloid cells produce circulating C1q levels. C1q is expressed in the aging brain.</p>	<p>Humans have three C1q genes on chromosome 1: 1) <i>C1qA</i>, encoding the A-chain; 2) <i>C1qB</i>, encoding the B-chain; 3) <i>C1qC</i>, encoding the C-chain.</p> <p>Six A-, six B-, and six C-chains form one C1q molecule, which associates with two C1r and two C1s molecules to form the C1 complex. C1q activates the CP by binding to immune complexes (IgM, IgG3, IgG1) or other DAMPs and PAMPs.</p>	282–286
C1r, C1s	<p>Mice have gene duplicates of C1r and C1s on chromosome 6: 1) <i>c1rA</i> and <i>c1sA</i>, mainly expressed by the liver and to a lesser extent by fat tissue and highly homologous in amino acid identity to human C1r (81%) and C1s (74%); 2) <i>c1rB</i> and <i>c1sB</i>, solely expressed in the male gonads.</p> <p>Both sets of enzymes are believed to form complexes with C1q but are expected to differ in substrate specificity. C1ra and C1sa serve as the murine orthologs to human C1r and C1s.</p>	Human C1r and C1s are encoded by single genes on chromosome 12 ( <i>C1R</i> and <i>C1S</i> ) and produced by the liver, with secondary production by leukocytes and the brain. C1r autoactivates when the C1 complex binds to ligands via C1q. Active C1r then converts C1s, which first cleaves C4 and then C2 within a C4b-C2 complex.	53, 54, 56, 287
MBL	<p>Mice have two genes for MBLs: 1) <i>Mbl-a</i> on chromosome 14 (ortholog of human <i>MBL1</i>), 2) <i>Mbl-c</i> on chromosome 19 (corresponds to human <i>MBL2</i>).</p> <p>Both proteins are produced by the liver and circulate as oligomers that can bind MASPs to activate C4. They differ in carbohydrate avidity, with MBL-C resembling human MBL. In addition, MBL-C has higher circulating levels than MBL-A, while MBL-A has a greater C4 activation potential than MBL-C.</p>	The human <i>MBL2</i> gene encodes MBL, while <i>MBL1</i> is a pseudogene. Both are located on chromosome 10. MBL is produced by the liver and found in serum as different oligomers together with the MASPs. MBL activates the LP by binding to carbohydrates on both DAMPs and PAMPs. A genetic MBL deficiency is common in humans.	45, 46, 288–290
Ficolins	<p>Mice have two ficolin genes on chromosome 2: 1) <i>Fcn-a</i> encodes ficolin-A (the ortholog of human <i>FCN2</i>), which is mainly expressed in the liver and spleen, and has a shorter splicing variant; 2) <i>Fcn-b</i> encodes ficolin-B (corresponding to human <i>FCN1</i>), which is mainly expressed by leukocytes.</p> <p>Both ficolins form oligomers, are present in blood, and bind MASPs to activate C4, but differ in their avidities for carbohydrates. Ficolin-A forms larger oligomers and has higher circulating levels and greater C4-activating potential than ficolin-B. The mouse homolog of the human ficolin-3 gene is a pseudogene on chromosome 4.</p>	<p>Humans have three ficolins encoded by 1) <i>FCN1</i> and <i>FCN2</i> on chromosome 9, 2) <i>FCN3</i> on chromosome 1.</p> <p>All ficolins circulate as oligomers and bind MASPs to activate C4. Ficolin-3 (produced by lungs and liver) forms larger oligomers and has higher serum levels and greater C4-activating potential than the rest. Ficolin-2 (produced by liver) binds the broadest range of targets, while ficolin-1 (produced by leukocytes) has the lowest C4-activating potential and serum levels.</p>	45, 46, 49, 50, 291–293
CL-10, CL-11	<p>Mice have collectin-10 and collectin-11, encoded by 1) <i>Colect10</i> on chromosome 15, 2) <i>Colect11</i> on chromosome 12.</p> <p>In both mice and humans, collectin-10 and -11 are produced by the liver, with collectin-11 also produced by the kidney. In mice, collectin-11 is also highly expressed in the heart and CNS. Mouse and human collectins differ in their carbohydrate specificity. <i>Colect11</i><sup>-/-</sup> mice develop normally, but human <i>COLECT11</i> mutations are linked to craniofacial abnormalities.</p>	<p>Human collectin-10 and -11 are part of the complement system encoded by 1) <i>COLECT10</i> on chromosome 9, 2) <i>COLECT11</i> on chromosome 2.</p> <p>Both collectins are found at low levels in the circulation, primarily as heterocomplexes. Collectin-11, both alone and as a heterocomplex with collectin-10, can bind MASPs and activate complement via the LP.</p>	24, 45, 294–297
MASPs, MAPs	<p>Mice have three MASPs and two MAPs: 1) <i>Masp1</i> on chromosome 16 encodes MASP-1, MASP-3, and MAP-1; 2) <i>Masp2</i> on chromosome 4 encodes MASP-2 and sMAP.</p> <p>In humans and mice, all MASPs/MAPs are produced by the liver and circulate in serum linked to the initiators of the LP. <i>Masp1/3</i><sup>-/-</sup> mice develop normally, whereas human <i>MASP-1/3</i> mutations are linked to craniofacial abnormalities. However, the lack of MASP-3 in humans and mice impairs the conversion of pro-Factor D into active Factor D.</p>	<p>Humans have three MASPs and two MAPs: 1) <i>MASP1</i> on chromosome 3 encodes MASP-1 and the two distinct splicing variants, MASP-3 and MAP-1; 2) <i>MASP2</i> on chromosome 1 encodes MASP-2 and splicing variant sMAP.</p> <p>MASP-1 activates MASP-2 plus cleaves C2, while MASP-2 cleaves C2 and C4. MASP-3 converts pro-Factor D into Factor D. MAP-1 and sMAP act as LP inhibitors.</p>	45, 298–302

CL, collectins; DAMPs, damage-associated molecular patterns; MAP, MBL/ficolin/CL-associated proteins; MASP, MBL-associated serine proteases; MBL, mannose-binding lectin; PAMPs, pathogen-associated molecular patterns; SLE, systemic lupus erythematosus; sMAP, small mannose-binding lectin-associated protein.

This complicates the determination of whether current negative views stem from misinformation or informed opinions.

Animal testing in research is under strict regulations in North America, the European Union, and several other countries, based on the principles of the 3 Rs (Replacement, Reduction, and Refinement) (summarized in ref. 19). Established over 50 years ago, these

principles provide a framework for conducting (more) ethical animal research. In brief, Reduction involves using the minimum number of animals needed for reliable results, while Refinement focuses on minimizing pain and suffering. Replacement entails employing nonanimal methods, when possible, either absolutely or relatively (animals provide organs or tissues for in vitro experiments).

