NFAT/Fas signaling mediates the neuronal apoptosis and motor side effects of GSK-3 inhibition in a mouse model of lithium therapy

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Use of lithium, the mainstay for treatment of bipolar disorder, is limited by its frequent neurological side effects and its risk for overdose-induced toxicity. Recently, lithium has also been proposed as a treatment for Alzheimer disease and other neurodegenerative conditions, but clinical trials have been hampered by its prominent side effects in the elderly. The mechanisms underlying both the positive and negative effects of lithium are not fully known. Lithium inhibits glycogen synthase kinase–3 (GSK-3) in vivo, and we recently reported neuronal apoptosis and motor deficits in dominant-negative GSK-3–transgenic mice. We hypothesized that therapeutic levels of lithium could also induce neuronal loss through GSK-3 inhibition. Here we report induction of neuronal apoptosis in various brain regions and the presence of motor deficits in mice treated chronically with lithium. We found that GSK-3 inhibition increased translocation of nuclear factor of activated T cells c3/4 (NFATc3/4) transcription factors to the nucleus, leading to increased Fas ligand (FasL) levels and Fas activation. Lithium-induced apoptosis and motor deficits were absent when NFAT nuclear translocation was prevented by cyclosporin A administration and in Fas-deficient lpr mice. The results of these studies suggest a mechanism for lithium-induced neuronal and motor toxicity. These findings may enable the development of combined therapies that diminish the toxicities of lithium and possibly other GSK-3 inhibitors and extend their potential to the treatment of Alzheimer disease and other neurodegenerative conditions.

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Introduction

Since its introduction into psychiatric pharmacotherapy 60 years ago, lithium remains the most effective agent in the treatment and prophylaxis of major mood disorders, particularly bipolar disorder (BD) (1–4). Despite the obvious advantages of chronic lithium therapy, its clinical use is often curtailed by its narrow therapeutic index and its devastating overdose-induced toxicity (5). Accordingly, patients must be closely monitored not only at the beginning of treatment, but also during treatment maintenance, to keep serum lithium concentrations within a therapeutic window of 0.6–1.4 mM. Even within this therapeutic range, mild neurological side effects such as hand tremor are common, and progressive toxicity to marked neurological impairment correlates with increasing serum levels above 1.5 mM (5). The biochemical and cellular basis for lithium’s therapeutic efficacy and the precise molecular mechanisms through which it exerts its unwanted neurological side effects remain to be fully elucidated.

One of the molecular targets postulated to mediate lithium’s biological effects is glycogen synthase kinase–3 (GSK-3). This is a serine/threonine kinase that is present in most tissues and that is particularly abundant in the CNS (6). This enzyme has 2 isoforms (GSK-3α and GSK-3β) and participates in multiple signaling cascades such as the insulin and Wnt pathways (6, 7). GSK-3 has the peculiarity of being active in resting conditions, with activation of the above-mentioned signaling pathways resulting in GSK-3 inhibition by phosphorylation on a serine residue on its N terminus (Ser21 and Ser9 in GSK-3α and GSK-3β, respectively) (8). The many well-characterized phosphorylation substrates of GSK-3 include cytoskeletal proteins, transcription factors, and metabolic regulators, highlighting a prominent role for GSK-3 in cellular architecture, gene expression, cell division and fate decision, and apoptosis, among others (7, 8). GSK-3 has also been suggested to participate in the pathogenesis of Alzheimer disease (AD) (9, 10), as it is the predominant tau kinase in brain (11, 12) and an important player in amyloid-β production and toxicity (13, 14), and mice with increased GSK-3 activity mimic this disease (15, 16). Accordingly, GSK-3 inhibitors, including lithium, have been postulated as a potential therapy for AD (17–21). However, clinical trials to assess the efficacy of chronic lithium for AD are hampered by the above-mentioned toxicity of lithium therapy, particularly in the elderly (19, 22, 23).

Lithium was found to be an inhibitor of GSK-3 in the last decade (24, 25). It directly and reversibly inhibits GSK-3 in vitro, with an IC_{50} value of approximately 2 mM (24), by acting as a competitive inhibitor of Mg^{2+} (26). Later, it was found that lithium also inhibits its GSK-3 indirectly by promoting inhibitory N-terminal serine phosphorylation in vivo (27–31). This is in part due to a feed-forward process whereby lithium-induced decreases in GSK-3 activity result in inhibition of protein phosphatase–1, which has the ability to remove the inhibitory phosphate in GSK-3 (31). More recently, lithium has also been found to disrupt the complex formed by β-arrestin 2 with the phosphatase PP2A and Akt in conjunction with G protein–coupled receptors such as the dopamine D2 receptor (31). This results in increased Akt activity and a subsequent increase in the inhibitory N-terminal phosphorylation of GSK-3 (31).

To explore the neurological consequences of sustained GSK-3 inhibition in vivo, we recently generated transgenic mice that express a dominant-negative form of GSK-3 in forebrain neurons (34). These mice showed increased neuronal apoptosis in the basal ganglia, particularly in the striatum but also in the cortex, and a
concomitant deficit in motor coordination tasks (34). In view of
the neuronal apoptosis and the neurological phenotype in these
mice with decreased GSK-3 activity, we hypothesized that lithium
therapy, apart from its well-documented neurological side effects,
might also induce neuronal apoptosis. We therefore decided to
investigate in wild-type mice whether chronic lithium administra-
tion at therapeutic doses, which are known to decrease GSK-3 activ-
ity when acutely administered (30), would also result in neuronal
apoptosis and motor deficits. Furthermore, we also sought to
explore the molecular mechanisms downstream of GSK-3 inhibi-
tion by which lithium exerts its neurological toxicity in vivo.

