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Severe combined immunodeficiency caused by deficiency in either the \( \delta \) or the \( \epsilon \) subunit of CD3

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We investigated the molecular mechanism underlying a severe combined immunodeficiency characterized by the selective and complete absence of T cells. The condition was found in 5 patients and 2 fetuses from 3 consanguineous families. Linkage analysis performed on the 3 families revealed that the patients were carrying homozygous haplotypes within the 11q23 region, in which the genes encoding the \( \gamma \), \( \delta \), and \( \epsilon \) subunits of CD3 are located. Patients and affected fetuses from 2 families were homozygous for a mutation in the CD3D gene, and patients from the third family were homozygous for a mutation in the CD3E gene. The thymus from a CD3D-deficient fetus was analyzed and revealed that T cell differentiation was blocked at entry into the double positive (CD4+/CD8+ ) stage with the accumulation of intermediate CD4–single positive cells. This indicates that CD3\( \delta \) plays an essential role in promoting progression of early thymocytes toward double-positive stage. Altogether, these findings extend the known molecular mechanisms underlying severe combined immunodeficiency to a new deficiency, i.e., CD3\( \epsilon \) deficiency, and emphasize the essential roles played by the CD3\( \epsilon \) and CD3\( \delta \) subunits in human thymocyte development, since these subunits associate with both the pre-TCR and the TCR.

Introduction
Severe combined immunodeficiencies (SCIDs) are a group of genetic disorders characterized by profoundly defective T cell differentiation (1). Several SCID conditions have been defined on the basis of enzymatic, genetic, and immunological criteria (2). Adenosine deaminase deficiency results in a profound impairment of T, B, and NK lymphocyte development (3). One subset of SCIDs is characterized by the arrest of both T and B lymphocyte differentiation (T B SCID), whereas in a different subset B cell differentiation is unaffected but NK cell differentiation is impaired (T B NK SCID). The molecular bases of many of these conditions are now known and involve defects in either the T and B cell receptor V(D)J recombination factors, i.e., Rag-1, Rag-2, or Artemis, in T B SCID (4, 5), or IL-dependent T cell and NK cell differentiation due to mutation in the IL2RG gene (\( \gamma \epsilon \) deficiency) or the \( \gamma \epsilon \)-associated JAK3 kinase gene (Jak-3 deficiency) in T B NK SCID (6, 7). In addition, another SCID subset is characterized by an absence of mature T lymphocytes in the presence of normally proceeding maturation of NK and B cells (T B NK SCID). Deficiency of the \( \gamma \epsilon \) chain of the IL-7 receptor (IL-7R\( \gamma \epsilon \)) (8) is the predominant form of T B NK SCID, but 2 cases associated with CD45 deficiency have been reported (9, 10). More recently, a mutation in the CD3D gene was identified in 3 members of a kindred with the T B NK SCID form of SCID (11).

Intrathymic T lymphocyte differentiation requires appropriate signals from the pre-TCR (Pre\( \alpha \)/TCR\( \beta \)) and/or the TCR (TCR\( \alpha \)/\( \beta \) or TCR\( \gamma \)/\( \delta \)) (12–14). The pre-TCR, TCR\( \alpha \)/\( \beta \), and TCR\( \gamma \)/\( \delta \) are associated with 3 signal-transducing complexes, namely the CD3\( \delta \)- and CD3\( \gamma \epsilon \)-heterodimers and a disulfide-linked CD3\( \gamma \epsilon \)-SCID. However, in humans, the respective roles of the different CD3 chains in T cell differentiation are not well known. In CD3\( \gamma \epsilon \)-/ mice, thymocyte differentiation is blocked at the double negative stage (17), which suggests that the \( \gamma \epsilon \) dimer is essential at an earlier intrathymic maturation step than the \( \delta \) dimer. In these mice, T cell differentiation is probably blocked because the pre-TCR is unable to transduce the signal for progression. In contrast, thymocytes from CD3\( \delta \)-/ mice do progress to the double positive stage (18).

