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Metformin is widely prescribed for the treatment of type II diabetes. Recently, it has been proposed that this compound or related biguanides may have antineoplastic activity. Biguanides may exploit specific metabolic vulnerabilities of transformed cells by acting on them directly, or may act by indirect mechanisms that involve alterations of the host environment. Preclinical data suggest that drug exposure levels are a key determinant of proposed direct actions. With respect to indirect mechanisms, it will be important to determine whether recently demonstrated metformin-induced changes in levels of candidate systemic mediators such as insulin or inflammatory cytokines are of sufficient magnitude to achieve therapeutic benefit. Results of the first generation of clinical trials now in progress are eagerly anticipated. Ongoing investigations may justify a second generation of trials that explore pharmacokinetic optimization, rational drug combinations, synthetic lethality strategies, novel biguanides, and the use of predictive biomarkers.
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Introduction

There is renewed interest in treatments that modulate metabolism to limit neoplastic growth. Metabolic therapies have been studied in the past, in part because the classic studies of Otto Warburg (1) raised hopes that targeting glycolysis would be of therapeutic benefit. While agents such as 2-deoxyglucose have not proven clinically useful, targeting energy metabolism remains a key research focus. There is evidence that the biguanide family of compounds induces energetic stress by inhibition of oxidative phosphorylation (2–7), but additional mechanisms have not been excluded (8, 9). A long history of research supports the idea that biguanides perturb cellular and whole-organism energy metabolism; the challenge is to determine whether this can be exploited for cancer treatment or prevention (see Milestones in biguanide research related to neoplasia).

A strict interpretation of Warburg’s original hypothesis would suggest that the direct action of biguanides as inhibitors of oxidative phosphorylation is not attractive for cancer treatment, as mitochondrial dysfunction was believed to be a key component of cancer pathophysiology, leading to excess glycolysis. However, more recent work suggests that cancer cells remain reliant on mitochondria for ATP production and other metabolic functions, and that there are contexts in which inhibition of oxidative phosphorylation may be therapeutically useful.

Besides diabetes, established indications for metformin include polycystic ovary disease (10) and obesity induced by anti-psychotic medications (11). Importantly, the actions of metformin in reducing glucose levels and correcting hyperinsulinemia vary with physiologic context: while metformin can dramatically lower the high insulin and glucose levels found in type II diabetes, it has relatively modest effects when administered to subjects with normal levels at baseline.

Pharmacoepidemiologic research provided early clues of possible relevance of metformin to neoplastic disease. An early report (12) and later studies (reviewed in refs. 2, 13, 14) provided retrospective evidence that diabetics treated with metformin have unexpectedly low incidence of cancer and/or reduced cancer mortality. Many of these findings have recently been criticized on methodologic grounds (15, 16). Some reported protective effects are of a magnitude unprecedented among cancer prevention studies, such as examples of 80% risk reduction for hepatocellular cancer (17, 18). Results of this kind require careful assessment in terms of methods and validity: if confirmed, these findings could lead to dramatic clinical benefits, but if unfounded could lead to inappropriate justification for randomized clinical trials. In the case of breast cancer, many trials of metformin are ongoing. When these trials were planned, available pharmacoepidemiologic evidence supported the rationale (for example, ref. 19), but more recent results are less persuasive (for example, ref. 20).

The early pharmacoepidemiology results motivated several groups to carry out laboratory studies. To the surprise of some observers, antineoplastic activity was indeed observed in early in vitro (21) and in vivo (22) laboratory models and in many subsequent studies (reviewed in ref. 2). While most of the laboratory evidence for antineoplastic activity of metformin was observed at higher exposure levels than achieved during diabetes treatment, these findings contributed to the rationale for the large number of ongoing clinical trials of metformin for various indications in oncology.

Mechanisms of action of potential relevance to oncology

Although many studies have described metformin-induced physiologic changes that correlate with antiproliferative activity in model systems, formal evidence that particular alterations are necessary and sufficient for this activity is often lacking, and much research is ongoing.

