IgA nephropathy (IgAN) represents the leading cause of kidney failure among East Asian populations and the most frequent form of primary glomerulonephritis among Europeans. Patients with IgAN develop characteristic IgA1-containing immune complexes that deposit in the glomerular mesangium, producing progressive kidney injury. Recent studies define IgAN as an autoimmune trait of complex architecture with a strong genetic determination. This Review summarizes new insights into the role of the O-glycosylation pathway, anti-glycan immune response, mucosal immunity, antigen processing and presentation, and the alternative complement pathway in the pathogenesis of IgAN.
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**Introduction**

IgA nephropathy (IgAN) represents a common form of primary glomerulonephritis characterized by highly heterogeneous clinical and pathological features (1). The diagnosis of IgAN relies entirely on a histopathologic evaluation of renal biopsy, which demonstrates mesangial hypercellularity and predominant or co-dominant glomerular deposition of IgA, usually with complement C3 and variable amounts of IgG and/or IgM (1). IgAN is a progressive disease in up to 50% of affected adults. Remaining patients have persistent hematuria or proteinuria, and only a minority enter sustained clinical remission. The prognosis is also highly variable and the outcome difficult to predict in individual patients (2). Approximately 30%-50% of patients will develop end-stage renal disease (ESRD) within 20 years of the initial biopsy (3). Kidney transplant is the treatment of choice in individuals that reach ESRD, but the disease recurs in up to 50% of patients with a renal allograft (4). High rates of recurrence following kidney transplantation long ago suggested that the primary pathogenic defect in IgAN may be of extra-renal origin (5).

The hypothesis of extra-renal causes of IgAN is also strongly supported by studies of altered IgA O-glycosylation. Galactose-deficient variants of IgA1 (Gd-IgA1) are more common in the sera of patients compared with that of healthy and disease controls (6). These forms also predominate in the glomerular immunodeposits and in the IgA1-containing circulating immune complexes (7–10). IgA1-producing cells have been identified as the source of elevated serum Gd-IgA1 levels (11). Recent studies suggest that some individuals with high levels of Gd-IgA1 are prone to auto-sensitization and production of anti–Gd-IgA1 antibodies with formation of immune complexes (12). Reliable serum assays for Gd-IgA1 (auto-antigen) and anti–Gd-IgA1 IgG or IgA (auto-antibody) have been developed (6, 12), and early studies suggest that these biomarkers may be useful in predicting accelerated progression of kidney disease (13, 14).

The above findings strongly implicate an autoimmune etiology of IgAN, a clear departure from previous models of a simple imbalance between IgA production and clearance. Further support for an autoimmune pathogenesis comes from genome-wide association studies (GWAS), which unequivocally demonstrated that disease susceptibility is greatly affected by several common variants in the antigen processing and presentation pathway (15–17). These variants have overlapping effects on other autoimmune disorders (16). Additionally, GWAS highlighted the involvement of the mucosal defense system and the alternative complement pathway. Here we review evidence for the involvement of these pathways in the pathogenesis of IgAN, highlighting studies of IgA1 glycosylation defects and anti-glycan immune responses as well as evidence arising from gene-mapping efforts. We further discuss the recently proposed unifying pathogenesis model that incorporates both functional and genetic data.

**IgA1 O-glycosylation pathway and anti-glycan antibodies in IgAN**

Circulating IgA-IgG immune complexes have been implicated for years in the pathogenesis of IgAN and Henoch-Schönlein purpura nephritis, a related kidney disease with accompanying extra-renal manifestations due to systemic IgA vasculitis (18–20). However, the demonstration that these complexes consist of Gd-IgA1 bound by anti–Gd-IgA1 antibodies came only recently, redefining IgAN as an autoimmune disease (9, 11). Here we focus on characterization of the autoantigen, Gd-IgA1, as other aspects have been reviewed elsewhere (21–23).

IgA1 heavy chains contain a hinge region segment, the site of O-glycosylation, with nine potential sites for attachment of O-glycans (Figure 1A). Of these sites, only three to six are usually glycosylated (24–29). Normal human circulatory IgA1 has O-glycans consisting of N-acetylgalactosamine (GalNAc) with β1,3-linked galactose; each saccharide can also be sialylated. The composition of O-glycans on normal serum IgA1 is variable, but prevailing forms include the GalNAc-galactose disaccharide along with its mono- and di-sialylated forms (29, 30–32).