**Table 2. Other proteins related to the classical pathway and lectin pathway in mice and humans**

Protein	Mice	Humans	Ref.
IgG	Mice have four IgG isotypes: IgG1, IgG2a, IgG2b, and IgG3. <i>Complement-activating ability</i> : IgG2a > IgG2b > IgG3. <i>Nonactivating isotype</i> : IgG1.  Whether hexamerization and posttranslational modifications affect complement activation by murine IgG is unknown. Murine IgG can activate human complement; but this does not only rely on IgG isotype.	Humans have four IgG isotypes: IgG1, IgG2, IgG3, and IgG4. <i>Activating ability</i> : IgG3 > IgG1 > IgG2. <i>Nonactivating isotype</i> : IgG4.  The ability to activate complement by IgG isotype is shaped by their ability to form hexamers, whereas carbamylation and sialylation reduce their activation ability.	303–307
C1 inhibitor	Murine C1 inhibitor is encoded by <i>Serping1</i> on chromosome 2. Mouse C1 inhibitor shares 78% amino acid identity with human C1 inhibitor, and no key differences have been reported in their structural, functional, or distribution characteristics. In accordance, human C1 inhibitor can effectively restore complement regulation in <i>Serping1</i> <sup>-/-</sup> mice.  In humans, <i>SERPING1</i> deficiency is associated with hereditary angioedema, and <i>Serping1</i> <sup>-/-</sup> mice exhibit a similar phenotype.	Human C1 inhibitor, encoded by <i>SERPING1</i> on chromosome 11, is a serine protease inhibitor mainly produced by the liver. Its expression can be enhanced by androgens. C1 inhibitor covalently binds to and inhibits C1r, C1s, MASP-1, and MASP-2, thereby inhibiting activation of the CP and LP. C1 inhibitor is also involved in the contact and kallikrein-kinin systems.	129, 308–310
C2	Mouse C2, encoded by <i>C2</i> on chromosome 17 within the MHC class III gene cluster, shares 74% amino acid identity with human C2. No significant differences in structure, function(s), or distribution have been described between mouse and human C2. In contrast to human C2, murine C2 is larger, and iodination does not affect its activity. Human C2 cross-reacts with mouse complement.	The human gene for C2 ( <i>C2</i> ) is on chromosome 6 within the MHC class III gene cluster and primarily produced by the liver. Cleavage of C2 is the rate-limiting step in C3-convertase formation in both the CP and LP. Circulating C2 levels are much lower than those of other components of the CP.	298, 311–314
C4	Mice possess two C4-like genes in tandem on chromosome 17 within the MHC class III gene cluster: 1) <i>C4b</i> (corresponding to human <i>C4B</i> ) encodes C4; 2) <i>Slp</i> (corresponding to human <i>C4A</i> ) is found only in adult male mice of certain strains, while it is entirely absent in several other strains.  Both proteins are liver-produced, are present in serum, and share 94% amino acid identity. Unlike C4, <i>Slp</i> is not cleaved by C1s, but it seems to enhance CP activation through an unclear mechanism. Human C4 exhibits slightly higher homology with mouse C4 than with <i>Slp</i> .  Consistent with the association between C4 deficiency and SLE in humans, <i>C4</i> <sup>-/-</sup> mice exhibit abnormal regulation of autoreactive B cells. Furthermore, human C4 can restore complement activity in <i>C4</i> <sup>-/-</sup> mice.	Humans have two functional C4 isotype genes in the MHC class III gene cluster on chromosome 6, with varying numbers of copy variants that determine the basal circulating levels: 1) <i>C4A</i> , encoding an acidic C4; 2) <i>C4B</i> , encoding a basic C4.  <i>C4A</i> and <i>C4B</i> share a 99.5% sequence identity, but functional differences have been observed between them, for example, in immune complex handling with CR1.	55–59, 311, 315
C4-binding protein (C4bp)	Mouse C4bp consists of SCR domains. Mice have a single functional gene: 1) <i>C4bpa</i> on chromosome 1 in the RCA; 2) <i>C4bpb</i> is a pseudogene, and mouse C4bp therefore lacks a $\beta$ chain.  Mouse C4bp is produced by the liver and circulates as multimers of $\alpha$ chains, which are noncovalently linked to each other. Although the murine $\alpha$ chain of C4bp lacks SCR5 and SCR6 found in the human $\alpha$ chain of C4bp, they have high homology and share 61% amino acid identity. Furthermore, the locations of key functional regions in the $\alpha$ chain are largely conserved between mice and humans. Mouse C4bp inhibits complement activation by similar mechanisms as seen in humans.	Human C4bp consists of SCR domains and is made up of multiple $\alpha$ chains and a single $\beta$ chain. Both chains are encoded in the RCA gene cluster: <i>C4BPA</i> and <i>C4BPB</i> on chromosome 1. C4BP is synthesized in the liver, but also by the pancreas, and circulates in plasma. C4BP inhibits complement activation by 1) serving as a cofactor for Factor I-mediated cleavage of cell-bound and soluble C4b, plus soluble C3b; 2) binding C4b and preventing the formation of C3-convertases; 3) accelerating the decay of CP/LP C3-convertases.	316–319

MASP, MBL-associated serine proteases; RCA, regulators of complement activation gene cluster; SCR, short consensus repeat; SLE, systemic lupus erythematosus.

Replacement can also involve substituting vertebrates with species that have a reduced capacity to feel pain (i.e., invertebrates or bacteria). Other strategies to reduce animal use include improved study design, method development, and project coordination. In silico (computational modeling), in vitro, and ex vivo approaches can also support the Reduction and Replacement principles.

## Using animals to understand the human complement system

Despite being highly conserved across vertebrates, notable differences still exist between humans and research animals (Tables 1–5) (20). Animal models are selected for their ability to standardize and manipulate, thereby determining causality. Furthermore, research in intact organisms provides context, as the complement system operates in circulation and locally in tissues, while interacting with

other systems (1, 3). Animal studies provided valuable insights, but not all findings translate to humans (3, 21). What, then, makes a model suitable for complement research, particularly for developing diagnostics and therapies for human diseases? Besides anatomical, physiological, and disease-related similarities, it is crucial to evaluate aspects of the complement system relevant to the research question, including phylogenetic proximity, sequence alignment, structure, functionality, protein interactions, and expression levels.

*Commonalities in the complement system across species.* Mammals, birds, amphibians, and fish generally possess a complete set of complement genes, with few exceptions (2). C3, a central component of the complement cascade, shows strong conservation across species (Figure 1) (22, 23). Similarly, there is a high degree of interspecies amino acid sequence homology with Factor B, along with collectin-10 and collectin-11 of the lectin pathway (LP) (2, 20, 24).

**Table 3. The AP in mice and humans**

	Mice	Humans	Ref.
Factor B	Murine Factor B is encoded by <i>Cfb</i> on chromosome 17, within the MHC class III gene cluster. Mouse and human Factor B are highly homologous (84% protein identity) and produced by the liver, with no key differences reported between them. Accordingly, human Factor B can react with mouse complement. AP activation is abolished in the serum of both <i>Cfb</i> <sup>-/-</sup> mice and humans with <i>CFB</i> deficiency.	Human Factor B is encoded by <i>CFB</i> on chromosome 6, within the MHC class III gene cluster. Factor B binds to C3(H <sub>2</sub> O) or C3b, enabling its cleavage by Factor D. Ba is a soluble fragment, while Bb remains attached to C3b. The formed C3-convertase (i.e., C3bBb) will then further cleave C3 molecules.	20, 313, 320–322
Factor D	Murine Factor D, encoded by <i>Cfd</i> on chromosome 10, shares 61% amino acid identity with human Factor D, with no key differences reported. In vitro AP activation is hugely reduced in <i>Cfd</i> <sup>-/-</sup> mice and human <i>CFD</i> deficiency. Accordingly, human Factor D restores AP activity in <i>Cfd</i> <sup>-/-</sup> mice. Lipodystrophy, characterized by loss of adipose tissue, results in lower Factor D levels in mice and humans.	Human Factor D is encoded by <i>CFD</i> on chromosome 19. Factor D, primarily produced by adipose tissue and metabolized by the kidney, circulates at low levels in its active form after being converted by MASP-3. Factor D cleaves Factor B when bound to C3, thought to be the rate-limiting step of AP activation.	323–328
Properdin	Murine properdin, encoded by <i>Cfp</i> on the X chromosome, shares 76% amino acid identity with human properdin. A structural difference for mouse properdin is an insertion in the fifth TSR domain, with unknown consequences for ligand binding. Human properdin restores AP activation in the serum of <i>Cfp</i> <sup>-/-</sup> mice. Myeloid cells are the primary source of circulating levels of properdin.	Human properdin is encoded by <i>CFP</i> on the X chromosome and circulates in blood as different oligomers, increasing its activity. As a positive AP regulator, it binds C3b and Factor B (or Bb), stabilizing the C3- and C5-convertases and extending their half-life. Properdin can also bind altered surfaces and initiate AP activation.	115, 329–331
Factor H	Mouse Factor H, encoded by <i>Cfh</i> on chromosome 1 within the RCA gene cluster, shares 63% amino acid identity with human Factor H. Individual domains exhibit higher homology, with similar locations of the regions responsible for regulation and surface recognition. Accordingly, human Factor H restores AP regulation in <i>Cfh</i> <sup>-/-</sup> mice. Both mouse and human Factor H are primarily produced by the liver. However, mice do not hold an equivalent of human FHL-1.  Human <i>CFH</i> deficiency is linked to C3G, and <i>Cfh</i> <sup>-/-</sup> mice develop a similar phenotype. In mice, Factor H on platelets and podocytes aids in immune adherence and immune complex processing, which are performed by human CR1 on erythrocytes and podocytes.	Human Factor H, encoded by <i>CFH</i> on chromosome 1 in the RCA gene cluster, consists of 20 domains. Domains 1 to 4 are vital for decay-accelerating and cofactor activities, while domains 6 to 8, plus 19 and 20, are crucial for surface binding. Factor H inhibits complement activation in circulation and on surfaces. The <i>CFH</i> gene also produces FHL-1, a splicing variant containing domains 1 to 7 of Factor H, which has similar regulatory activity but cannot distinguish between host and foreign surfaces. Factor H and FHL-1 circulate in blood in a molar ratio of ~2:1.	25, 63, 153, 332–334
FHRs	Mice have five FHR genes on chromosome 1 in the RCA, termed <i>Cfhr-a</i> to <i>Cfhr-e</i> , related to their genomic order: 1) <i>Cfhr-a</i> and <i>Cfhr-d</i> are assumed to be pseudogenes, but transcripts have been found for <i>Cfhr-a</i> ; 2) <i>Cfhr-b</i> , <i>Cfhr-c</i> , and <i>Cfhr-e</i> have been shown to produce transcripts and proteins. FHR-B and FHR-E have 5 domains, while FHR-C contains 14 domains.  The structure, domain composition, and sequence of murine FHR differ considerably from those of humans. In mice, the domains of FHRs exhibit a much higher degree of homology with Factor H than is seen in humans. Like humans, murine FHRs lack the regulatory domains but contain the binding domains of Factor H, enabling them to antagonize Factor H and promote complement activation.	Humans have five FHR genes on chromosome 1 in the RCA, in the following order: <i>CFHR3</i> , <i>CFHR1</i> , <i>CFHR4</i> , <i>CFHR2</i> , <i>CFHR5</i> . These genes originated from duplication events of <i>CFH</i> , thus sharing structural similarities.  FHRs share the binding domains of Factor H but lack the regulatory domains. As a result, FHRs can compete with Factor H for surface binding, but they instead promote further activation, thus making them Factor H antagonists. FHR-1, FHR-2, and FHR-5 contain a dimerization domain allowing them to form homo- and heterodimers. The genetic deletion of FHR-1 and FHR-3 is common in humans.	63, 64, 332, 335, 336
Factor I	Mouse Factor I, encoded by <i>Cfi</i> on chromosome 3, shares 78% amino acid identity with human Factor I. Like human <i>CFI</i> deficiency, <i>Cfi</i> <sup>-/-</sup> mice exhibit uncontrolled AP activation. In mice, circulating levels of Factor I are primarily produced by the liver. In addition to the cofactors of human Factor I, mice have <i>Crry</i> , a membrane-bound complement regulator. Cross-species activity of Factor I-mediated cleavage for C3b and C4b occurs with some cofactors but only if Factor I and the cofactor are from the same species.  Unlike <i>Cfh</i> <sup>-/-</sup> mice, <i>Cfi</i> <sup>-/-</sup> mice do not develop kidney disease but exhibit pathological changes in their kidneys.	Human Factor I is encoded by <i>CFI</i> on chromosome 4. <i>CFI</i> deficiency in humans is associated with an increased risk of infections, autoimmune disorders, and kidney disease.  Factor I regulates all pathways by cleaving C4b and C3b. The resulting fragments cannot cause further activation but retain immunomodulatory functions via binding to their receptors. Factor I circulates in an inactive form and requires a cofactor (i.e., C4BP, CR1, MCP, or Factor H) to attain enzymatic activity.	337–341