Studies of human T cell immunodeficiencies associated with a defect in any one of these three subunits may provide insights into both the redundant and the nonredundant roles of the CD3 molecules. Until recently, only 2 immunodeficiencies associated with a CD3 chain defect had been described. CD3\( \gamma \)-deficiency did not impair intrathymic T cell differentiation. In these cases, peripheral CD4 T cells and the few CD8 T cells present expressed a CD3\( \gamma \)-deficient CD3 complex (19, 20). Conversely, the study of a T SCID condition associated with a CD3\( \delta \) deficiency suggested that the CD3\( \delta \) subunit is essential for T cell development in humans (11). In this study we describe a T B NK SCID phenotype associated with either a CD3\( \delta \) deficiency found in 2 families — with a hitherto undescribed mutation in 1 of the families — or a CD3\( \epsilon \) deficiency in 1 family.

Results
Immunological characteristics. No CD3- T lymphocytes were detected in any of the patients tested or in fetal blood samples from family III (Table 1). B lymphocytes were detected in all tested cases. Counts were at the upper limit of the normal range. CD56+ NK cells were detected in the blood of the patient III-2 (PIII-2) and PIII-3 fetuses and in both patients tested (Table 1). NK cell counts were either within the normal range (PI-3) or high (PII-2). Serum IgG levels after 4 months of age, when they could be evaluated, were low (PII-1 and PIII-1). IgA was not detected in the serum of any of the patients. In contrast, IgM was detected in all patients.
Identification of mutations in the CD3D or CD3E genes. Segregation analysis of polymorphic markers spanning the 19p13.1 (JAK3) and 5p13 (IL7R) chromosomal regions revealed that the patients from the 3 families carried heterozygous haplotypes in these 2 loci (data not shown). Thus, the T B’NK’ SCID phenotypes of the 3 families did not result from mutations in the JAK3 and IL7R genes. In contrast, all patients from the 3 families displayed a homozygous haplotype segregation of polymorphic markers for 11q23 (Figure 1). The CD3 locus has been mapped to this chromosomal region, and therefore these data strongly suggest that the T B’NK’ SCID phenotypes are a result of a defect in one of the CD3 subunits. A search on genomic DNA from the patients. A homozygous C279A substitution, which results in a C93X nonsense codon, was identified in this patient. This deletion leads to a frameshift mutation was found in combination with a wild-type allele in DNA from the father of the patient. DNA from the patient’s mother was not available for this study. No similar mutations in the CD3D and CD3E genes were found from a combination of standard and single-base sequence analyses of more than 90 control chromosomes, which indicates that these nonsense mutations are not common polymorphisms. However, the mutation found in PI-II-2 has been previously reported in a separate kindred (11).

Differential transport at the intermediate CD4+ stage in CD3b-deficient thymocytes. Thymi from fetuses PI-II-2 and PI-II-3 were small. Their weight was one-third of that expected for a fetus of that gestational age (0.9 g for PI-II-2 compared with an expected value of 2.7 ± 1.4 g at 24 weeks’ gestation, and 0.3 g for PI-II-3 compared with an expected value of 0.9 ± 0.3 g at 22 weeks’ gestation). Results from the immunohistochemical analysis of the thymi from these affected fetuses were compared with those obtained from a control to assess in more detail the effects of defective CD3D expression on T cell development. The thymi of PI-II-2 and PI-II-3 and the control showed a similar lobulated architecture. However, H&E-stained sections of the thymic tissues revealed that corticomedullary differentiation was lacking in PI-II-2 and PI-II-3, whereas the control thymus displayed a distinct corticomedullary junction (Figure 3, A and B, and Figure 4). Thymic epithelial cells were present in PI-II-2 and PI-II-3 (Figure 3B), and only a very few Hassall corpuscles were observed (Figure 3B). Cytoplasmic CD3e+ thymocytes were abundant, although their density was reduced by 40% in comparison with that of normal thymus (Figures 3C and 4A). The majority of the CD3e+ thymocytes from the patients and the control did not express cKit and CD34, which are markers for early thymocytes (data not shown), but did express CD4 (Figure 3D). However, only a small subset of thymocytes (10%) expressed CD45RO and CD8α (Figure 3, E and F) as compared with the thymocytes from control thymus. Compared with the cortical CD3e+ thymocytes from the control fetus, the fraction of cycling CD3e+ thymocytes detected by anti-Ki67 labeling was lower in PI-II-3 (a 22-week-old fetus) and almost absent in PI-II-2 (a 24-week-old fetus) (Figures 3G and 4B). Together, these results suggest that T cell development was blocked between the differentiation stage of the CD3(+)CD4(+)CD8(−)CD45RO(−) subset,