Patients with IgAN have a greater fraction of circulatory IgA1 molecules with hinge-region O-glycans without galactose, i.e., consisting of terminal GalNAc or sialylated GalNAc. This galactosylation defect appears to be specific for IgA1, as other O-glycoproteins in serum have a normal content of galactose (33, 34). Normal serum IgA1 had been thought to contain few or no galactose-deficient O-glycans (35), but recent evidence indicates that some galactose-deficient O-glycans may be present at residues threonine 233 (Thr233) and Thr236 (29). The latter observation is consistent with the fact that GalNAc-specific lectins, such as agglutinin from...
Helix aspersa (HAA), bind small amounts of IgA1 from healthy controls (6, 8, 10). Notably, the other subclass of human IgA, IgA2, has a different hinge region sequence, lacking serine (Ser) and Thr (Figure 1A), and thus is not O-glycosylated (23, 36).

Progress in the analysis of IgA1 O-glycosylation in IgAN initially came from the use of O-glycan–specific lectins (9, 33, 37, 38). Lectin-based assays progressed through a careful screening of GalNAc-specific binding (39) to the development of a quantitative ELISA (6). This assay was used for analyses of IgA1 in several clinical cohorts to demonstrate that most patients with IgAN have elevated serum levels of Gd-IgA1, including children and adults of various ethnicities (6, 13, 14, 40–42).

In addition to lectin-based assays, gas-liquid chromatography and mass spectrometry have been applied to elucidate the O-glycosylation patterns of IgA1 molecules (7, 9, 43–46). Direct localization of O-glycan attachment sites on IgA1 can be accomplished with electron capture dissociation (ECD) or electron transfer dissociation (ETD) tandem mass spectrometry, using hinge region glycopeptides generated by proteolytic cleavage (25–29). Individual hinge region glycoforms are identified by their molecular mass, and the sites of O-glycan attachment are determined by ECD or ETD fragmentation, a process that generates fragments from glycopeptides by breaking the chains of amino acids without removing the attached glycans. These approaches are sensitive enough to distinguish different O-glycoform isomers, i.e., IgA1 isoforms with the same number of glycans but different sites of attachment. Using these techniques, galactose-deficient glycans were found most commonly at Ser230, Thr233, and/or Thr236 in different combinations in normal serum IgA1 and in IgA1 myeloma proteins (see ref. 21 and 23 for a more detailed discussion). This technology is now ready to be applied extensively to analyses of IgA1 from patients with IgAN.

Studies of immortalized IgA1-secreting cells derived from the circulating subjects with IgAN and healthy controls provided additional insights into the origin of Gd-IgA1, including identification of the key enzymes involved in the IgA1 O-glycosylation pathway (Figure 1B). The initiation of O-glycosylation on glycoproteins is catalyzed by GalNAc transferases (GalNAc-Ts) (for more information, see refs. 47, 48). This process is thought to be mediated primarily by GalNAc-T2, but other GalNAc-Ts are abundantly expressed in IgA1-producing cells and may contribute as well (47, 49). Specifi-
GalNAc-T14, the closest structural relative of GalNAc-T2, is among the top GalNAc-Ts transcribed in IgA1-producing cells, and its expression is several-fold greater in the cells from IgAN patients compared with those of healthy controls. Not only do cells from IgAN patients secrete IgA1 with a higher degree of galactose deficiency, but the degree of IgA1 galactose deficiency also mimics that of circulating IgA1 from the corresponding donors (10). The level of secreted Gd-IgA1 correlates with decreased expression and activity of core-1 β3,3-galactosyltransferase (C1GaT1), which adds galactose to GalNAc, as well as higher expression and activity of α-N-acetylgalactosaminidase α-2,6-sialyltransferase 2 (ST6GalNAc-II), which adds sialic acid to GalNAc (10, 50, 51). Moreover, the expression of C1GaT1-specific chaperone, necessary for stability of the nascent C1GaT1 protein, is reduced (52, 53).