The primary target. Despite extensive research, the precise mechanism of biguanides at the molecular level remains poorly understood; however, available data suggest that inhibition of oxidative phosphorylation due to reduced function of respiratory complex I underlies cellular and whole organism actions (3–7, 23), and recent results show that metformin inhibits mitochondrial complex I by binding reversibly to the functionally critical hydrophilic-hydrophobic domain interface (J. Hirst, personal communication). It is important to recognize that pharmacokinetic factors operating at both the cellular and whole organism levels result in heterogeneity between tissues in exposure to biguanides (24, 25). For example, following oral administration, liver is exposed to relatively high levels due to high concentrations in the portal circulation. Additionally, metformin and other less lipophilic biguanides are transported into cells by membrane transport proteins such as organic cation transporter 1 (OCT1), so bioactivity varies between cell types according to expression level of transport proteins.

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molecules (26). Within cells, metformin is non-uniformly distributed, with high concentrations accumulating in the mitochondria, driven by membrane potential.

**Examples of systemic effects.** Systemic effects result from the subset of local effects that influence whole organism homeostasis (Figure 1). The most obvious example concerns the effect of biguanides on gluconeogenesis, which accounts for much of their activity in treatment of type II diabetes. The relatively high level of metformin in the liver results in reduced complex I activity in hepatocytes, causing energetic stress. This stress, in turn, by LKB1/AMPK-dependent (27) and/or-independent (28) mechanisms, inhibits gluconeogenesis, which has systemic effects including reduced hepatic glucose output, reduced serum glucose, and a reduction in hyperinsulinemia (if present at baseline). The extent of reduction of hyperglycemia and hyperinsulinemia is greater in the setting of high baseline levels, and the effect is more pronounced on postprandial levels than fasting levels (M.-J. Blouin, personal communication). Elevated serum levels of insulin or c-peptide (which vary with insulin secretion) are associated with more aggressive behavior and/or increased risk of breast cancer (29, 30), colon cancer (31, 32), and prostate cancer (33) and with rapid tumor growth in certain model systems (for example, refs. 34–36). Thus, in situations in which metformin leads to substantial reduction in insulin levels, this may inhibit the growth of the subset of tumors that are insulin responsive (2, 37, 38).

Other systemic effects of biguanides may arise from effects on effector cells in the immune system (39–41) that may influence chronic inflammatory states that favor neoplasia. Clinical research in this area is revealing effects of metformin not previously characterized in detail, including effects on inflammatory mediators and resistin (42, 43). Additional systemic effects of metformin related to reduction in glucagon signaling, attributable to the effects of the drug on ATP production in glucagon-responsive cells, may also be important (44). Early results further suggest a systemic effect of metformin on adiponectin levels (45). Fascinating evidence indicates that the effect of metformin as a life-extending agent in *C. elegans* is attributable to a systemic effect resulting from a direct action on folate metabolism in gut bacteria (46), but the potential clinical relevance of this work remains an active research topic. An effect of biguanides on folate metabolism in human cells has also been reported (47).

**Examples of direct effects on neoplastic cells.** Energetic stress due to biguanide-induced decrease in oxidative phosphorylation results in a variety of consequences, which vary with physiologic context and the characteristics of targeted cells. While most neoplastic cells exhibit increased glycolysis, as originally described by Warburg, mitochondrial ATP production is not dispensable. Even when glycolytic flux is high, oxidative phosphorylation contributes substantially to ATP production, and also is critical for other metabolic functions, including maintenance of redox balance to permit function of the TCA cycle (48–50).