Results
Chronic lithium administration to mice results in decreased brain GSK-3 activity and impaired motor coordination. In order to explore the poten-
tial deleterious consequences of chronic lithium administration to
mice, we first established a protocol of LiCl administration in food
pellets resulting in serum lithium concentrations within the upper
range of the therapeutic window for treatment and prophylaxis of
BD. To achieve this, we gradually increased the dose of lithium over
an 8-week period (Figure 1A). In good agreement with our previ-
ous observations (20), feeding mice with a food pellet preparation
containing 1.7 g LiCl/Kg for 2 weeks resulted in 0.64 ± 0.04 mM

Figure 1
Reduced brain GSK-3 activity and impaired motor coordination induced by chronic lithium administration at therapeutic doses. (A) Diagram showing
lithium administration protocol. (B) Lithium plasma levels across the 8 weeks of feeding with lithium-containing chow (n = 38). conc, concentration.
(C) Upper panels: Western blot detection and quantification of inactive GSK-3 (pSer21/9-GSK-3) in homogenates from striatum of control and lithium-
treated mice (control [C], n = 8; lithium, n = 8). Lower panels: Western blot detection of phosphorylated tau (PHF-1) in homogenates from striatum
(St) and cortex (Cx) of control and lithium-treated mice. (D) Body weight over the course of treatment in control (n = 16), lithium-treated (n = 24),
and calorie-restricted (diet, n = 8) mice. (E) Descent time in vertical pole test (control, n = 28; lithium, n = 25; diet, n = 8). (F–H) Analysis of gait parameters
in footprint test as measured by DigiGait system (control, n = 23; lithium, n = 17; diet, n = 8): stride length variability (F), step angle variability (G), and
stance asymmetry (H). *P < 0.05, **P < 0.01, ***P < 0.001 versus control; #P < 0.05, ##P < 0.01 versus diet.
Figure 2
Neuronal apoptosis induced by chronic lithium administration. (A–F) Immunohistochemical detection of cleaved caspase-3–positive cells in cortex (A, E, and F) and striatum (B, C, and D) of control (A and B) and lithium-treated (C–F) mice. Insets show higher magnifications of the boxed areas. I–VI, layers of the cortex; cc, corpus callosum; CPu, caudate putamen; NAc, nucleus accumbens; ac, anterior commissure. Scale bar in A: 200 μm (A–F). (G) Immunohistochemical quantification of the number of cleaved caspase-3–positive cells per 30-μm sagittal section in regions analyzed (n = 22 per group, 4 sections per animal). GP, globus pallidus; Hipp, hippocampus; Thal, thalamus; Cb, cerebellum. (H–K) Representative images of TUNEL staining in cortex (H–I) and striatum (J and K) of control (H and J) and lithium-treated (I and K) mice. Scale bar in H: 200 μm (H–K). (L) Quantification of the number of TUNEL–positive cells per 30-μm sagittal section in the different regions analyzed (control, n = 12; lithium, n = 10). (M–R) Representative images of double-labeling immunofluorescence with anti–cleaved caspase-3 and anti-NeuN (M and N), GFAP (O and P), or Iba-1 (Q and R) antibodies in striatal sections of lithium-treated mice. Scale bar in M: 10 μm (M–R). White arrows indicate double-labeled cells; open arrows, caspase-3–positive/GFAP-negative cells. (S) Histogram showing quantification of double staining with caspase-3 antibody (Casp-3) and markers of different cellular types (n = 8 per group). *P < 0.05, **P < 0.01, ***P < 0.001.
plasma concentration of lithium (Figure 1B). Subsequently, mice were shifted to a food pellet preparation containing 2.5 g LiCl/kg for 6 more weeks, during which serum lithium concentrations were monitored every week. As shown in Figure 1B, plasma levels were above 1.0 mM by week 3 and progressively increased over the following 3 weeks, when they reached a plateau at 1.2 mM.

We then verified that this lithium administration paradigm resulted in decreased GSK-3 activity in brain (Figure 1C). Western blot analysis of brain homogenates from control and lithium-treated mice was performed using antibodies that recognize inactive GSK-3 (phosphorylated at serines 21 and 9 of GSK-3α and β, respectively) and with antibodies that recognize GSK-3 (α or β isoforms) regardless of phosphorylation state. In good agreement with previous reports of lithium administration in vivo (30), an increase in phospho–GSK-3 was observed in brain homogenates of the lithium-treated mice (Figure 1C). Western blot analysis of tau phosphorylation at the GSK-3–dependent epitope PHF-1 (11) further confirmed decreased brain GSK-3 activity as a consequence of lithium administration (Figure 1C).

Upon completion of this 8-week lithium administration paradigm that results in decreased brain GSK-3–activity, mice were subjected to motor behavior testing. Since chronic lithium administration in mice is known to decrease body weight (35), and body weight can affect performance in many behavioral tests (36), we also analyzed in parallel a group of mice subjected to caloric restriction to match the 10%–15% body weight loss observed in the lithium-treated mice (Figure 1D).

We have previously reported that transgenic mice with decreased GSK-3 activity (Tet/DN-GSK-3 mice) perform poorly in striatum-dependent motor tasks, such as the vertical pole test and the footprint test (34), while showing normal global motor activity in the open field test (34). Accordingly, we analyzed the lithium-treated mice in the vertical pole test and in the DigiGait apparatus, which measures footprint pattern and other parameters of walking regularity (Figure 1, E–H). In good agreement with the data from Tet/DN-GSK-3 mice, the vertical pole test revealed that lithium-treated mice spent twice the amount of time descending the pole compared with control mice (9.75 ± 0.78 seconds versus 18.8 ± 1.77 seconds; P < 0.001), while the diet-subjected mice were indistinguishable from the control mice (Figure 1E). Lithium-treated mice also showed multiple gait abnormalities as measured with the DigiGait apparatus, such as increased variability in stride length (Figure 1F), increased variability in step angle (Figure 1G), and stance asymmetry (Figure 1H). These gait abnormalities are commonly found in patients and in mouse models of motor disorders such as Parkinson disease, Huntington disease, and amyotrophic lateral sclerosis (ALS) (37–39). Importantly, no gait abnormalities were observed in mice subjected to caloric restriction. Finally, in good agreement with the data from Tet/DN-GSK-3 mice, lithium-treated mice showed normal levels of global locomotion in the open field (data not shown). We can thus conclude that mice with serum lithium concentrations within the high therapeutic range for BD treatment show decreased brain GSK-3 activity accompanied by subtle motor side effects.