C4BP, C4-binding protein; Crry, CR1-related gene/protein Y; RCA, regulators of complement activation gene cluster; TSR, thrombospondin type 1 repeat.

Even though Factor H, a soluble complement regulator, is only 63% identical between humans and mice, the structural organization and functional roles remain highly similar (25). In primitive invertebrates, C3-like molecules retain key structural features analogous to human C3, including the thioester moiety (which enables covalent binding to surfaces), anaphylatoxin domain (C3a fragment), cleavage site (forming C3b), and Factor B binding site (C3-convertase assembly) (22, 23). Furthermore, many complement proteins exhibit functional cross-species reactivity (26). Important-

ly, protein-protein interactions in the complement system are highly conserved across species, such as C1q with IgG (27), MASPs with mannose-binding lectin (28), Factor H with C3d (25), and CD59 with C8 (29). Reduced homology and loss of cross-reactivity with human counterparts can result from coevolution to preserve key protein interactions, maintaining the fundamental framework of the complement system within species (29). Together, these functional similarities underscore the value of animal models in studying the complement system's role in human diseases.

**Table 4. Complement regulators and complement receptors in mice and humans**

	Mice	Humans	Ref.
MCP (CD46)	The mouse gene for MCP ( <i>Mcp</i> ) is located on chromosome 1 within the RCA gene cluster. While the cofactor activity of MCP for Factor I–mediated cleavage of C3b is conserved across multiple species including in mice, MCP also functions as a receptor for species-specific pathogens indicative of structural differences. Unlike humans, MCP expression in mice is restricted to the testes and the eye. The mouse-specific complement regulatory protein <i>Crry</i> is thought to perform some of the regulatory functions of human MCP, such as serving as a cofactor for Factor I in tissues that lack mouse MCP.	The human gene for MCP ( <i>MCP</i> ) is located on chromosome 1 within the RCA gene cluster. MCP is ubiquitously expressed as different isoforms due to alternative splicing. Erythrocytes are the exception, as they lack MCP expression. MCP serves as a cofactor for Factor I–mediated cleavage of C4b and C3b deposited on the surfaces of host cells. In addition, MCP has immunomodulatory roles on T cells and other leukocytes, while pathogens can “hijack” MCP as a receptor.	65, 70, 83, 342, 343
DAF (CD55)	Mice have two genes for DAF on chromosome 1 in the RCA gene cluster: 1) <i>Daf1</i> encodes a widely distributed GPI-anchored DAF (the ortholog of human DAF), sharing 47% in amino acid identity; 2) <i>Daf2</i> encodes a transmembrane DAF that is restricted to the testes and splenic dendritic cells.  The mouse-specific complement regulatory protein <i>Crry</i> and mouse DAF both possess decay-accelerating functions, which are only present in human DAF. Unlike human <i>DAF</i> deficiency, which causes CHAPLE disease, <i>Daf1</i> <sup>-/-</sup> mice do not present with an evident disease phenotype; instead, they show heightened T cell activity and exacerbated autoimmune-induced colitis.	Human DAF is encoded by <i>DAF</i> on chromosome 1 within the RCA. DAF is ubiquitously expressed in humans as different isoforms due to alternative splicing.  DAF is a GPI-anchored membrane regulator that protects host cells from complement-mediated cell lysis by preventing the formation of, and accelerating the decay of, C3- and C5-convertases, regardless of the activation pathway.  Additionally, DAF can signal intracellularly, thereby exerting immunomodulatory roles in leukocytes, while pathogens “hijack” DAF as a receptor.	73, 83, 262, 265–268, 344
CR1 (CD35) & CR2 (CD21)	In mice, a single gene ( <i>Cr2</i> ) on chromosome 1 within the RCA encodes both receptors via alternative splicing. Adjacent to this, mice have <i>Crry</i> , which encodes another widely distributed membrane complement regulator that combines the functions of DAF and MCP. <i>Crry</i> is believed to be lost during primate evolution, resulting in the creation of a separate CR1 gene in primates and humans.  <b>Structure:</b> Mouse CR2 consists of 15 domains, sharing 58% amino acid identity with human CR2. In mice, CR1 is identical to CR2 with 6 additional domains that facilitate its cofactor activity. Mouse <i>Crry</i> contains 5 domains and shares a high degree of homology with domain 1 to 5 of human CR1.  <b>Expression:</b> Like humans, CR1 and CR2 are found on B cells and dendritic cells in mice, but their expression is more restricted. In mice, CR1 is not found on erythrocytes or podocytes. Instead, <i>Crry</i> is expressed on all mouse cells, including erythrocytes.  <b>Function:</b> Like humans, mouse CR1 acts as a cofactor for Factor I–mediated C3b/C4b cleavage, and mouse CR2 serves as a receptor for C3 activation fragments (particularly C3d). Additionally, <i>Crry</i> has cofactor activity and decay-accelerating functions in mice. As seen in humans, mouse CR2 activates B cells together with the CD19 receptor. Mouse CR1 possesses equivalent activity, whereas this is not the case for human CR1. Furthermore, CR1 on human erythrocytes plays a role in clearing circulating immune complexes, which in mice is carried out by Factor H on platelets and podocytes.	Human CR1 and CR2 are encoded by distinct genes on chromosome 1 in the RCA.  CR1 serves as a cofactor for Factor I–mediated cleavage of C4b and C3b and accelerates the decay of C3- and C5-convertases; in these activities it functions <i>in trans</i> on other cells and immune complexes rather than <i>in cis</i> . It is broadly expressed, in varying amounts, on the membranes of leukocytes, podocytes, neurons, and dendritic cells. CR1 on erythrocytes is important for clearing circulating immune complexes, while CR1 on neutrophils and monocytes is involved in phagocytosis. CR1 also has immunomodulatory functions on B and T cells, and pathogens use it to invade.  CR2 functions as a receptor for C3 activation fragments but can bind noncomplement proteins. It is highly expressed on the surface of mature B cells but found on other cell types. In collaboration with CD19, CR2 plays a key role in B cell activation. CR2 also aids in the processing and presentation of complement-coated antigens to T cells, captures immune complexes on dendritic cells in lymphoid tissues to help maintain immunological memory, and shapes the repertoire of naturally occurring antibodies.	77–82, 84, 345–348

DAF, decay-accelerating factor; RCA, regulators of complement activation gene cluster.