Direct actions of biguanides on transformed cells or cells at risk for transformation may be a consequence of homeostatic responses to biguanide-induced energetic stress or may be attributable to ATP depletion itself (ref. 2 and Figure 2). The LKB1/AMPK system acts as a sensor of ATP level, and downstream pathways act to minimize energy utilization and maximize ATP generation (51, 52). Early reports (for example, ref. 21) showed that metformin-induced inhibition of oxidative phosphorylation leads to AMPK activation and to AMPK-dependent antiproliferative effects, which are mediated by processes such as AMPK-mediated inhibition of fatty acid synthesis (53) and AMPK-mediated inhibition of mRNA translation (54).
The nature and abundance of carbon source is an important variable to consider (36, 55). In the setting of very high glucose concentration (often used in tissue culture media), disabling LKB1/AMPK protects cells from the antiproliferative actions of metformin (21), indicating that this system is a key mediator of the antiproliferative action of the drug under these circumstances. It is apparent that in this context glycolysis is greatly increased and probably provides adequate ATP to compensate for the reduction in oxidative phosphorylation. However, more recent data show that at lower, more physiologic glucose concentrations, disabling LKB1/AMPK actually sensitizes cells to the effects of metformin (36, 56). These data are compatible with the notion that if low glucose concentration limits compensatory increases in glycolytic carbon flux when metformin reduces oxidative phosphorylation, the action of LKB1/AMPK in reducing energy consumption becomes critical for cell survival. Thus, in the absence of LKB1/AMPK and in the presence of metformin, energy consumption remains high, while ATP production is decreased, which can result in an energetic crisis and a cytotoxic effect of biguanides. This potential mechanism deserves scrutiny, because loss of LKB1 and/or lack of AMPK activation, so that p53-defective cells were less likely to successfully conserve energy in the setting of biguanide-induced energetic stress and thus more likely to undergo an energetic crisis.

There is evidence for a differential effect of metformin on stem cells as compared with differential cells (64), which may be relevant to potential oncologic indications (65). The precise basis for this selective toxicity remains an active research topic, and possibilities include the hypothesis that stem cells may be particularly vulnerable to energetic stress.

Finally, evidence (66) suggests that metformin can act directly on target cells in certain situations by inhibiting RAG GTPase protein signaling, resulting in mTOR inhibition, without the involvement of AMPK. The basis for this observation requires further study. There are no data implying that biguanides directly interact with RAG proteins; rather, it is possible that biguanide-induced energetic stress reduces RAG signaling, perhaps by compromising function of v-ATPases (67). Evidence has also been presented for an effect of metformin on mTOR via a primary action on DNA damage-inducible transcript 41 (68).

Rational combinations
While there may be circumstances in which biguanides have single-agent activity, there is particular interest in exploring opportunities for synthetic lethality. Many combinations have been proposed, but few have been thoroughly evaluated in models. Several proposed biguanide combinations are discussed below.

Inhibitors of glycolysis. One of the earliest suggestions was to combine biguanides with inhibitors of glycolysis (69). This strategy
would serve to block the compensatory increase in glycolytic ATP production that is seen when oxidative phosphorylation is reduced by biguanides. While this approach does indeed result in enhanced cytotoxicity, it may not be practical for clinical application unless drugs are developed that safely and effectively inhibit glycolysis.

**DNA-damaging agents.** Another intriguing possibility, supported by relatively few models, is that biguanides may enhance activity of DNA-damaging agents such as ionizing radiation or cis platinum. A hypothesis in this context relates to the energetic cost of DNA repair. While normal rates of DNA repair have little influence on cellular energy homeostasis, the massive and sudden DNA repair following DNA-damaging agents such as ionizing radiation or cis platinum. While this approach does indeed result in enhanced cytotoxicity, it may not be practical for clinical application unless drugs are developed that safely and effectively inhibit glycolysis.

**Anti-VEGF therapies.** An adaptive response of a cancer cell to energetic stress caused by a biguanide is to encourage the delivery of additional carbon sources and oxygen by stimulating new vessel formation. Increased VEGF expression is known to occur following AMPK activation, so it is not surprising that some tumors secrete this factor on exposure to biguanides. This process has been associated with metformin-induced acceleration of tumor growth (72), a finding which should raise a cautionary note. On the other hand, in cancers with loss of function of AMPK or its key effectors, energy expenditure is not reduced despite reduced energy supply, leading to an energetic crisis.

![Figure 2: Cellular effects of biguanides.](image)