Neuronal apoptosis induced by chronic lithium administration. We then tested whether, as found in transgenic mice with decreased GSK-3 activity (34), the lithium administration paradigm that decreases GSK-3 activity and causes motor coordination deficits in wild-type mice also induces apoptosis in brain regions involved in motor control. To this end, we performed immunohistochemistry using an antibody that recognizes the active (cleaved) form of caspase-3 on brain sections of mice that had been subjected to the 8-week administration paradigm and behavioral testing described above. As shown in Figure 2, A–G, most of the analyzed brain regions from lithium-treated mice showed increased numbers of cleaved caspase-3–positive cells compared with controls. These include cortex, striatum, globus pallidus, hippocampus, and cerebellum (Figure 2, A–G). In contrast, amygdala, thalamus, and superior colliculus showed no significant difference (Figure 2G and data not shown). The most significant increases were observed in cortex and striatum, with 1.8- and 1.92-fold increases in the number of apoptotic neurons as compared with controls, respectively (Figure 2G). A similar pattern of lithium-induced apoptosis across brain regions was found when the incidence of apoptosis was analyzed by TUNEL staining (Figure 2, H–L). It is worth noting that levels of lithium-induced apoptosis detected using this technique were much higher in the cerebellum and slightly higher in the cortex, striatum, globus pallidus, and hippocampus than those obtained with cleaved caspase-3 staining.

Finally, to investigate which cell types exhibit lithium-induced apoptosis, we performed double immunofluorescence with the cleaved caspase-3 antibody and with markers of specific subpopulations. More precisely, we used antibodies directed at neuronal (NeuN), astrocytic (glial fibrillary acidic protein [GFAP]), and microglial (Iba1) markers (Figure 2, M–S). Across the entire brain, the NeuN-positive subpopulation of caspase-3–positive cells was the only one that increased in lithium-treated mice. In fact, of the total cleaved caspase-3–positive cells in lithium-treated mice, 94% were positive for NeuN (Figure 2S). Therefore, we can conclude that lithium-induced apoptosis essentially occurs in neurons.

Neuronal translocation of NFAT transcription factors by lithium. We next addressed the potential mechanisms by which chronic lithium and concomitant GSK-3 inhibition results in neuronal apoptosis. There is extensive literature indicating the dual role of GSK-3 inhibition in modulating apoptosis (see ref. 40 for a recent review). Briefly, it has been shown that GSK-3 inhibition prevents cell death induced by multiple apoptotic stimuli including growth factor withdrawal, DNA damage, glutamate toxicity, and many others (40). However, when apoptosis is triggered by activation of death domain–containing receptors such as Fas or the TNF receptor (i.e., when this is executed through the extrinsic pathway of apoptosis) (41), GSK-3 inhibition potentiates the apoptotic effect (40). This was first evidenced by the lithium-induced increase in TNF-mediated toxicity to mouse tumor cells (42). Subsequently, GSK-3–knockout mice were found to die embryonically due to hypersensitivity to TNF-induced apoptosis in the liver (43). This proapoptotic effect of GSK-3 inhibition was later extended to the other ligands of death domain–containing receptors such as TRAIL (44, 45). Finally, and with regard to neurons, apoptosis induced by activation of the Fas receptor was also found to be potentiated by several GSK-3 inhibitors including lithium (46).

We sought a possible link between GSK-3 inhibition and stimulation of the extrinsic pathway. Interestingly, it has been reported that GSK-3 inhibition promotes nuclear translocation of the nuclear factor of activated T cells c (NFATc) family of transcription factors in various cell types (47, 48), including primary cultured neurons (49). On the other hand, NFAT-mediated transcription is known to control expression of Fas ligand (FasL), the apoptosis initiator that activates Fas receptor (50). This led us to hypothesize the following mechanism by which chronic lithium administration may lead to neuronal apoptosis (Supplemental Figure 1; supplemental material available online with this article;
Increased nuclear localization of NFAT transcription factors in lithium-treated mice. (A) Representative images of NFATc4 immunohistochemistry in cortex and striatum of control and lithium-treated mice. Arrows indicate neurons with NFATc4 staining in the nucleus. Inset shows higher magnification of the cells marked with bold arrows. Scale bars in A and B: 100 μm. (C and D) Representative confocal microscopic images showing a 1-μm-deep cortical area subject to double immunofluorescence with NFATc4 antibody and NeuN antibody (to confirm neuronal identity) and DAPI counterstaining (to verify the nuclear localization) in control (C) and lithium-treated (D) mice. (E) Number of neurons exhibiting NFATc4 staining in the nucleus per section in regions analyzed (*n = 8 per group). (F and G) Representative images of double immunofluorescence with NFATc3 and NeuN antibodies combined with DAPI nuclear counterstaining in cortex of control (F) and lithium-treated (G) mice. Scale bar: 15 μm (C–G). (H) Number of neurons exhibiting NFATc3 staining in the nucleus per section in regions analyzed (*n = 8 per group). (I and K) Western blot detection of NFATc3 (I) and NFATc4 (K) in total, cytoplasmic, and nuclear homogenates from cortex of control and lithium-treated mice (*n = 12 per group). Hsp90 (total and cytoplasmic) and lamin B1 (nuclear) antibodies were assayed as loading control. Histograms represent quantification of total, cytoplasmic, and nuclear NFATc3 and NFATc4 corrected by the loading control. (J and L) Nuclear/cytoplasmic (nuc/cyt) ratio of NFATc3 (J) and NFATc4 (L). All histograms in I–L represent lithium values as a percentage compared with the control group. *P < 0.05, **P < 0.01, ***P < 0.001.

doi:10.1172/JCI37873DS1). First, chronic lithium administration induces sustained GSK-3 inhibition, which, in turn, results in nuclear translocation of NFAT transcription factors and a subsequent increase in FasL production. Finally, the subsequent activation of the cognate receptor Fas initiates apoptosis via the extrinsic pathway. Accordingly, we designed a series of experiments to explore this hypothesis.

Since expression of NFATc1, -2, -3, and -4 has been reported in brain based on in situ hybridization (51), we performed immunohistochemistry with antibodies against each of them in brain sections from control and lithium-treated mice. None of the tested NFATc2 antibodies yielded specific staining (data not shown). NFATc1 antibodies recognized only a small subset of astrocytes in a small number of regions such as the globus pallidus and the hippocampus, with no obvious effect of lithium regarding nuclear translocation or total number of NFATc1-positive cells (data not shown). In good agreement with previous reports showing NFATc3 and NFATc4 expression in neurons (49, 52, 53), both NFATc3 and NFATc4 antibodies yielded a neuronal pattern in all brain regions analyzed (Figure 3, A and B).