Three examples of key paradigm shifts in the understanding of complement biology discovered in animal models and proven relevant to humans will be highlighted. Traditionally, the complement system was regarded as a liver-produced system confined to the circulation. However, research in mice revealed that locally produced complement is crucial for immune responses in diseases. Over 35 years ago, mouse kidneys were found to express and synthesize prominent amounts of complement (30). Later, a series of elegant experiments using a murine kidney transplantation model demonstrated that locally produced C3, rather than circulating C3, is paramount in initiating alloreactivity (31). When wild-type or C3<sup>-/-</sup> kidneys were transplanted into C3<sup>-/-</sup> or wild-type recipients, wild-type recipients of C3<sup>-/-</sup> kidneys exhibited the best outcomes, with 80% graft survival after 100 days. Recently, these observations were verified in humans, where genetic variations in donor C3, Fac-

tor B, and Factor H were associated with allograft survival in kidney transplantation, whereas recipient genetics had no effect (32). Another discovery arising from mice is the interaction between the LP and the alternative pathway (AP) via MASP-3, a splice variant of the *MASP1* gene (33). Evidence of MASP-3's role in AP activation came from *MASP1/3*<sup>-/-</sup> mice, which showed minimal AP activity alongside increased pro-enzyme Factor D levels (34, 35). MASP-1 was found to convert pro-Factor D *in vitro* (34, 35), but MASP-3 was ultimately uncovered as the main Factor D activator *in vivo* (36, 37). Findings in humans verified that MASP-3 functions similarly across mammals (38, 39). A final noteworthy example is sex-based differences in the complement system, first reported in *Science* in 1966 (40). Testosterone treatment in sterilized mice enhanced terminal complement activity, whereas estrogen decreased it (40). Recent work verified that female mice have

**Table 5. The terminal pathway and anaphylatoxin receptors in mice and humans**

	Mice	Humans	Ref.
CD59	<p>Mice have two functional genes for CD59 on chromosome 2: 1) <i>Cd59a</i> encodes CD59a, a widely expressed membrane protein believed to be the ortholog of human CD59; 2) <i>Cd59b</i> encodes CD59b, a membrane protein restricted to the testes and pancreatic islets.</p> <p>Cd59a and Cd59b share 41% and 44% amino acid identity with human CD59, respectively. Both proteins can functionally inhibit C5b-9 formation, but CD59b is more powerful than CD59a. In patients with PNH, erythrocytes lack both CD55 and CD59 due to a GPI anchor genetic mutation, with the loss of CD59 driving complement-mediated hemolysis. Single and double <i>Cd59</i><sup>-/-</sup> mice develop a mild form of hemolytic anemia.</p>	<p>Human CD59 is encoded by <i>CD59</i> on chromosome 11. This GPI-anchored membrane regulator is ubiquitously expressed and halts formation of the MAC on host cells, to avoid complement-mediated cell lysis.</p> <p>CD59 binds to C8 in the forming MAC (C5b-8) and blocks C9 recruitment into the complex, as well as further C9 polymerization in the MAC. CD59 can signal intracellularly, exerting immunomodulatory functions, and pathogens can “hijack” CD59 as a receptor.</p>	29, 71, 74–76, 349–353
C5, C6, C7, C8, C9	<p>The mouse terminal complement components C5, C6, C7, C8, and C9 are encoded by: 1) <i>C5</i>, located on chromosome 2, with mouse C5 sharing 89% identity with human C5. A large subset of commonly used inbred mouse strains for research are C5 deficient. 2) <i>C6</i>, <i>C7</i>, and <i>C9</i> on chromosome 15. Mouse C6, C7, and C9 are highly homologous to their human counterparts, sharing 75%–80% amino acid identity. However, mouse C6 is smaller, and some strains possess additional higher molecular weight forms of C6. 3) <i>C8a</i> and <i>C8b</i> on chromosome 4 for the <math>\alpha</math> chain and <math>\beta</math> chain and <i>C8g</i> on chromosome 2 for the <math>\gamma</math> chain. The three chains of mouse C8 are highly homologous to the human counterpart, sharing 75%–80% amino acid identity.</p> <p>No major structural, functional, or distribution differences have been described for these components in mouse and human. While cross-species reactivity is seen between mouse and human terminal components, activity is significantly reduced.</p> <p>In humans, genetic deficiencies of terminal components C5, C6, C7, C8, and C9 are associated with an increased risk of recurrent infections by <i>Neisseria</i> species, most commonly meningococcal meningitis or sepsis. Similarly, <i>C5</i><sup>-/-</sup> mice have been shown to exhibit greater susceptibility to meningococcal infections, with worse disease severity and outcomes.</p>	<p>In humans, the liver predominantly produces circulating C5, C6, C8, and C9, whereas C7 is produced by BM-derived cells: 1) <i>C5</i>, encoded by its gene on chromosome 19, has a two-chain structure but lacks the thioester. When activated, it cleaves into C5a, an anaphylatoxin, and C5b. 2) <i>C6</i>, <i>C7</i>, and <i>C9</i> are single-chain proteins encoded by distinct genes (<i>C6</i>, <i>C7</i>, and <i>C9</i>) on chromosome 5. 3) <i>C8</i> consists of three polypeptides encoded by distinct genes. The genes for the <math>\alpha</math> chain (<i>C8A</i>) and <math>\beta</math> chain (<i>C8B</i>) are located on chromosome 1 and the gene for the <math>\gamma</math> chain (<i>C8G</i>) on chromosome 9.</p> <p>C6 binds to C5b, recruiting C7 and facilitating the complex to bind to lipids on the membrane. After C8 recruitment, the complex makes the initial insertion into the membrane, allowing multiple C9s to bind and form a ring-shaped pore. The pore diameter is determined by the number of C9s and shapes the effect, ranging from activation to cell death. Soluble C5b-9 represents the failure of surface assembly.</p>	354–359
C3aR/C5aRs	<p>Mice have three anaphylatoxin receptors: 1) C3aR encoded by <i>C3ar</i> on chromosome 6, 2) C5aRs encoded by <i>C5ar1</i> and <i>C5ar2</i> on chromosome 7.</p> <p><b>Structure:</b> Mouse and human C5aR1 and C3aR share 65% amino acid identity, while C5aR2 shares 61%, with the biggest differences in the extracellular domains. C3a and C5a exhibit a similar degree of homology. Human anaphylatoxins strongly bind and activate the mouse receptors, except for human C5aDesArg, which only weakly binds mouse C5aR2.</p> <p><b>Function:</b> Like in humans, mouse C3aR and C5aR2 are both pro- and anti-inflammatory, while mouse C5aR1 is mainly pro-inflammatory. Ligand specificity and signaling are largely conserved, but mouse C5aR2 has much lower affinity for C5a.</p> <p><b>Expression:</b> C3aR, C5aR1, and C5aR2: not fully known but shows similarities and differences with human expression.</p> <p><b>Similarities:</b> C3aR expression on eosinophils, C5aR1 expression on neutrophils, and C5aR2 expression on PMNs.</p> <p><b>Differences:</b> In humans, neutrophils express C3aR and T cells express C5aR2, while this is absent in mice. In mice, podocytes express C5aR1, while this is absent in humans.</p>	<p>Humans have three anaphylatoxin receptors: 1) The C3aR gene is on chromosome 12. 2) C5aR1 and C5aR2 are encoded by their respective genes on chromosome 19.</p> <p><b>Structure:</b> C3aR and C5aR1 are GPCRs, while C5aR2 lacks this G protein coupling. C3aR binds C3a but not C3aDesArg. C5aR1 and C5aR2 both bind C5a, but C5aR2 binds C5aDesArg with a higher affinity than C5aR1.</p> <p><b>Function:</b> C3aR and C5aR2 can elicit pro- and anti-inflammatory responses (depending on costimulation, cell type, and context), while C5aR1 has mainly pro-inflammatory effects.</p> <p><b>Expression:</b> Various cells express C3aR, with high levels on eosinophils and mast cells. C5aR2 expression largely overlaps with that of C5aR1, but there are differences in abundance between them, especially in myeloid cells. Additionally, C5aR2 is expressed on certain cell types that lack C5aR1 and vice versa.</p>	85–87, 90, 360–367

C3aR, C3a receptor; MAC, membrane attack complex.

reduced complement activity because of lower levels of terminal components (41). Complement assessments in healthy Norwegian blood donors corroborated that women have lower levels of terminal components and reduced functional activity (42).