### Table 1

**Patients’ Immunological Investigations**

<table>
<thead>
<tr>
<th>Family</th>
<th>Consanguinity</th>
<th>Patients (P)</th>
<th>I</th>
<th>II</th>
<th>III</th>
<th>Age-matched control values</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>PI-II-2</td>
<td>PI-3</td>
<td>PII-1</td>
<td>PII-2</td>
<td>PI-2</td>
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<td></td>
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<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
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<tr>
<td>Age at first manifestation (mo)</td>
<td>2</td>
<td>1</td>
<td>3</td>
<td>2</td>
<td>23 wk</td>
<td>21 wk</td>
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<tr>
<td>Lymphocytes/μl</td>
<td>1,105</td>
<td>2,500</td>
<td>2,290</td>
<td>3,050</td>
<td>1,980</td>
<td>11 (2)</td>
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<tr>
<td>CD3/μl (%)</td>
<td>ND</td>
<td>0 (0)</td>
<td>ND</td>
<td>&lt;30 (&lt;1)</td>
<td>0 (0)</td>
<td>&lt;8 (&lt;1)</td>
</tr>
<tr>
<td>CD4/μl (%)</td>
<td>ND</td>
<td>&lt;25 (&lt;1)</td>
<td>ND</td>
<td>&lt;30 (&lt;1)</td>
<td>&lt;19 (&lt;1)</td>
<td>9 (1.5)</td>
</tr>
<tr>
<td>CD8/μl (%)</td>
<td>ND</td>
<td>6</td>
<td>ND</td>
<td>17</td>
<td>39</td>
<td>415 (56)</td>
</tr>
<tr>
<td>CD19/μl (%)</td>
<td>ND</td>
<td>1,750 (70)</td>
<td>1,489 (65)</td>
<td>1,677 (55)</td>
<td>1,782 (90)</td>
<td>55 (6.9)</td>
</tr>
<tr>
<td>CD56/μl (%)</td>
<td>ND</td>
<td>300 (12)</td>
<td>ND</td>
<td>1,342 (44)</td>
<td>ND</td>
<td>20–55 (1–3)</td>
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<tr>
<td>IgM mg/dl</td>
<td>360</td>
<td>498</td>
<td>170</td>
<td>NE</td>
<td>160</td>
<td>NE</td>
</tr>
<tr>
<td>IgA mg/dl</td>
<td>&lt;4</td>
<td>&lt;4</td>
<td>&lt;1</td>
<td>NE</td>
<td>&lt;4</td>
<td>NE</td>
</tr>
<tr>
<td>IgG mg/dl</td>
<td>20</td>
<td>42</td>
<td>NE</td>
<td>55–117</td>
<td>25</td>
<td>20–56</td>
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*CD3+ thymocytes were abundant, although their density was reduced by 40% in comparison with that of normal thymus (Figures 3C and 4A). The majority of the CD3e+ thymocytes from the patients and the control did not express cKit and CD34, which are markers for early thymocytes (data not shown), but did express CD4 (Figure 3D). However, only a small subset of thymocytes (10%) expressed CD45RO and CD8α (Figure 3, E and F) as compared with the thymocytes from control thymus. Compared with the cortical CD3e+ thymocytes from the control fetus, the fraction of cycling CD3e+ thymocytes detected by anti-Ki67 labeling was lower in PI-II-3 (a 22-week-old fetus) and almost absent in PI-II-2 (a 24-week-old fetus) (Figures 3G and 4B). Together, these results suggest that T cell development was blocked between the differentiation stage of the CD3(+)CD4(+)CD8(−)CD45RO(−) subset,*

\[ \text{CD3}^{+} \text{CD4}^{+} \text{CD8}^{-} \text{CD45RO}^{-} \text{subset} \]
corresponding to intermediate single positive (ISP) thymocytes, and that of the CD3+CD8+CD45RO+ subset, corresponding to double positive thymocytes. Normal ISP thymocytes actively proliferate; therefore the low proliferation rate observed for the patients suggests that CD3δ-deficient ISP thymocytes fail to proliferate in response to signals emanating from the pre-TCR.