The specificity of the employed NFATc3 and NFATc4 antibodies was confirmed by staining brain sections from NFATc3- and NFATc4-knockout mice (Supplemental Figure 2), and the neuronal identity of NFATc3- and NFATc4-positive cells was confirmed by double immunofluorescence with the neuronal marker NeuN (Figure 3, C–G). In untreated mice, both NFATc3 and NFATc4 antibodies yielded only cytoplasmic staining in the vast majority of neurons (control panels in Figure 3, A and B, and Figure 3, C and F), with the number of NFATc4-positive neurons being higher than that of NFATc3-positive neurons (compare control panels in Figure 3A with control panels in Figure 3B). Interestingly, as anticipated in our working hypothesis, lithium treatment resulted in a significant increase in the number of neurons in which NFATc4 and NFATc3 staining was also present in the nucleus (see lithium panels in Figure 3, A, B, D, E, G, and H). Nuclear localization was verified by confocal microscopy analysis of brain sections subjected to NFAT/NeuN double immunofluorescence and DAPI-nuclear counterstaining (Figure 3, D and G). These data are in good agreement with a previous report of increased nuclear levels of NFATc4 as a consequence of decreased GSK-3 activity in cultured neurons (49). Importantly, except for the hippocampus, there is a good correlation between the brain regions that show increased neuronal apoptosis and those showing increased nuclear staining of the NFAT transcription factors. Such is the case for cortex, striatum, globus pallidus, and cerebellum. Accordingly, the amygdala, thalamus, and superior colliculus showed neither increases in apoptosis nor nuclear translocation of NFAT transcription factors (Figure 3, E and H, and data not shown).

Apart from an increase in the number of neurons with nuclear NFATc3 and NFATc4, the above-described immunostainings (Figure 3, A–G) may also show an increase in the overall levels of both transcription factors as a result of chronic lithium treatment. To further confirm the increased nuclear translocation of NFATc3 and NFATc4 in response to lithium and to properly address the possible overall increase, we performed Western blot analysis with nuclear and cytoplasmic fractions as well as with total homogenates from control and lithium-treated mice. As shown in Figure 3I, total NFATc3 levels were not increased in brain of lithium-treated mice. Interestingly, NFATc3 levels were significantly decreased in the cytoplasmic fraction of lithium-treated mice. This, together with a trend toward increased NFATc3 levels in the nuclear fraction, resulted in a significant increase in NFATc3 nuclear/cytoplasmic ratio as a consequence of lithium administration (Figure 3J). In the case of NFATc4 and in good agreement with the above-mentioned observation in immunohistochemistry and immunofluorescence experiments, Western blot analysis of total homogenates showed a trend toward increased overall levels in lithium-treated mice (Figure 3K). Interestingly, this effect of lithium fits well with the reported role of GSK-3 in decreasing NFATc4 stability by promoting its ubiquitination (54). Despite the apparent increase in NFATc4 in total homogenates, we still observed slightly lower levels of NFATc4 in the cytosolic fraction of lithium-treated mice. This, together with the increased levels observed in the nuclear fraction of lithium-treated mice, led to a significant increase in NFATc4 nuclear/cytoplasmic ratio as a consequence of lithium administration (Figure 3L). In summary, the Western blot analysis of NFATc3 and NFATc4 in total homogenates showed no change in the overall NFATc3 level and a tendency toward increased NFATc4 levels in response to chronic lithium. More importantly, the analysis of nuclear and cytoplasmic fractions confirmed the lithium-induced increase in NFATc3 and NFATc4 nuclear translocation observed by immunohistological methods, with the increased difference between control and lithium-treated mice likely due to enrichment of the sample following nuclear isolation.

Increased FasL after chronic lithium correlates with GSK-3 inhibition and nuclear NFAT translocation. To explore whether the increased nuclear translocation of NFAT transcription factors resulted in increased FasL in brain of lithium-treated mice, we performed Western blot and immunohistochemical studies. Western blot revealed an increase in levels of both full-length FasL and the soluble shed form (sFasL) in the striatum of lithium-treated mice, leading to increased difference between control and lithium-treated mice likely due to enrichment of the sample following nuclear isolation.

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immunohistochemistry revealed a significant increase in the number of FasL-positive cells in the striatum and globus pallidus of lithium-treated mice (Figure 4, C–F). A tendency toward increased numbers of FasL-positive cells was also observed in the cerebellum of treated mice, though this effect did not reach statistical significance (Figure 4F). The cortex, despite showing increased FasL levels by Western blot, showed no difference in the number of FasL-positive cells. This may be due to the fact that this brain structure, apart from showing the highest number of positive cells (Figure 4F), also contained the cells with the strongest labeling compared with any other brain region (Figure 4C). It therefore seems that the cortical cells susceptible to an increase in FasL levels upon lithium-induced NFAT translocation are already above the threshold for detection by immunohistochemistry in untreated mice.