> Cellular effects of biguanides. (i) Uptake of some biguanides, such as metformin, is dependent on specific transport molecules such as OCT1; other biguanides, such as phenformin, are more lipophilic and less dependent on active transport. (ii) Biguanides can be actively excreted, for example by multidrug and toxin extrusion (MATE) proteins. (iii) Bipgaploids are not homogeneously distributed within the cell; the mitochondrial membrane potential promotes increased uptake of biguanides, and mitochondria have the highest concentration. This is important, as key targets such as respiratory complex I, are in the mitochondria. Mitochondrial actions of biguanides reduce oxidative phosphorylation, resulting in decreased cellular ATP (iv), decreased NAD+ (v), and other derangements in mitochondrial metabolism. The reduction in ATP generation from oxidative phosphorylation leads to a compensatory increase in glucose uptake (vi) and glycolysis, with increased lactate secretion (vii). However, especially if glucose concentrations are limiting, this compensation is not sufficient to restore ATP to baseline levels. (viii) Therefore, if AMPK and its effectors are functional, AMPK activation results in reductions in energy expenditure and anabolic processes, leading to an antiproliferative (but potentially prosurvival) effect. (ix) On the other hand, in cancers with loss of function of AMPK or its key effectors, energy expenditure is not reduced despite reduced energy supply, leading to an energetic crisis.
acute compensatory increase in oxidative phosphorylation would enhance efficacy of the kinase inhibition deserves examination (50).

mTOR inhibitors. Biguanide-induced energy stress may inhibit mTOR via AMPK (75). From this point of view, it would seem redundant for pharmacologic therapies to directly target mTOR in addition to AMPK. However, this combination deserves study because mTOR inhibition alone leads to the inhibition of mRNA translation and other energy-consuming processes, which improve cellular energy balance. Thus, the combination may be regarded as adding energetic stress to mTOR inhibition, rather than targeting mTOR in a redundant fashion.

Salicylates. A recent report (76) demonstrated that salicylate can directly interact with and activate AMPK at concentrations that do not result in impairment of mitochondrial function or ATP production. In therapeutic settings such as adjuvant treatment or cancer risk reduction, in which the impact of long-term AMPK activation is to be studied, it is possible that a strategy of co-administration of moderate doses of salicylate and metformin would be better tolerated than a high dose of either agent alone.

Blockers of lactate/H+ transport. Most cells, when exposed to sufficient biguanide concentration, attempt to cope with the resulting energetic stress by increasing glycolysis, which leads to increased lactic acid secretion. In an in vitro model system, impressive synthetic lethality resulted when cells were simultaneously exposed to a biguanide and agents that interfere with lactate/H+ transport (J. Pouyssegur, personal communication). This cell death was a consequence of the ability of biguanides to inhibit oxidative phosphorylation and reduce TCA cycle flux, creating a requirement for maximal glycolysis while simultaneously using another agent to limit excretion of the glycolytic end product. This combination is now being evaluated in vivo (77).

Androgen-targeting therapies in prostate cancer. Androgen deprivation is a mainstay of prostate cancer treatment, but is associated with hyperinsulinemia, which may contribute to both long-term toxicity and treatment failure (33, 78). If the hyperinsulinemia associated with androgen deprivation proves to be as responsive to metformin as the hyperinsulinemia of type II diabetes, it is plausible that combined androgen deprivation and metformin would be both better tolerated and more effective than androgen deprivation alone.

Pharmacokinetics and dosing

The actions of biguanides in model systems will have clinical relevance only if the drug accumulates to a sufficient extent in target tissues in patients. Conventional antidiabetic doses of metformin are known to be sufficient to result in inhibition of hepatic gluconeogenesis, but liver may not be representative of other tissues of potential interest. This organ accumulates relatively high metformin concentrations not only because it receives the portal circulation, which provides higher concentrations than the systemic circulation following oral dosing, but also because hepatocytes express high levels of OCT1, which enhances cellular uptake of the drug (24, 25, 79). There is also evidence for a high concentration of metformin in the gut following oral exposure (80), so from a pharmacokinetic perspective, liver and colon are particularly interesting sites for potential clinical applications.

For applications in which long-term administration is contemplated, it is unlikely that metformin can be given orally at doses higher than 1,500–2,000 mg/day. However, in contexts in which short-term exposure is planned, higher doses of metformin or other biguanides may be feasible. While all studies that have compared metformin with phenformin have documented higher antineoplastic activity of the latter (26, 81, 82), it is important to note that formal preclinical studies comparing antineoplastic activity of metformin, phenformin, or other biguanides, each administered at maximal tolerated dose, have not yet been reported.

Drug delivery may be optimized by careful selection of existing or novel biguanides, by liposomal formulations, or even by methods to enhance delivery to desired cell populations by antibody-mediated targeting strategies. Little research has been undertaken in these areas to date; justification for such efforts would be clear if biguanides are shown to have significant activity in model systems at doses that are currently impractical to administer to patients. This scenario might increase the interest of the pharmaceutical industry, as novel formulations or derivatives of existing biguanides may create opportunities to protect intellectual property more easily than is the case for metformin, which of course is a very widely used generic drug.