Absence of T cells in lymph nodes from CD3δ-deficient patients. As lymph nodes are difficult to detect in SCID patients (21), we investigated whether lymph nodes were detectable in the CD3δ-deficient fetuses and also whether mature lymphocytes were present. Lymph nodes were found in the peripancreatic area in both PIII-2 and PIII-3. The lymph nodes in the 2 patient fetuses and the control fetus were of similar size and number (>3 per section) in the peripancreatic area on histological section and contained similar numbers of CD20+ B cells. In contrast, CD3ε+ T cells were absent from the lymph nodes of both patients, whereas they were numerous in the paracortical zone of the control lymph nodes (Figure 5).

Discussion
We showed that the homozygous mutations found in the CD3D and CD3E genes of the families studied (mutations in CD3D for families II and III and CD3E for family I) were associated with the T-B-NK− SCID phenotype. The consanguinity, sex ratio, and absence of the phenotype in heterozygous carriers demonstrate autosomal recessive inheritance of the defects. Other potential genetic causes of SCIDs were excluded on the basis of the phenotype and after analysis of the segregation of the polymorphic markers surrounding the SCID-associated loci. Deficiencies in γc and Jak-3 are usually associated with a T-B-NK− phenotype, and thus the possibility that the mutations lay within these genes was excluded. Similarly, an adenosine deaminase deficiency was excluded, as this generally results in T-B-NK− SCID. An IL-7Rα deficiency, which results in a T-B-NK− phenotype (8) identical to that observed in the 3 families, could be ruled out by genetic analysis.

Both CD3δ- and CD3ε-deficient patients had complete deficiencies in peripheral T cells, including γ/δ T cells. Both the

Figure 1
Pedigree and linkage analysis of the 3 families studied. Haplotype analysis of polymorphic markers spanning the CD3G/D/E locus on chromosome 11q23 revealed homozygous haplotype segregations in the patients studied. Polymorphic markers were ordered according to the National Center for Biotechnology Information Homo sapiens map (35). The phase in the patients was determined by analysis of parental genotype.

Figure 2
Schematic representation of the CD3D and CD3E gene mutations. The extracellular (EC), transmembrane (TM), and intracellular (IC) regions, the leader peptide (LP), and the glycosylation sites (G) are shown. X, stop codon; fs, frameshift.
CD3D gene mutations resulted in a premature stop codon, and, as the truncated products do not encompass the transmembrane domain, they were not predicted to be expressed. It is important to note that the R68X mutation was also found in 3 patients from an unrelated kindred with an identical SCID phenotype (11). In contrast to our study, where T cells were absent from the periphery, the only CD3ε deficiency described in a previous report did not lead to impaired T cell development (22). This discrepancy between the phenotypes is probably related to differences in the extent of the CD3ε deficiency. In the previous study, residual CD3ε expression was found at the T cell surface as a consequence of a splice-site mutation on 1 allele that did not totally abrogate the normal intron 7 splicing (22). In contrast, the homozygous CD3E mutation in family I in our study created a premature stop codon near the start of the extracellular domain and thus resulted in the absence of CD3ε. These observations show that an absence of CD3ε or CD3δ completely abrogates human T cell development. The assumption of the role of CD3δ also requires confirmation by description of additional cases.

It was not possible to assess at what stage the CD3δ deficiency blocked T cell differentiation in patients from family I, as no thymus material was available. In the mouse, Cd3ε gene inactivation results in a complete block at the CD44 CD25− double negative T cell differentiation stage, showing that CD3δ is essential for transduction of the Preγ0/TCRβ receptor survival, proliferation, and progression signals (23, 24). It is possible that the T cell differentiation is disrupted at the same stage in the CD3δ-deficient human thymus.