In good agreement with previous reports (52, 55), the vast majority of brain FasL-immunoreactive cells appeared to be neurons based on their morphology (Figure 4, C–E). To confirm this observation and further explore the role of GSK-3 inhibition and subsequent NFAT nuclear translocation in the lithium-induced increase in FasL-positive cells, we performed double labeling immunofluorescence studies on striatal sections of lithium-treated mice. As shown in Figure 4, G–I, 95% of the FasL-positive cells were confirmed to be neurons by double labeling with FasL antibody and markers of specific cell types (n = 8 per group). (G) Histogram showing quantification of double staining with FasL antibody and markers of specific cell types (n = 16 per group). (H–N) Representative images of double-labeling immunofluorescence in striatum of lithium-treated mice: with anti-FasL (H) and anti-NeuN (I) antibodies; with anti-FasL (J) and anti-NFATc4 (K) antibodies; and with anti-FasL (L) and anti-pSer21/9-GSK-3β antibodies (M). N is a merge of the images in L and M. Scale bars: 20 μm (H and I); 20 μm (J and K); 10 μm (L–N). *P < 0.05, **P < 0.005, ***P < 0.002.
Figure 5
CsA administration impedes NFAT nuclear translocation and prevents chronic lithium-induced apoptosis and motor deficits. (A) Diagram showing lithium and CsA administration protocol in mice. (B) Immunohistological detection of NFATc4. Upper panels show representative immunohistochemistry images in cortex and striatum. Lower panels show confocal microscope images (1 μm) of double immunofluorescence with NeuN together with DAPI nuclear counterstaining in cortex. Left and right panels correspond to lithium- and lithium plus CsA–treated mice, respectively. Arrows indicate neurons with NFATc4 staining in the nucleus, and insets in the upper panels show higher magnifications of the cells marked by bold arrows. Scale bars: 100 μm (upper panels) and 15 μm (lower panels). (C) Number of neurons exhibiting NFATc4 staining in the nucleus per section in regions analyzed (n = 4 per group). (D) Number of cleaved caspase-3–positive cells per 30-μm sagittal section in regions analyzed (control, n = 19; lithium, n = 19; control + CsA, n = 12; lithium + CsA, n = 12). (E) Descent time in vertical pole test (control, n = 20; lithium, n = 17; control + CsA, n = 19; lithium + CsA, n = 20). (F–H) Gait analysis. Stride length variability (F), step angle variability (G), and stance asymmetry (H) in footprint test as measured by DigiGait system (control, n = 15; lithium, n = 12; control + CsA, n = 16; lithium + CsA, n = 16). *P < 0.05, **P < 0.01, ***P < 0.001 versus control; #P < 0.05 versus lithium + CsA.
ments with lithium-treated mice in which we interfered with NFAT and/or FasL signaling (see Supplemental Figure 1C). Regarding NFAT, the effect of GSK-3 on cytoplasmic/nuclear shuttling of NFAT transcription factors is balanced by calcineurin activity. Briefly, GSK-3 phosphorylates conserved NFAT serine residues necessary for NFAT nuclear export and therefore promotes NFAT nuclear exit (47). On the contrary, calcineurin dephosphorylates those conserved serine residues, thus favoring NFAT nuclear translocation, which can be prevented with the calcineurin inhibitor cyclosporin A (CsA) (48, 56). We thus reasoned that CsA administration during the last days of the lithium treatment paradigm could be used to prevent the lithium-induced nuclear translocation of NFAT transcription factors. If this nuclear NFAT translocation is indeed required for the neurotoxicity and motor behavioral consequences of lithium, these should be diminished by CsA administration. Accordingly, we established a protocol of CsA administration in drinking water during the last 1.5 weeks of lithium treatment (Figure 5A) that fully prevented the above-described lithium-induced nuclear translocation of NFAT transcription factors, as evidenced by immunohistochemistry and confocal analysis of immunofluorescence with DAPI nuclear counterstaining (Figure 5, B and C).

We then analyzed the effect of CsA administration on apoptosis by immunohistochemical detection of cleaved caspase-3. As shown in Figure 5D, CsA administration did not affect the incidence of apoptosis in control mice. More importantly, the lithium-induced increase in apoptosis described previously was no longer detected in any brain region of CsA-treated mice.

Finally, we also analyzed the effect of CsA administration on the previously described lithium-induced motor deficits. As shown in Figure 5E, CsA administration significantly improved the perfor-

**Figure 6**
Fas-deficient (lpr) mice are resistant to chronic lithium-induced neuronal apoptosis and do not show the motor coordination deficits observed in wild-type mice. (A) Diagram showing lithium administration protocol to wild-type and lpr mice. (B) Lithium plasma levels over the 8-week treatment in wild-type and lpr mice (WT, n = 22; lpr, n = 13). (C) Number of cleaved caspase-3–positive cells per 30-μm sagittal section in regions analyzed in wild-type and lpr mice (WT control, n = 10; WT lithium, n = 9; lpr control, n = 7; lpr lithium, n = 7). (D) Descent time in vertical pole test (WT control, n = 20; WT lithium, n = 17; lpr control, n = 19; lpr lithium, n = 20). (E–G) Stride length variability, step angle variability, and stance asymmetry in footprint test measured by DigiGait system in control or lithium-treated WT and lpr mice (WT control, n = 27; WT lithium, n = 21; lpr control, n = 16; lpr lithium, n = 11). *P < 0.05, **P < 0.01, ***P < 0.001, versus WT control; #P < 0.05, ##P < 0.01, ###P < 0.001 versus lpr lithium.
mance of lithium-treated mice in the vertical pole test, whereas no effect was observed on the performance of control mice. Similarly, while deficits in walking pattern observed with the DigiGait apparatus in lithium-treated mice (increased stride length variability, increased step angle variability, and stance asymmetry) were corrected by CsA treatment, no effect was observed in control mice (Figure 5, F–H). These results, together with the data indicating apoptosis prevention by CsA treatment, strongly suggest that NFAT nuclear translocation contributes to lithium-induced neuronal apoptosis and motor deficits.

**Fas-deficient mice are resistant to the neuronal apoptosis and motor side effects of chronic lithium administration.** If our working hypothesis is true, the increased incidence of apoptosis in lithium-treated mice should eventually be due to the activation of the death receptor Fas. To investigate whether FasL signaling is also relevant to the observed neurotoxic effects of lithium, we analyzed apoptosis and motor behavior after chronic lithium administration in Fas receptor–deficient mice (lpr mouse; ref. 57). In these mice, the lithium dosing paradigm described for wild-type mice (Figure 1A) resulted in serum lithium concentrations slightly greater than 1.5 mM by week 4 (data not shown), thus exceeding the therapeutic window in humans. This is attributable to the compromised renal function of lpr mice (58). For this reason, we slightly modified the dosing paradigm to achieve lithium plasma levels equivalent to those obtained in wild-type mice (Figure 6, A and B).

Using cleaved caspase-3 immunostaining, we analyzed the incidence of apoptosis in wild-type and lpr mice following the 8-week dosing paradigm. Interestingly, the increases in apoptosis observed in the cortex, striatum, globus pallidus, hippocampus, and cerebellum of lithium-treated wild-type mice were absent in lithium-treated lpr mice (Figure 6C). These findings thus demonstrate that neuronal apoptosis induced by chronic lithium is mediated by the Fas receptor.