Thus, significant progress has been made in defining the role of IgA1 O-glycosylation in IgAN pathogenesis; evidence demonstrates that Gd-IgA1 originates from IgA1-secreting cells, and clinical studies have validated serum Gd-IgA1 as a reliable disease biomarker. While determination of the precise origin and nature of the cells producing Gd-IgA1 in vivo requires further work, circulating IgA1-secreting cells appear to recapitulate this biochemical defect in vitro. The pathogenic process leading to the production of Gd-IgA1 involves dysregulated expression of several key glycosyltransferases. The Gd-IgA1 is recognized by glycan-specific autoantibodies, a pathogenic process that leads to formation of nephritogenic immune complexes. Further definition of the nature of abnormal O-glycosylation of IgA1 and the pathways enhancing autoantigen production may uncover potential disease-specific therapeutic targets in future studies.

Additional insights from genetic studies
Numerous lines of evidence support a strong genetic contribution to IgAN (54). First, significant geographic and ethnic differences exist in the prevalence of IgAN: it occurs with greatest frequency in East Asians, is relatively common in Europeans, and is infrequent in individuals of African ancestry (55, 56). Second, familial aggregation of the disease has been well recognized (54). In most reported families, IgAN follows autosomal dominant transmission with incomplete penetrance. The recognition of familial disease has many clinical implications, particularly for the selection of living, related donors for kidney transplantation. In familial IgAN, high serum levels of Gd-IgA1 frequently co-segregate with a biopsy diagnosis of nephritis. Importantly, IgA1 glycosylation defects have been demonstrated to have high heritability in family-based studies (estimated at 50%–70%), further supporting an important role of this biomarker in genetic predisposition to IgAN (57, 58).

Despite numerous efforts at gene mapping using linkage approaches, Mendelian defects responsible for familial IgAN remain elusive (59–61). Linkage studies of IgAN have been limited because definitive diagnosis requires an invasive procedure, which often precludes reliable screening of asymptomatic relatives of affected individuals and increases chances of phenotype misclassification. Newer approaches, such as linkage analysis with phenotyping enhanced by determination of serum Gd-IgA1 levels, in combination with exome or genome sequencing may prove more fruitful (62).

The GWAS approach has emerged as a powerful alternative to family-based studies for complex traits and has been successfully applied to IgAN. By design, the major limitation of GWAS is that they detect only common susceptibility variants, and these typically have relatively small effects (63). Nevertheless, a well-executed GWAS may provide unbiased insights into the biology of human disease that could be clinically relevant regardless of the fraction of risk explained (64). In the case of IgAN, this approach is further motivated by the success of GWAS in the field of autoimmune and inflammatory diseases, with well over 500 genome-wide significant susceptibility loci discovered to date. For example, 193 independent GWAS loci were found for inflammatory bowel disease (IBD), explaining over 25% of familial risk (65) and 110 GWAS loci were identified for multiple sclerosis (MS), explaining nearly 30% of sibling recurrence (66). New biology arising from GWAS allowed for the identification of previously unrecognized pathways that are shared between different autoimmune disorders, shedding light on the common underlying mechanisms that can now be targeted pharmaceutically (64).

The first published GWAS for IgAN was performed in Europeans (15) and was followed by two larger studies performed in Chinese discovery cohorts (16, 17). Notably, the discovery sample size for all three studies combined consisted of only 3,000 IgAN cases and thus is approximately ten-fold smaller compared with the aforementioned studies of IBD and MS, which each included over 30,000 cases. Despite this power limitation, the IgAN GWAS successfully identified seven independent risk loci with genome-wide significance ($P < 5 \times 10^{-8}$) and cumulatively explained approximately 5% of the overall disease variance. Notably, this is a substantially greater fraction compared with other GWAS of kidney phenotypes—for example, a study of 60,000 individuals reported 13 loci that explained only 1.4% of the variance of estimated glomerular filtration rate (67). Nonetheless, a large portion of IgAN risk remains unexplained, and there are likely additional loci that have not yet been discovered. Using a recently proposed method (68), we have modeled this possibility mathematically, and we estimate that doubling the discovery sample size is likely to find up to seven additional loci, while tripling the sample size would identify up to 11 additional loci at a genome-wide significance ($P < 5 \times 10^{-8}$). These observations clearly motivate even larger studies of IgAN.