In contrast, the thymi of patients or fetuses with the CD3δ deficiency were analyzed both in this study and in that of Dadi et al. (11). Dadi et al. reported that T lymphocyte development was blocked at the pre-TCR expression stage (11), since the levels of CD4 and CD8α/β mRNAs and proteins in thymocytes from the patients were lower than those in the thymocytes of the controls (11). By performing an immunohistochemical analysis, we found that T cell differentiation was blocked slightly later in our patients, at the ISP stage. Most cells did not express cKit or CD34, and the majority of T cells were CD4− and CD45RO− CD8+, both of which are characteristic of ISP cells (25). In mice and humans, ISP cells actively proliferate, a process that is driven by signals emanating from the pre-TCR and that results in the generation of double positive thymocytes. The finding that cell proliferation, as revealed by anti-Ki67 labeling, was strongly impaired in the patients in our study suggests that the defect in the pre-TCR–mediated proliferation is at the ISP stage.

The differences in thymic phenotypes between the 2 studies are not highly significant, since the way the thymus samples were analyzed differed and the thymi used by Dadi et al. (11) originated from infants rather than a fetus. It is possible that in the absence of CD3δ, some Preγ0/TCRβ− thymocytes survive and progress up to the stage of ISP cells. Even if this is the case, the results from the 2 studies show that CD3δ is required during the early stage of human thymopoiesis, most likely as a mediator of the signals transmitted from the Preγ0/TCRβ receptor. In addition, TCRγ/δ+ T cells were not detected in patients with CD3δ deficiency (11), which demonstrates that CD3δ is also required for the development of these T cells. This phenotype differs from that observed in the CD3δ−/− murine model (18). The size of the peripheral T cell pool in these mice is 10% of that in the controls, and the T cells express a reduced number of membrane TCRγ/δ, CD3 complexes; these findings indicate that the CD3δ deficiency blocks T cell development at the CD4+CD8− stage in mice. This interspecies difference could be the consequence of CD3δ playing a different role in CD3−/pre-TCR or CD3-TCR complex assembly or signaling in humans versus mice. CD3δ is involved in the ERK activation associated with positive selection in mice (26). Therefore, it is presumed that CD3δ plays an additive role at an earlier stage of T cell differentiation in mice than in humans.

The phenotype of the CD3δ− and CD3ε-deficient patients sharply contrasts with that associated with CD3γ deficiency (19, 27). In CD3γ-deficient individuals, T cell differentiation is not impaired.
The child was diagnosed with T–B–NK SCID (patient II-2) was referred at 6 days old for immunological investigations in 1987, their daughter (patient II-1) had diarrhea and failed to thrive at 3 months of age. At 5 months of age, she developed CMV hepatitis and died shortly afterward. Because of this family history, a second child and mature CD4 T cells express the (28). These observations emphasize the facts that the CD3 subunits fulfill different functions during TCR-CD3 complex assembly and signaling, and that the more closely related δ and γ CD3 subunits are not interchangeable.

We have identified a novel genetic cause of SCID, and, as a result, 10 mechanisms underlying this syndrome have now been characterized: ADA (3), γc (6), Jak-3 (7), IL-7Rα (8), Rag-1, Rag-2 (4), Artemis (5), CD45 (9), CD3δ (11), and CD3ε. With the exception of the as-yet uncharacterized reticular dysgenesis form of SCID (29) and a few cases of T–B–NK SCID, these 10 deficiencies account for most cases of SCID. Therefore accurate molecular diagnosis and genetic counseling as well as potentially further treatments based on gene therapy can now be offered to the majority of SCID patients.

**Methods**

*Patients.* In family I, the parents were third cousins (Figure 1). Their daughter (patient I-1) died at 5 months of age of pneumonitis in 1983. No investigations were performed. In 1984, their second child (patient I-2) presented with diarrhea, pneumonitis, and oral candidiasis, which was a subsequent pregnancy of the mother of the propositus (in 1997 and 1998, respectively). Tests were performed using immunofluorescence methods on blood samples drawn at 23 and 21 weeks gestation for the second and third pregnancies, respectively. No T cells were detected. Parents opted for termination of the pregnancy in both cases.