We then decided to compare the motor effects of lithium in lpr and wild-type mice. We first verified that untreated lpr mice did not show any confounding signs of altered global activity or anxiety. For this, we performed the open field test and found that global locomotor activity and the time in center versus periphery shown by lpr mice were indistinguishable from those observed in wild-type mice (data not shown). Interestingly, the lithium-induced motor coordination deficit (detected in the vertical pole test; Figure 6D) and the gait abnormalities (detected with the DigiGait apparatus; Figure 6, E–G) observed in wild-type mice were absent in lithium-treated lpr mice. These results, apart from demonstrating a role of Fas signaling in lithium-induced neurotoxicity, strongly suggest that neuronal loss contributes to the motor side effects of chronic lithium administration.

**Discussion**

By establishing a regimen of chronic lithium administration resulting in lithium plasma levels within the therapeutic range for BD, we have reproduced in mice the common neurological side effects of lithium therapy, and we have used this model to demonstrate for the first time to our knowledge that chronic lithium administration in the upper range of therapeutic doses induces neuronal apoptosis in multiple brain regions, notably the striatum and other basal ganglia structures. Furthermore, we describe the following mechanism of lithium-induced neurotoxicity: GSK-3 inhibition elicits an increase in nuclear translocation of NFAT transcription factors, leading to increased FasL levels, which in turn facilitate apoptosis in the same or neighboring neurons through activation of Fas death receptor. Finally, we demonstrate that NFAT/Fas signaling mediates both lithium-induced neuronal apoptosis and lithium-induced motor deficits, as these are absent when NFAT nuclear translocation is prevented by CsA administration or when the experiments are performed on Fas-deficient lpr mice.

The precise molecular mechanisms through which lithium exerts its unwanted neurological side effects are poorly understood. Here we show that lithium-mediated inhibition of GSK-3 plays a key role in the side effects of lithium therapy. In addition to GSK-3, lithium acts as an inhibitor of numerous other enzymes, including inositol monophosphatase, inositol polyphosphate 1-phosphatase, fructose 1,6-bisphosphatase, bisphosphate nucleotidase, and phosphoglucomutase (59). However, our previous findings of similar motor coordination deficits and neuronal apoptosis in transgenic mice with neuronal expression of a dominant-negative form of GSK-3 (34) confirm that the neurotoxic effects of lithium described here are mediated through GSK-3 inhibition. Besides, several lithium-induced behaviors have been shown to be GSK-3–mediated, since they are also observed in mice lacking one copy of the gene encoding GSK-3β (60).

Taken together with the apoptotic phenotypes of GSK-3β-knockout (43) and dominant-negative GSK-3–transgenic (34) mice, our findings with chronic lithium administration provide further in vivo evidence of a dual role for GSK-3 inhibition in the regulation of apoptosis (40). A large body of literature describes the neuroprotective effects of lithium (61), whereby lithium counteracts the effects of multiple stimuli that induce neuronal apoptosis through the intrinsic pathway (40). Lithium administration, however, has also been shown in primary cultured neurons to facilitate apoptosis mediated by the extrinsic pathway (i.e., with activation of death receptors such as Fas) (46). Our study therefore further elaborates, in an in vivo context, on the mechanisms that favor apoptosis through the extrinsic pathway.

There is a good correlation between brain regions affected in the lithium administration model described here and the Tet/DN-GSK-3 mouse model. In both cases, the striatum appears most vulnerable to lithium-induced toxicity, showing the greatest increase in the number of apoptotic neurons (34). Similarly, increases in neuronal apoptosis are observed in the cortex in both models. In the lithium treatment paradigm, however, we have also detected vulnerable neurons in globus pallidus and cerebellum that could not be detected in the dominant-negative GSK-3 transgenic mice due to the restriction of transgene expression to forebrain neurons (34). That the striatum is one of the most affected brain regions following chronic GSK-3 inhibition fits well with the observed neurological motor side effects and is supported by previous reports of GSK-3 mediation of dopamine transmission in striatal neurons (62). More precisely, lithium has been shown to inhibit striatal GSK-3 activity through increased Akt-mediated phosphorylation (62) in part via a positive feed-forward loop involving protein phosphatase-1 (32). Furthermore, lithium can also disrupt the complex that β-arrestin 2, protein phosphatase–2A, and Akt form with the dopamine D2 receptor (31) that is mainly located in the striatum. Together, all these findings suggest a preferential impact of GSK-3 inhibition on striatal physiology.

NFAT has been proposed to participate in striatal neuronal apoptosis induced by methamphetamine (52), and NFAT-mediated apoptosis has been reported in other tissues and cell types (63). Furthermore, deafferentiation-induced neuronal loss in the cochlear
nucleus has also recently been proposed to be mediated not only by NFATc4 activation but also by subsequent FasL-mediated apoptosis (64), thus mirroring our mechanistic findings concerning lithium-induced apoptosis. It should be noted, however, that apart from the NFAT/Fas-mediated mechanism described here, a distinct and compatible mechanism by which GSK-3 inhibition facilitates apoptosis through the extrinsic pathway has been recently reported. Specifically, a death receptor–associated antiapoptotic protein complex containing GSK3, DDX3, and cellular inhibitor of apoptosis protein–1 (cIAP-1) has been characterized in HeLa and in MDA-MB-231 human breast cancer cells, with inhibition of GSK-3 facilitating disassembly of the complex and execution of apoptosis (65).

The mechanism of lithium-induced neurotoxicity described here has important implications for the management of BD and may aid in the development of combined therapies designed to prevent acute lithium intoxication and ameliorate the neurological side effects associated with therapeutic doses. Specifically, pharmacological interventions that counteract the increased NFAT nuclear translocation or the FasL/Fas signaling cascade are suitable candidates to combat neurological side effects. One such candidate is CsA, in view of its attenuation of lithium-induced neuronal apoptosis and motor side effects in mice, as reported here. Importantly however, the clinical use of CsA and other calcineurin inhibitors (56) to counteract adverse effects of lithium can be limited by their associated nephrotoxicity (66).

Regarding the neuroprotective effects of CsA and its normalization of motor behavior, the question remains as to whether new neurons replace those that die during the 6.5 weeks of lithium treatment prior to CsA administration. In view of the recent report of mice with deletion of GSK-3 in the developing nervous system (67), and assuming a parallel between the effect of GSK-3 deletion in embryonic and adult neurogenesis, replacement by new neurons seems unlikely. Briefly, GSK-3 deletion in mice results in increased proliferation of embryonic neural progenitors but decreased generation of intermediate neural progenitors and postmitotic neurons (67). It is therefore more likely that, after CsA administration, the remaining neurons attain a less-altered intracellular physiology, leading to a recovery of function in the affected neural circuit.