Clinical contexts for trials and patient selection

Preclinical research on biguanides carried out to date provides little guidance for prioritization of specific oncologic indications for study. Pharmacokinetic considerations suggest that liver and colon deserve attention, but epidemiologic and laboratory studies raise the possibility of activity for many cancers. Apart from the large number of different cancers under study, there are several clinical contexts for each of these, including prevention, adjuvant treatment, and palliative treatment, and in each case, treatment involves either single-agent therapy or a variety of drug combinations. This situation, together with the wide availability and low cost of metformin, also contributes to the large number of trials in progress. Thus, the manner in which metformin is being studied clinically differs from the conventional scenario in which a novel agent is subjected to phase II evaluation for specific indications suggested by preclinical studies.

Most trials underway are exploring broad indications for common cancers. However, certain specific indications for risk reduction or treatment deserve special consideration. These include risk reduction in polyposis syndromes including Peutz-Jeghers and in Li-Fraumeni syndrome (61). For palliative treatment, perhaps in strategic drug combinations, trials for tumors with molecular characteristics predictive of defects in tolerance to energetic stress, such as loss of function of LKB1, may deserve prioritization. It is also possible that activity related to indirect mechanisms will be confined to those patients with significant baseline hyperinsulinemia.

Resistance mechanisms

Several potential resistance mechanisms for biguanides in oncology have been proposed. If metformin-induced reduction of insulin level is of a sufficient magnitude to reduce proliferation of the subset of tumors that are insulin dependent, one might anticipate selection for activating mutations of PI3K that would confer autonomy from insulin, thus reducing benefit. Recent models indicate that the resistance to direct actions of biguanides can emerge at early time points after treatment (56). The mechanisms are not well defined but could include selection for processes that prevent cellular drug accumulation or processes that increase mitochondrial number, to compensate for the biguanide-induced reduction in ATP output per mitochondrion. Another possibility to consider is that activation of AMPK, while antiproliferative, may in certain contexts also be pro-survival, as energetic requirements are reduced (83). Resistance devel-
ops to virtually all antineoplastic therapies, so these considerations on their own should not discourage further research. Rather, a better understanding of the mechanisms of resistance may suggest additional rational drug combinations and/or patient selection criteria.

**Early clinical results**

More than 100 clinical trials of metformin in cancer treatment are listed as active on the NIH ClinicalTrials.gov web site (84). These trials are evaluating the specific hypothesis that the antidiabetic dose of metformin has antineoplastic activity. While this is clearly an attractive possibility, it is not directly supported by preclinical studies, which generally demonstrate activity at higher concentrations than are seen in the serum of metformin-treated diabetics. To date, only metformin is being studied clinically, despite clues from laboratory studies that phenformin may have greater antineoplastic activity (26, 81, 82, 85). For all of these reasons, negative results of these early trials should not be used to justify ceasing research on effects of biguanides on cancer. On the other hand, if antineoplastic activity is detected, it is likely that further optimization will be possible.

Presently available clinical trial results involve intermediate or surrogate outcome measurements, such as changes in cellular proliferation or hormone levels, rather than direct measures of clinical benefit. While studies of many disease sites are in progress, most data available at this time deal with colon or breast cancer.