*Differences in the complement system among species.* The complement system exhibits notable differences across humans and research animals (Tables 1–5). Even closely related primate species show divergences in complement genetics, circulating levels,

and activity (43, 44). Among the pathways, the LP shows the greatest disparities across species (Table 1). In humans and great apes, *MBL2* encodes mannose-binding lectin, while *MBL1* is a pseudogene (45). In contrast, rodents, rabbits, pigs, and rhesus monkeys have two functional genes: *Mbl-a* and *Mbl-c* (45–48). Similarly, while humans and primates possess three ficolins (ficolin-1 to ficolin-3), rodents, rabbits, and pigs have only two (ficolin-A and ficolin-B), with ficolin-3 being a pseudogene (49–51). Additionally,

mice exhibit reduced classical pathway (CP) functionality (52). C1r and C1s exist as gene duplicates in mice, whereas humans possess a single gene for each (53, 54). Humans have two C4-encoding genes (*C4A* and *C4B*), while mice have one C4 gene (Table 2), along with a “C4-like” gene for sex-limited protein (Slp) (55). Unlike C4, Slp is exclusively expressed in male mice of certain strains, is not cleaved by C1s, and has low C4 activity (56–58). However, Slp may enhance CP activation by acting synergistically with C4 (58). Furthermore, immunoglobulin (sub)classes differ across species in their ability to activate complement (59).

Functional assays indicate that the AP, compared with other pathways, is relatively more potent in rodents than in humans (60–62). Although Factor H shows high cross-species similarities (Table 3), this does not apply to other members of the Factor H protein family (63). Rodents lack an ortholog of human Factor H-like protein 1 (FHL-1), an alternative splicing variant of the Factor H gene (63). Humans also have Factor H-related proteins (FHR-1 to FHR-5), originating from duplication events of the Factor H gene, leading to structural similarities (64). However, these duplication events occurred after the divergence of rodent and primate lineages (64). Consequently, the structure, domain composition, and sequence of murine FHR genes differ from those in humans (63, 64). The resemblance between FHRs in humans and other animals, regarding distribution and functionality, remains unclear.

Surface regulators and receptors also exhibit major cross-species differences (Tables 4 and 5). Membrane cofactor protein (MCP/CD46) is widely expressed in humans but limited to testes in rodents (65). Furthermore, pigs, bovines, and most primates express MCP on erythrocytes, whereas humans do not (65–68). Although MCP’s cofactor activity for Factor I–mediated cleavage of C3b is conserved across species (66, 69), structural differences exist, as MCP is a receptor for species-specific pathogens (70). Decay-accelerating factor (DAF/CD55) and CD59 are other widely expressed surface regulators in pigs, primates, and humans, preventing complement-mediated cell lysis (71, 72). Mice, however, possess two genes for both regulators, one widely expressed and resembling its human counterpart, and one restricted to the testes (73–75). Remarkably, guinea pigs are the only mammals that lack CD59 (76).

In humans and primates, complement receptor 1 (CR1/CD35) and complement receptor 2 (CR2/CD21) are encoded by separate genes (77), while in rodents, a single gene (*Cr2*) produces both receptors via alternative splicing (78, 79). Additionally, key differences exist in structure, functionality, and expression between rodent and human CR1 and CR2 (77–84). Rodents express another regulator named CR1-related gene/protein Y (*Crry*), which is absent in humans and primates, likely performing regulatory roles of human DAF, MCP, and CR1 (83, 84). The loss of *Crry* in primates is believed to have contributed to the development of a separate CR1 gene (77). The dog genome contains a single *Cr2*-like gene adjacent to two *Crry*-like and two *MCP*-like genes, whereas the gene organization of complement receptors in pigs remains poorly characterized. Finally, although C3a and C5a receptors in humans and mice are functionally similar, their cellular expression shows both overlap and differences (Table 5) (85–87). Among GPCRs, which typically exhibit 85%–98% homology between humans and mice, anaphylatoxin receptors have the lowest homology (61%–65%) (88–90). Single-cell sequencing is enhancing cross-species compar-

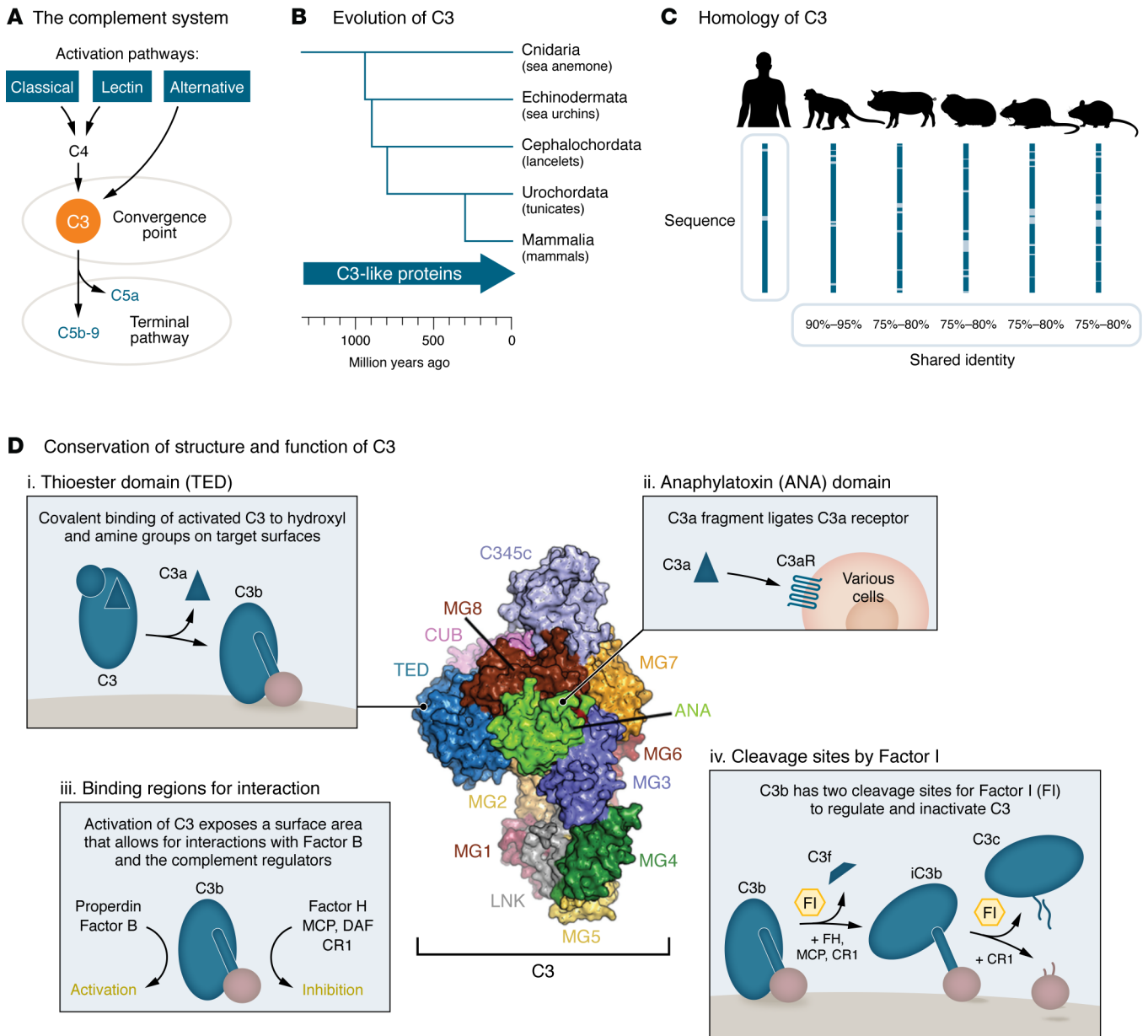
isons of complement mRNA expression in cell types and tissues, revealing both similarities and differences (91, 92).