We have previously reported a similar scenario in a conditional mouse model of Huntington disease (68). Mice that constitutively express mutant huntingtin from birth show both motor deficits and a 12% loss of striatal neurons by 17 months. However, if mutant huntingtin expression is halted at that age, motor function is normalized within 5 months. Stereological analysis revealed that cessation of mutant huntingtin expression between 17 and 22 months of age still results in a 20% decrease in the number of striatal neurons at 22 months (versus the 44% decrease observed in mice with continued mutant huntingtin expression) (68). In conclusion, once striatal neuron physiology is no longer compromised by mutant huntingtin expression, the remaining 80% of striatal neurons are sufficient for functional normalization of the affected brain circuit. Similarly, in the present study it is possible that recovery of neuronal function of the remaining neurons after 1.5 weeks of administration of CsA rather than neuronal replacement is responsible for the recovery of circuit function.

Finally, the mechanism of GSK-3 inhibition–mediated toxicity reported herein and the underlying new opportunities to counteract it may also have implications for AD and any other conditions such as ALS and diabetes for which lithium or other GSK-3 inhibitor therapies have been proposed (69, 70). Regarding the ongoing clinical trials of lithium for ALS (71), it will be of particular interest to see whether the potential observed in the initial study (70) is confirmed, given the well-known role of Fas signaling in mediating apoptotic death of ALS spinal motoneurons (72). In this regard, a recent study did not identify any therapeutic benefit of chronic lithium treatment with respect to disease onset, progression of neurological symptoms, or survival duration in a mouse model (73). Interestingly, and in good agreement with our study, the study found evidence for early onset of low-grade neurological symptoms and signs of less-effective body weight maintenance (73). Regarding lithium clinical trials for AD (19, 23, 74), frequent extrapyramidal side effects were already detected in a pilot study (22), and a recent study of the feasibility and tolerability of lithium therapy in AD patients reports high rates of discontinuation due to the high incidence of lithium toxicity in the elderly (19).

In summary, our results may help to correctly interpret those of the multiple ongoing lithium clinical trials and may enable not only the development of new combined therapies to counteract the drawbacks of lithium treatment for mood disorders but also the extension of the potential of lithium (and other more selective GSK-3 inhibitors) to AD and other chronic conditions for which GSK-3 inhibition therapy has been proposed.

Methods

Animals. Wild-type C57BL/6 mice were obtained from Harlan or The Jackson Laboratory. Fas-deficient lpr mice (C57BL/6 background) were obtained from The Jackson Laboratory and subsequently bred in our facilities. NFATc3-knockout mice (75) were obtained from Francesca Granucci (University of Milano-Bicocca, Milan, Italy). NFATc4-knockout mice (76) were obtained from Jeffrey Molkentin (Cincinnati Children’s Hospital Medical Center, Cincinnati, Ohio, USA). All mice were housed at the CBM”SO” animal facility. Mice were housed 4 per cage with food and water available ad libitum and maintained in a temperature-controlled environment on a 12-hour light/12-hour dark cycle with light onset at 07:00. All experiments were performed under a protocol approved by the ethical committee of CSIC.

Lithium administration. Two-month-old wild-type C57BL/6 mice were fed chow containing 1.7 g LiCl/kg (Harlan Teklad) for 2 weeks, followed by a diet containing 2.55 g LiCl/kg for 6 weeks. Control mice were fed lithium-free chow under parallel conditions. Blood lithium levels were analyzed weekly by inductively coupled plasma mass spectrometry (ICP-MS). Two-month-old lpr mice (C57BL/6 background) were also fed chow containing 1.7 g LiCl/kg for 2 weeks, followed by a diet containing 2.3 g LiCl/kg for 4 weeks and 2.15 g LiCl/kg for the final 2 weeks. To prevent hyponatremia, water and a NaCl solution (450 mM) were available to all animals.

Diet. Lithium-treated mice lost 10–15% of their body weight over the 8-week treatment described above. To control for lithium-induced decreases in body weight, a weight-matched group was maintained by means of caloric restriction similar to that described previously (77, 78). Briefly, we first measured the daily amount of chow taken by an equivalent group of untreated mice for 1 week. The following week, they received 90% of that amount per day, and the rest of the time up to 8 weeks the amount was restricted to 70% per day.

CsA administration. Wild-type C57BL/6 mice were treated with lithium-containing or control chow according to the regimen described above. After 6.5 weeks, each animal group was split in 2 subgroups, one treated with CsA (Sandimmune Neoral, Novartis) in saccharine-flavored drinking water and the other receiving just saccharine-flavored water. In view of the reported dose of CsA tolerated by mice (79), CsA concentration in drinking water was calculated to deliver a dose of 8 mg/kg/d during the first 2 days. Then it was increased to deliver 16 mg/kg/d for 2 more days and finally maintained at 24 mg/kg/d until the end of treatment.
Antibodies. For immunohistochemistry and immunofluorescence experiments, the following antibodies were used: pSer21/9-GSK-3α/β (Cell Signaling Technology), cleaved caspase-3 (Cell Signaling Technology), NeuN (Chemicon), GFAP (Promega), Iba-1 (WAKO), NFATc4 (Abcam; 1:200), anti-Hsp90 (1:500), anti-lamin B1 (1:200), and anti-Fasl (1:50). The membranes were incubated with antibody overnight at 4°C in 5% nonfat dried milk (anti–GSK-3β) or 5% BSA (pSer21/9-GSK-3α/β). Secondary antibodies used were polyclonal rabbit anti-mouse immunoglobulins/HRP or polyclonal rabbit anti-rabbit immunoglobulins/HRP (Dako) (1:2,000), and ECL detection reagents (PerkinElmer) were used for immunodetection.