**Immunological investigations.** The following mAb’s were used in the immunofluorescence studies: anti-CD3: Leu 4 (IgG2a; BD) and OKT3 (IgG1; OrthoPharmaceutical); anti-CD2: Leu 5b (IgG2a; BD); anti-CD4: Leu 3a (IgG1; BD); anti-CD8: Leu 2a (IgG1; BD); anti-CD56: Leu 19 (IgG1; BD); anti-CD19: J419 (IgG1; Immunotech); and anti-CD8: Leu 2a (IgG1; BD). Fluorescence staining was performed using PE- or FITC-conjugated mAb’s or by indirect immunofluorescence using a FITC-conjugated goat anti-mouse Ig (Nordic Immunological Laboratories). Cells were analyzed with a FACScan analyzer (BD). In PII-1, B cells were detected through expression of HLA class II. Serum Ig levels were determined by nephelometry. Informed consent for the study was obtained from the families in accordance with the Declaration of Helsinki. This study was approved by the INSERM Institutional Review Board.

**Genotype analysis and mutation detection.** Genomic DNA was extracted from peripheral blood cells (30), and genotype analysis was performed as previously described (31) using 19p13.1 and 5p13 chromosome markers, which span the JAK3 and IL7R loci, respectively (32, 33), and markers neighboring the CD3G/D/E locus on chromosome 11q23 (D11S939, D11S1341, D11S4104, D11S924) (34).

Genomic and mRNA sequences of CD3D and CD3E (accession numbers NT033889, NM000732, and NM000733) were obtained from the National Center for Biotechnology Information. (35). CD3D and CD3E exons were amplified from genomic DNA by PCR and sequenced in both directions. PCR was carried out using the BigDye Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems) and standard methods. The sequence of primers used is as follows: CD3Dex1r 5'-CTGGGTAAATTTGAAATG-3', CD3Dex1f 5'-GGTAGATGGCAGAAACTC-3'. CD3Dex2r 5'-CATGATGCGAGAAATCTC-3', CD3Dex2f 5'-GAACCCCTGGTCAATAC-3', CD3Eex3f 5'-GATGTAAGGGACAT-3', CD3Eex3r 5'-GATGTAAGGGACAT-3', CD3Eex4f 5'-GAAGACTCGGGGTTCCTA-3', CD3Eex4r 5'-CAGGGACATGGGAGCTTT-3', CD3Eex5f 5'-TGCTAATTTGCCTTTT-3', CD3Eex5r 5'-GCCTTTTGGCTTCTT-3', CD3Eex6f 5'-CTATTTGTCGAGAGGATT-3', CD3Eex6r 5'-CTATTTGTCGAGAGGATT-3'

**Figure 4**

CD3+ thymocyte counts and their proliferation in the thymus from PIII-2 and PIII-3 compared with the thymus from the control fetus. (A) Number of CD3+ thymocytes per high-power field (HPF; magnification, ×40). Fields were chosen at random from CD3+ antibody–labeled sections of PIII-3, PIII-2, and control thymus. CD3+ antibody–labeled cells were counted on photographs of 3 fields per sample. The results are presented as the mean and SD of the 3 measurements. (B) Percentage of Ki67+ thymocytes among the CD3+ thymocytes. Fields were photographed at random from Ki67 antibody–labeled sections of PIII-3, PIII-2, and control thymus (magnification, ×40). Ki67+ antibody–labeled cells were then counted in 3 fields per sample. The results were plotted against the values obtained for CD3.+ SCID, these 10 deficiencies account for most cases of SCID. Therefore accurate molecular diagnosis and genetic counseling as well as potentially further treatments based on gene therapy can now be offered to the majority of SCID patients.

**Figure 5**

Immunohistology of a lymph node from the PIII-2 (24-week-old) fetus and a control (24-week-old) fetus. Peripancreatic lymph node sections from PIII-3, PIII-2, and control thymi were labeled with anti-CD20 mAb and anti-CD3ε mAb. Magnification, ×40.
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