Species differences in the binding avidities of complement initiators, as well as the composition and potency of complement pathways, can cause divergences in the mechanism of complement activation between animal models and human diseases, despite both being complement mediated (93–95). Although differences in complement across species are often used to critique animal studies, they have also advanced our understanding of human diseases. Interspecies differences and animal studies have been pivotal in identifying MCP as the receptor for measles virus, which infects humans and primates but not rodents (70). MCP is highly homologous between humans and primates, while rodents exhibit key structural and expression differences (96, 97). Experiments with monkey erythrocytes first suggested MCP as a measles receptor. Functional studies with rodent cells provided conclusive evidence that the virus could bind to and infect rodent cells if they expressed human MCP but no native rodent MCP (96, 97). Thus, while differences in the complement system between animals and humans pose challenges for translational research, they can also help uncover human-specific biology.

*Innovations in animal testing for complement research.* Advances in genome engineering have enabled the development of animal models that more accurately mimic aspects of human physiology, enhancing their clinical relevance. Targeted genomic humanization and conditional or inducible gene knockouts in rodents and larger animals have improved biological alignment with humans.

Replacing animal genes with human equivalents has been employed to address interspecies differences and to aid preclinical drug testing of human-specific targets. Identifying human MCP as the measles virus receptor led to the creation of human MCP-transgenic mice, enabling measles infection studies in previously resistant animals (98). Furthermore, since rodents have a single gene (*Cr2*) for CR1 and CR2, *Cr2*<sup>-/-</sup> mice exhibit dual deficiencies. Mice expressing human complement receptors were therefore developed to study their individual roles in vivo (99–101). Similarly, since mice express a single C4 gene (*C4b*), introducing human *C4A* into mice helped uncover the mechanisms underlying the association between *C4A* and schizophrenia in humans (102, 103). However, transgenic expression of human complement has also had unexpected effects. Humanizing C3 in mice triggered C3 glomerulopathy (C3G), a complement-mediated kidney disease, because of impaired regulation of human C3 by mouse inhibitors, causing spontaneous complement activation (104). Alternatively, C3-humanized rats remain healthy and do not exhibit uncontrolled C3 activation (105). Furthermore, humanized Factor H mice normally regulate their AP and attenuate or reverse kidney and eye pathology seen in *Cfhr*<sup>-/-</sup> mice (106). Other successful examples of transgenic rodents include knockins of human C1q, C5, C5aR1, C6, DAF, CD59, and C1 inhibitor (107–113).

The development of inducible and/or tissue-specific gene manipulation in mice enables spatial and temporal control in preclinical models. Early applications of this technology involved mice expressing human CD59 on erythrocytes or endothelial cells (114). Subsequent targeting of human CD59 in these mice with a pore-forming toxin created distinct disease models: disseminated intravascular coagulation when endothelial cells expressed CD59 and acute hemolysis when erythrocytes expressed CD59 (114).



**Figure 1. Conservation of structure and function of C3 across species.** (A) C3 is the central and most abundant circulating complement protein, forming the pivotal convergence point of all pathways. (B) Phylogenetic tree illustrating the early emergence of C3-like genes in primitive invertebrates. (C) Human C3 protein (UniProt Knowledgebase [UniProtKB]: P01024) exhibits significant homology with other animals, including mouse (UniProtKB: P01027), rat (UniProtKB: P01026), guinea pig (UniProtKB: P12387), pig (UniProtKB: P01025), and cynomolgus monkey (UniProtKB: A0A2K6D5R0). Percentages represent amino acid identity shared with human C3 and were obtained using the Align function in UniProt. (D) Human C3 consists of eight macroglobulin domains (MG1–MG8); an ANA domain; a linker (LNK) domain; a C1r/s, Uegf, B (CUB) domain; a TED; and a C345C domain. Structure of human C3 adapted from Zarantonello et al. with permission (368). Moreover, functional characteristics of C3 are conserved even in the most primitive invertebrates: (i) Cleavage of C3 removes the ANA domain (forming C3a) and induces conformational changes (forming C3b), exposing the reactive TED that enables covalent binding to surfaces. (ii) C3a is an ANA that can bind to its receptor (C3aR), leading to pro- and antiinflammatory effects, and is expressed in most species. (iii) Once formed, C3b can interact with Factor B, properdin, and various complement regulators. Factor B binding initiates formation of C3-convertases, which cleaves additional C3 into C3b, thereby creating an amplification loop. Binding of FH, MCP, DAF, and CR1 mediates C3-convertases' deactivation (via disruption of the C3b–Bb interaction) or degradation (via proteolytic cleavage of C3b). (iv) C3 contains two highly conserved cleavage sites for Factor I (FI), which, in the presence of cofactors such as FH, MCP, or CR1, inhibit further activation and cleave C3. The first cleavage by FI releases C3f, forming inactivated C3b (iC3b). A second cleavage releases C3c from the target-bound C3dg fragment. C3 fragments can still exert functional consequences via interaction with receptors.

Tissue-specific knockout mice for properdin identified myeloid cells as the primary source of circulating properdin levels (115), while mice with a conditional deletion of *Crry* in proximal tubular epithelium circumvented the embryonic lethality seen in global

knockout mice (116, 117). Overall, tissue- and cell-specific knockouts of complement genes have clarified the distinct functions of local versus systemic complement sources, and their relative significance in infections and inflammatory diseases, sometimes revealing

opposing effects (118–121). These models have also uncovered key cell-intrinsic functions of complement (122, 123).

CRISPR/Cas technology has revolutionized genome editing, enabling multiple genetic modifications simultaneously. CRISPR/Cas has created mice with atypical hemolytic uremic syndrome-associated (aHUS-associated) mutations, verifying disease causality and facilitating preclinical drug testing (124, 125). CRISPR/Cas systems also allow for larger gene modifications, such as the humanization of the entire Factor H locus in mice (126). These mice lacked murine Factor H and FHRs but expressed human Factor H along with a normal or mutant FHR-5. The mutant FHR-5, linked to C3G in humans, resulted in a gain of function, causing C3 deposition in the kidney and spontaneous disease (126). Additionally, CRISPR/Cas has generated *Serpining1*<sup>-/-</sup>, *C1qa*<sup>-/-</sup>, *Masp3*<sup>-/-</sup>, *Cfd*<sup>-/-</sup>, *Cfhr-e*<sup>-/-</sup>, and *C5*<sup>-/-</sup> mice (127–132). Traditional genetic modification methods were challenging for large animals; however, CRISPR has enabled the development of *C3*<sup>-/-</sup> pigs (133). Notably, CRISPR facilitated the creation of pigs with multiple genetic modifications, including human MCP and DAF expression, advancing xenotransplantation toward clinical application (134).

### Evaluating complement-targeted therapies: animal models versus clinical trials

Some diseases have shown drug efficacy in human trials consistent with prior observations in animal models, yet in other cases, clinical trials have failed despite robust animal evidence. It is challenging to discern whether these failures stem from the limitations of animal models or flaws in trial design. Additionally, some anticomplement drugs have been approved based on successful clinical trials in diseases without extensive animal testing, suggesting that animal studies may not always be essential. Conversely, failed trials without strong evidence from animal models raise questions about whether animal studies could have improved the design or predicted failure. Last, although not discussed here, animal testing is crucial for assessing toxicity (discussed in ref. 135).