Immunohistochemistry and immunofluorescence. Mice were sacrificed using CO2 and brains immediately removed and dissected on an ice-cold plate. Left hemispheres were processed for histology, placed in 4% paraformaldehyde in Sorenson’s phosphate buffer (PFA) overnight, and then immersed in 30% saccharose in PBS for 72 hours. Once cryoprotected, the samples were frozen and stored at –80°C until use. Sagittal sections (30 μm) were cut on a CM 1950 Ag Protect freezing microtome (Leica) and collected and stored free-floating in glycol at –20°C. For immunohistochemistry, brain sections were pretreated for 30 minutes in 1% H2O2/PBS, followed by 1 hour with 1% BSA, 5% PBS, and 0.2% Triton X-100, and incubated overnight at 4°C with primary antibodies at the following concentrations: cleaved caspase-3 (1:50), NFATc3 (1:100), NFATc4 (1:100), and FasL (1:300). Finally, brain sections were incubated in avidin–biotin complex using the Elite Vectastain kit (Vector Laboratories). Chromogen reactions were performed with diaminobenzidine (SIGMAFAST DAB, Sigma-Aldrich) for 10 minutes. Sections were mounted on glass slides and coverslipped with FluorSave (Calbiochem).

For quantification of immunostainings, 4 sagittal sections matching the lateral 1.92 mm, 1.80 mm, 1.68 mm, and 1.56 mm planes as described by Paxinos and Franklin (83) were selected from each animal. All analyses were performed in a blinded manner, and results were presented as number of immunoreactive cells per 30-μm section. The number of animals included in each analysis is specified in the corresponding figure legend.

For immunofluorescence, 30-μm sagittal brain sections matching the 1.80-mm plane as described by Paxinos and Franklin (83) were pretreated with 0.1% Triton X-100 for 15 minutes, 1 M glycine for 30 minutes, and blocking solution (1% BSA and 0.1% Triton X-100) for 1 hour. Sections were then incubated overnight at 4°C with primary antibodies at the following concentrations: cleaved caspase-3 (1:50), NeuN (1:100), GFAP (1:1,000), Iba-1 (1:500), Fasl (1:300), pSer21/9-GSK-3α/β (1:100), NFATc3 (1:100), and NFATc4 (1:100). The following day, sections were washed in PBS and incubated with the following secondary antibodies, respectively, for 1 hour: goat anti–mouse Alexa Fluor 488, donkey anti–rabbit Alexa Fluor 488, donkey anti–rabbit Alexa Fluor 594, and donkey anti–goat Alexa Fluor 555 (1:400) (Invitrogen). For amplification of cleaved caspase-3 and Fasl signal, biotinylated secondary antibody (Elite, Vectastain) and Streptavidin Alexa Fluor 555 (1:400) (Invitrogen) were used. Finally, nuclei were stained by using DAPI (1:5,000) (Calbiochem). Sections were mounted on glass slides, coverslipped with FluorSave, and maintained at 4°C.

Colocalization of markers was identified by taking successive Alexa Fluor 594 and Alexa Fluor 488 fluorescence images using an Axioskop 2 Plus (Zeiss) microscope and CCD camera (Cool SNAP FX color, Roper Scientific) or using a Laser Confocal LSM710 camera (Zeiss) coupled to an inverted microscope AxioObserver (Zeiss). For quantitative analysis of NFATc3 and NFATc4 nuclear staining, 30-μm sagittal sections were scanned with an inverted AxioObserver microscope (Zeiss) using a ×40/1.30 EC “Plan-Neofluar” Oil DIC M27 objective, and, for each field, a 1-μm-thick image was taken with a Laser Confocal LSM710 camera using diode 405 nm (blue), argon 488 nm (green), and DPSS 561 nm (red) lasers, with the same capture conditions maintained for all images in a given region. For subsequent fluorescence intensity analysis in the collected images, the 1.42q version of ImageJ software (http://rsbweb.nih.gov/ij/) was used as follows: first, the program’s Triangle algorithm was used to dis-
cognitive positive NFATc (green) signal over background. Neuronal identity of these cells was verified according to NeuN (red) co-staining. Then, DAPI images (blue) were converted to grayscale and the ImageJ-Maximum filter set at a radius of 2 pixels for clearer identification of the nuclear outline. Regions corresponding to nuclei (based on DAPI counterstaining) were selected using the Free-hand tool to delineate the outline. These regions were then superimposed upon the NFATc image, and an adjacent cytoplasm area was also delineated for each with the Free-hand tool. Similarly, a neuropil region was also selected for each image for background mean intensity (\(b\)). Then, mean fluorescence intensity was measured for each nuclear area \((n \times n \times c)\) and each cytoplasmic area \((c \times c \times c)\). Finally, we classified a nucleus as positive for increased NFATc or NFATc4 when, upon background subtraction, nuclear intensity exceeded \(0.75 \times \text{cytoplasmic intensity} \left(\frac{n-\bar{n}}{n} \times b > 0.75\right)\).

All analyses were performed in a blinded manner, and results were presented as number of immunopositive cells per 30-μm section. The number of animals included in each analysis is specified in the corresponding figure legend.

**TUNEL assay.** Sections were processed according to the In Situ Cell Death Detection Kit protocol (POD, Roche). Quantification was performed at 1.80-mm planes as described by Paxonos and Franklin (83) All analyses were performed in a blinded manner, and results were presented as the number of TUNEL-positive cells per 30-μm section. Twelve control and 10 lithium-treated mice were analyzed.

**Behavioral testing.** Vertical pole testing was performed as previously described (84) with minor modifications (34). The mouse was placed head upward on the top of a vertical rough-surfaced pole (diameter, 1 cm; height, 50 cm), and the time taken to descend to the floor was recorded, with a maximum duration of 60 seconds.

**Treadmill gait analysis.** Gait analysis was performed using the DigiGait system (Mouse Specific Inc.) (85). Briefly, digital images of paw placement were recorded at 80 Hz through a clear treadmill from beneath the animal. Mice were treated without pretraining in 1 session at a treadmill speed of 24 cm/s. Paws were marked with red colorant for better contrast. Plotting the area of each digital paw print imaged sequentially in time provides a dynamic gait signal, representing the temporal record of paw placement relative to the treadmill belt. Stride length was calculated from the fixed walking speed divided by the measured stride frequency (strides per second) as described previously (83).

Stride length variation was measured as the standard deviation of the stride length for the set of strides recorded (reflecting the dispersion about the average value). Step angle variation was measured as coefficient of variation (CV) and was calculated using the formula: \(100 \times SD/mean\) value (variability normalized to the mean). Step angle factors both stance width and stride length. Stance asymmetry is the ratio of left hind limb to right hind limb stance.

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