An early study (86) examined short-term effects of metformin on breast cancer cell proliferation in nondiabetic women with operable breast cancer by comparing serum and tissue biomarkers obtained at baseline and following drug administration. This study was not placebo controlled and did not examine postprandial insulin or glucose levels. Metformin did not alter fasting insulin levels, but a small decline in tumor cell proliferation, as estimated by Ki-67 staining, was observed. Another larger (N = 200) study (87) of similar design was carried out in a randomized, placebo-controlled manner—an obvious strength. However, the tissue procurement method was not identical for the baseline and follow-up samples, and there was variability in the time between the last metformin dose and the point at which the surgical specimen was obtained. These issues complicate interpretation of the findings, including the fact that Ki-67—estimated proliferation rates increased between biopsy and surgery in placebo-treated women. This puzzling observation has been observed in other studies of similar design that did not involve metformin (88, 89). This poorly understood rise was attenuated in women receiving metformin, particularly in subsets characterized by high BMI or high levels of C-peptide or insulin-like binding protein 1. A third study of similar design (90) was smaller (N = 39), not placebo controlled, and compared tissue from needle biopsies with surgical specimens. Nonsignificant declines in insulin levels together with increased apoptosis and reduced proliferation were observed. Available data suggest that the interpersonal variability in baseline fasting insulin level is larger in magnitude than the metformin-induced declines, so it remains to be seen whether metformin exposure is indeed a major determinant of insulin levels in individuals without diabetes. An earlier trial that used a somatostatin analog to lower insulin and IGF-I levels in breast cancer patients achieved small-magnitude reductions in the hypothesized direction without an effect on breast cancer outcomes (29), but this result cannot be used to rule out an effect of small-magnitude declines, as the duration of therapy was unexpectedly limited by gastrointestinal toxicity.

Taken together, these early biomarker trials do not allow definitive conclusions. Certainly the observed metformin-induced changes in proliferation are small compared with those seen with approved drugs such as aromatase inhibitors (91). The studies that included untreated controls raise the possibility of a perioperative elevation of insulin, perhaps related to perioperative intravenous glucose infusion, that raises proliferation and is attenuated by metformin.

With respect to the colon, available data deal with the effects of metformin (in nondiabetic subjects) on normal epithelial cells rather than cancer cells and are therefore more relevant to hypotheses concerning the use of metformin for prevention than for treatment. Low-dose (250 mg/d) oral metformin reduced the number of aberrant crypt foci (a premalignant change) in a short-term trial (92). Although the effect of metformin at a dose lower than that conventionally used in diabetes treatment on insulin levels was not studied, this would not be expected. Another trial involving baseline and on-metformin colon mucosa biopsies demonstrated that conventional antidiabetic doses of metformin reduce epithelial proliferation and have a larger effect on postprandial than fasting insulin levels, but did not establish the mechanism underlying the antiproliferative effect (93). A direct effect attributable to high luminal metformin concentrations (80) is plausible. Increased intestinal glucose uptake in patients receiving metformin have been observed using F-18 fluoro-2-deoxyglucose PET (FDG-PET) (94). This observation may represent a pharmacodynamic marker of compensatory increase in glucose uptake arising in the epithelial cells as a consequence of metformin-induced reduction in oxidative phosphorylation (95).

**Concluding perspectives**

Many compounds are being evaluated as metabolic therapies for cancer. Metformin deserves to be included in this group, but it is occasionally omitted because it represents a case of drug repurposing rather than novel drug development. It is clear that metformin is now being studied in clinical trials more intensively than other proposed metabolic therapies. This is attributable to its widespread availability and safety and to attractive mechanistic hypotheses, even though gaps in knowledge regarding mode of action and pharmacokinetics represent challenges to optimal trial design.

While the original rationales for studying metformin activity for cancer — the lowering of insulin levels systemically and the activation of AMPK in tumors — are still of interest, these are now recognized to represent only the tip of the iceberg in terms of potential mechanisms. A large number of ongoing clinical trials are studying metformin for indications in oncology, but all are limited to the specific hypothesis that antidiabetic doses of metformin have antineoplastic activity. A finding of clinical utility in these trials would represent an important achievement of repurposing research. Of particular significance would be the fact that metformin is well tolerated and inexpensive: the introduction of a generic drug for diabetes as a novel antineoplastic agent would be an unusual and happy case history in drug development.

Some possibilities that are not being evaluated by ongoing trials may deserve future clinical study, depending on results of ongoing preclinical work. These include evaluation of biguanides other than metformin with optimized pharmacokinetic characteristics, the short-term use of metformin at higher doses than are commonly used in diabetes, the use of biguanides in combination with other agents that may lead to synthetic lethality, and the possibility of specifically targeting cancers that have defects in homeostatic systems that allow survival under energetic stress. If the initial clinical trials show activity, these areas of investigation will deserve further attention. But even if results from the first generation of
clinical trials are disappointing, these topics should be investigated further to ensure that all contexts in which biguanides might be useful are fully explored.

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