*Animal studies leading to approved complement inhibitors.* AAV is a group of diseases characterized by vascular inflammation in small vessels. This disease exemplifies how discoveries from animal models can lead to the clinical approval of novel treatments (7). Traditionally, AAV was not considered complement mediated, as circulating C3 and C4 levels are often normal, with minimal tissue deposits of immunoglobulin or complement (136). Clinical trials demonstrated that adding avacopan (a C5aR1 blocker) to existing immunosuppression regimens for maintaining disease remission facilitated faster glucocorticoid tapering, thereby reducing side effects, leading to FDA approval (6). Fifteen years prior, animal studies sparked interest in the complement system in AAV by identifying a critical role for the AP and showing that genetic deletion of Factor B and C5 provided protection (137). Mouse models further revealed the importance of the C5a/C5aR1 interaction in AAV pathophysiology (138). Finally, murine models demonstrated that anti-C5 therapy and blocking C5aR provide protection in AAV (139, 140). Analysis of patients with AAV shows AP activation fragments in blood, urine, and tissues (discussed in ref. 141), verifying findings from animal models. Although human studies may have eventually uncovered the involvement of complement, the initial findings in animal models profoundly accelerated this process.

aHUS is another disease where animal models contributed to and supported the effectiveness of clinical complement inhibition. AP involvement in aHUS was first reported in the 1970s (142–145). Genetic studies associated Factor H mutations, followed by other complement-related gene variants, with the disease (146–150). This suggested that complement activation is central to aHUS, though the precise mechanisms remained unclear. Factor H mutations had also been linked to C3G, which is diagnosed by prominent C3 deposition within the glomeruli (151). Animal models established a causal link between Factor H deficiencies and C3G, as a genetic deficiency in pigs led to spontaneous disease (152). Mice with targeted gene deletions of Factor H verified that complement dysregulation drives C3G (153). A key question remained: Why do some patients with complement dysregulation develop aHUS, while others develop C3G? Factor H mutations in aHUS clustered in the protein's C-terminus, reducing protection of host cells from unwanted complement activation (154, 155). The seminal study by Pickering et al. revealed that complete Factor H deficiency led to C3G-like disease, whereas mice expressing a Factor H that lacked the last five domains developed aHUS-like disease (156). The structural similarities between Factor H in mice and humans enabled this breakthrough (25), offering the first in vivo evidence that impaired surface recognition by Factor H leads to aHUS. Of note, animal models showed that C5 inhibition in C3G provided only partial protection (157), foreshadowing mixed results in clinical trials with anti-C5 therapy (158). Recent studies suggest that aHUS and C3G probably require different therapeutic approaches to inhibit complement, as loss of Factor D or properdin in mouse models exacerbated C3G but protected against aHUS (125, 159–161).

Myasthenia gravis (MG) is an autoimmune disorder where autoantibodies disrupt neuromuscular transmission. Complement-activating autoantibodies are the primary driver of MG. In 1959, circulating levels of complement were already reported to inversely correlate with the severity of MG symptoms in patients (162). Fifteen years later, evidence emerged that targeting complement could treat MG, with C3 depletion being protective in a rat model (163). In MG patients and animal models, the MAC localizes at the neuromuscular junction (164–166). Animal studies conducted in the late 1980s predicted the success of terminal pathway inhibition in MG, as *C5*<sup>-/-</sup> mice were protected, while anti-C6 Fab antibodies in rats alleviated MG symptoms (167, 168). Overall, animal models have provided compelling evidence for the involvement of complement in MG and its therapeutic potential (169). Although a phase III study of anti-C5 therapy (eculizumab) in refractory MG missed its primary endpoint, positive secondary outcomes showed sustained benefit during the open-label extension phase, leading to FDA approval (170, 171). Later clinical trials with other anti-C5 therapies demonstrated greater improvements in generalized MG (172, 173), resulting in approval of ravulizumab (a long-acting monoclonal antibody against C5) and zilucoplan (a C5-blocking cyclic peptide).

Neuromyelitis optica spectrum disorder (NMOSD) is a relapsing inflammatory disease of the CNS, distinct from multiple sclerosis. Recently, pathogenic autoantibodies targeting the astrocytic water channel aquaporin 4 (AQP4) were identified in most patients with NMOSD, termed AQP4-IgG-seropositive NMOSD (174). In a randomized clinical trial involving patients with AQP4-IgG-seropositive

NMOSD, eculizumab reduced the relative relapse risk by 94% compared with placebo (175). Later, ravulizumab demonstrated a similar reduction in relapse risk (176). Animal models of NMOSD were vital in establishing the pathogenic role of anti-AQP4 autoantibodies and the complement system (177–183). Mechanistically, these models uncovered that, in NMOSD, anti-AQP4 autoantibodies bind to astrocytes, triggering complement-mediated cell damage, leading to leukocyte infiltration, cytokine release, and blood-brain barrier disruption (184, 185). This ultimately causes bystander oligodendrocyte injury, myelin loss, and neuronal death (184, 185). Although no publications have reported on anti-C5 therapy in NMOSD models, complement knockouts and complement inhibitors validated the efficacy of complement-targeted therapies in NMOSD (186–188). Overall, the passive transfer of human anti-AQP4 autoantibodies in animal models has been instrumental for uncovering disease pathogenesis and identifying complement as a therapeutic target in NMOSD.

*Unsuccessful clinical trials despite strong animal evidence.* Outcomes in clinical trials of complement-targeted therapies have been most disappointing in ischemia-reperfusion injury (IRI) related to cardiovascular disease and transplantation, along with antibody-mediated transplant rejection. The first large clinical trials of anti-C5 therapy were conducted for cardiac IRI (189). Confidence in targeting complement arose from preclinical studies demonstrating its key role and the efficacy of inhibitors in reducing cardiac IRI in animal models (190, 191). Early studies of a single-chain antibody directed against C5 (pexelizumab) demonstrated promising results in patients with myocardial infarction undergoing reperfusion therapy (192–196). The Assessment of Pexelizumab in Acute Myocardial Infarction trial tested pexelizumab in 5,745 patients with acute myocardial infarction undergoing percutaneous coronary intervention to improve mortality (197). Additionally, the Pexelizumab for Reduction of Infarction and Mortality in Coronary Artery Bypass Graft Surgery–I and –II studies assessed pexelizumab in 3,099 and 4,254 patients receiving cardiac bypass surgery to reduce perioperative myocardial infarction and mortality (189, 198). Together, these trials did not show a consistent significant clinical improvement. Similarly, a soluble form of human CR1 (TP10) was tested in 564 high-risk cardiac surgery patients requiring cardiopulmonary bypass but failed to reduce morbidity or mortality, despite effectively inhibiting complement (199). Previous animal studies showed the inhibitor was highly effective in reducing cardiac IRI (200). Flaws in trial design have been suggested, and post hoc analyses proposed subgroups who might still benefit (201–205). However, it is crucial to recognize that animal models of cardiac IRI fail to accurately replicate the complex clinical setting of patients with myocardial infarction undergoing reperfusion therapy, including comorbidities and concomitant medications (206). For example, the use of heparin, which also affects complement activation, is a treatment aspect not replicated in animal models, potentially confounding results (207).

Although tested in smaller numbers of patients, clinical trials have extensively studied complement inhibitors in solid organ transplantation. Despite these efforts, no evident clinical improvements or regulatory approvals have been achieved to date (208). Clinical trials primarily focused on antibody-mediated rejection (AMR) and IRI and were conducted predominantly in kidney transplantation. Robust data from animal models, including rodents, pigs, and primates, consistently demonstrated the benefit of complement

inhibition (209–213). Anti-C5 therapy with eculizumab has been evaluated in nine clinical trials for AMR in transplantation, for either prevention or treatment (ClinicalTrials.gov NCT02013037; NCT01399593; NCT01567085; NCT00670774; NCT01895127; NCT02113891; NCT01095887; NCT01106027; NCT01327573). Similarly, C1 inhibitor has been investigated in six clinical trials for AMR (ClinicalTrials.gov NCT01035593; NCT02936479; NCT02547220; NCT03221842; NCT01147302; NCT01134510). Blocking C5 did not significantly enhance outcomes in highly sensitized patients, nor did it prevent the progression to chronic AMR (214–219). Trials using C1 inhibitor in sensitized recipients also showed underwhelming results (220–222), but therapy may have been underdosed (223). Drugs targeting other complement proteins remain under active investigation in AMR (224). Additionally, complement-targeted drugs have been tested in clinical trials aimed at reducing IRI and improving short-term posttransplant outcomes (225–230), primarily in kidney transplantation. To date, there have been no concrete clinical advancements or regulatory approvals, despite various animal models of IRI predicting clinical success with anti-C5 therapy or C1 inhibitor (231–234).

*Approved complement inhibitors without substantial animal studies.* PNH was the first indication for which eculizumab received FDA approval (8, 9). It was well established that PNH erythrocytes lack CD55 and CD59 because of a genetic mutation affecting their glycosylphosphatidylinositol (GPI) anchor, leading to hemolysis via the insertion of C5b-9 (235). PNH exemplifies FDA approval of complement inhibitors with minimal animal studies. The reasons for limited animal studies in PNH are two-fold: (a) lack of representative animal models (236) and (b) ability to easily collect erythrocytes from affected patients (237), making it straightforward to study complement inhibitors in vitro. Moreover, prior clinical studies had demonstrated sufficient safety of C5 inhibition in humans. Subsequently, studies in patients with PNH treated with eculizumab also advanced our understanding of complement biology and disease mechanisms without further animal use, revealing C3 opsonins on erythrocytes trigger phagocytic uptake in the liver/spleen, causing extravascular hemolysis (10). Cold agglutinin disease (CAD) is another complement-mediated hemolytic anemia that received FDA approval for a complement inhibitor without comprehensive animal model testing. In CAD, autoreactive IgM activates the CP (238). However, since erythrocytes express CD55 and CD59, intravascular hemolysis by C5b-9 is limited and extravascular hemolysis predominates, driven by C3 opsonins (239). Like PNH, no accurate animal models exist, and anticomplement drug efficacy can be evaluated with in vitro assays using patient samples (240, 241). Sutimlimab, a C1s-blocking antibody, prevented opsonization of erythrocytes in vitro and was effective in a phase III study of patients with CAD, leading to FDA approval (241, 242). These results align with studies from the 1960s first suggesting complement's role in extravascular hemolysis (243).

Age-related macular degeneration (AMD) is a multifactorial eye disease causing retinal degeneration and is the leading cause of blindness in the elderly population. Genetic studies in patients with AMD were the first to uncover the key role of the complement system (63). Nearly two decades ago, three independent studies identified a common genetic variant in Factor H that significantly increased disease risk (244–246). Later research uncovered variants in additional complement genes that contributed to disease risk (12, 247, 248), partic-

ularly in the FHRs (63). In 2023, the FDA approved two intravitreal complement inhibitors — pegcetacoplan (C3 inhibitor) and avacincaptad pegol (C5 inhibitor) — as the first treatments for advanced non-neovascular AMD (249–251). Although animal studies have supported and substantiated human genetic findings by confirming the causal role of complement in AMD (106, 252–258), their influence on the approval of complement inhibitors appears limited.

In IgA nephropathy (IgAN), clinical trials led to the approval of complement inhibitors without meaningful animal studies (259). Animal models of IgAN are limited and rarely used to test complement inhibition (260). Decades of observational human data strongly suggested an important role for complement in IgAN. In brief, kidney biopsy data demonstrated that glomerular complement deposition is nearly always present and holds prognostic value (summarized in ref. 261). Extensive biomarker evidence indicated AP activation, including tissue deposition and detection of activation fragments in plasma and urine (261). Unbiased genomics studies linked Factor H and FHR variants to disease risk and activity (13, 261), with circulating levels and renal deposits of FHR also associating with outcomes (63, 261). Collectively, these findings compellingly implicated the AP as a key driver in IgAN. An interim phase III trial analysis showed that iptacopan (Factor B inhibitor) significantly reduced proteinuria in patients with IgAN, leading to accelerated FDA approval (259). This success also highlights how clinical observations can provide a strong rationale for effective clinical trials. Ongoing follow-up will assess iptacopan's impact on kidney function in IgAN.

A final example is CD55 deficiency with hyperactivation of complement, angiopathic thrombosis, and protein-losing enteropathy (CHAPLE) disease. In 2017, whole-exome sequencing associated loss-of-function variants in the *DAF* gene with early-onset protein-losing enteropathy and thrombosis in 11 individuals with gastrointestinal disorders, subsequently named CHAPLE disease (262). Shortly thereafter, reports demonstrated the efficacy of anti-C5 therapy for this condition (263). Pozelimab, a C5-blocking mAb, resolved clinical and laboratory manifestations of CHAPLE disease in 10 patients during an open-label phase II/III study (264), becoming the only FDA-approved treatment for this condition. *Daf1<sup>-/-</sup>* mice do not exhibit an evident phenotype but are more prone to complement-mediated inflammatory injury (265, 266). As in patients with CHAPLE, *Daf1<sup>-/-</sup>* mice exhibit heightened T cell activity and exacerbated autoimmune-induced colitis (267, 268). The swift approval of a complement inhibitor for CHAPLE disease clearly builds on insights from earlier translational and clinical studies of other complement-mediated diseases. However, given this existing knowledge and the availability of multiple clinical complement inhibitors with well-established safety and efficacy profiles, the necessity of additional animal studies for new indications could be questioned.

## Discussion and remarks

We conclude that animal studies are not the only means of advancing disease understanding or developing complement-targeted therapies, as evidenced by the approval of complement therapeutics with and without reliance on animal studies. Simultaneously, we conclude that animal models remain a valuable tool in the complement field, which currently cannot be replaced. Increasingly

available animal-free alternative research methods offer tools that supplement, rather than substitute for, animal-based approaches. Ultimately, every experiment must justify its choice of model — animal or otherwise — since all models are flawed and imperfect. Therapeutic targets supported by human observational genetic evidence are twice as likely to result in approved drugs than targets without human evidence (269). A recent meta-analysis estimated an 86% alignment in positive results between animal models and human studies for therapeutics, yet only 5% progress from animal studies to regulatory approval (270). This suggests that while animal models can accurately predict drug responses in human diseases, their translation is currently limited because of inconsistencies in design between preclinical studies and clinical trials. Therefore, aligning the design of animal studies with clinical trials — by incorporating randomization, blinding, clinically relevant outcomes, and long-term endpoints — could increase the number of treatments that progress from animal studies to regulatory approval. Currently, no data exist on the concordance between positive results from animal-free methods, e.g., organoids, and clinical trial outcomes. Until these methods are proven to be equally effective or superior, they cannot replace animal models.

The clinical efficacy of complement inhibitors is the ultimate validation of its pathophysiological relevance. However, the absence of clinical trials or negative clinical results does not necessarily disprove this. Industry chooses disease indications based on multiple factors, not just animal studies. While membranous nephropathy was among the first kidney diseases in which complement activation was thoroughly documented (271), industry prioritized clinical trials in IgAN. Furthermore, promising complement inhibitors in phase II trials have been discontinued because of shifting business priorities (272). Challenges such as patient recruitment for rare diseases, lengthy study durations, and complex endpoints in chronic conditions further complicate clinical trials. Design flaws may also contribute to unsuccessful outcomes (though this is speculative). For example, LP activation is observed in only one-third of patients with IgAN (261). However, a phase III trial of a MASP-2 inhibitor in IgAN proceeded without assessing LP activation and yielded negative results (NCT03608033). Furthermore, anti-C5 therapy was tested in membranous nephropathy but failed to reduce proteinuria (273). However, the study was prematurely halted, and concerns linger about the therapy being underdosed (274, 275), as proteinuria affects drug pharmacokinetics (276). In rheumatoid arthritis, complement inhibitors have yielded disappointing results so far, but they have been tested only in early-phase trials with few patients and short follow-up periods (277, 278). Consequently, the use of complement inhibitors in these diseases remains an unfinished story.

Animal models, when justified, are invaluable for exploring the complement system in health and disease. We believe this is also evident from our better understanding of disease mechanisms in conditions with approved complement inhibitors, such as aHUS, AAV, and MG, which have animal models, compared with CHAPLE and IgAN, which do not. Additionally, there is a clear need for better diagnostic tools for complement therapeutics, and animal models are extremely useful for developing and validating these tools, such as imaging approaches to detect tissue-bound complement deposits (279). Finally, animal studies have revealed surprising insights into complement's role in disease, such as the discovery

that complement activation can promote tumor growth in animal models (280, 281). While the translation to human disease is tentative, ongoing clinical trials of complement inhibitors for cancer will hopefully answer this question (NCT04919629; NCT04812535). Nevertheless, this research has already expanded our understanding of complement biology (1). In conclusion, when appropriately justified — particularly in relation to translation to human biology and disease — while always considering and addressing ethical concerns, animal models remain a valuable ally to the complement field in the foreseeable future, as they cannot yet be fully replaced.

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