Although the fraction of the overall risk explained to date by GWAS is relatively small, systematic ethnic differences in IgAN risk allele frequencies correlate well with disease epidemiology (56). Strikingly, East Asians carry the highest average number of risk alleles and have the greatest prevalence of IgAN, while Africans have the lowest burden of risk alleles and are rarely affected. Accordingly, the prevalence of ESRD due to IgAN is nearly ten-fold higher among individuals of East Asian ancestry living in the US when compared with African Americans (56). Because over 85% of the existing GWAS discovery cohorts are composed of individuals of Chinese ancestry, discovery studies in other ethnicities are still needed to better assess the differences in genetic risk profiles among populations. Moreover, careful dissection of ethnicity-specific effects will be important, because the disease appears to have different features in East Asians and Europeans. For example, apart from dramatic differences in prevalence, biopsy series as well as ESRD registries indicate that the disease is equally frequent in males and females in East Asia (69–72). In contrast, IgAN is strikingly more common in European males, with a male-to-female ratio often exceeding 3:1 (73–77). These data suggest a complex interaction between gender and ethnicity on disease risk in IgAN. To date, no one has examined whether these patterns are mediated by a genetic effect. Moreover, prior GWAS showed no significant associations for markers on the sex chromosomes, suggesting that gender differences may be mediated by autosomal SNPs with gender-specific effects. The studies of
gender-genotype interactions, as well as careful analysis of sex chromosomes in larger GWAS, may potentially provide some answers as to the origin of these intriguing epidemiologic patterns.

Finally, although GWAS have defined several susceptibility loci, the discovery of specific causal alleles within each locus awaits additional fine mapping or re-sequencing efforts, in addition to functional follow-up studies. Nevertheless, through careful analysis and annotation of the detected loci, several causal candidate genes have been prioritized, providing novel insights into the pathways driving the pathogenesis of IgAN. The implicated pathways include the antigen processing and presentation pathway (three loci on chromosome 6p21 in the MHC region), the mucosal immunity pathway (chromosomes 22q12 HORMA2D locus, 8p23 α-defensin [DEFA] locus, and 17p13 TNFSF13 locus) and the alternative complement pathway (chromosome 1q32 complement factor H [CFH] locus) (15–17).

**Antigen processing and presentation pathway**

All three GWAS of IgAN identified strong signals with genome-wide significance within the MHC region. Further dissection of these signals using conditional analyses defined three distinct susceptibility loci on chromosome 6p21: HLA-DRB1/DQB1, HLA-DPB1/DPB2, and TAP1/PSMB9.

The strongest association was observed in the region that included the HLA-DRB1 -DQA1 and -DQB1 genes (16). Imputation of classical HLA alleles from SNP data supported a highly protective effect conferred by the DQB1*0602-DRB1*1501 haplotype. Notably, the same haplotype was reported to also protect from type I diabetes mellitus (78); however, the signal at this locus is complex, and conditional analyses provided support for additional risk variants independent of DQB1*0602-DRB1*1501 (56). This region was also previously associated with several autoimmune phenotypes, including rheumatoid arthritis (79), systemic sclerosis (80), alopecia areata (81), Graves’ disease (82), IgA deficiency (83), systemic lupus erythematos (84), MS (85), and ulcerative colitis (86).

The second distinct MHC locus was centered over the region of the HLA-DPA1, -DPB1, and -DPB2 genes (also encoding MHC-II molecules), but the causal variant at this locus and its involvement in IgAN are unknown at present. The third MHC locus contained the TAP1, TAP2, PSMB8, and PSMB9 genes. These are interferon-regulated genes involved in antigen processing for presentation by MHC-I
molecules, and these genes also play an important role in modulation of cytokine production and cytotoxic T cell response. The top-scoring SNP at this locus (rs2071543) tags a missense variant (Q49K) in exon 2 of PSMB8. It also represents a strong cis-expression quantitative trait locus in PBMCs, where the IgAN risk allele upregulates gene expression of TAP2, PSMB8, and PSMB9 (87). Increased mRNA levels of PSMB8 were detected in PBMCs of patients with IgAN, defining this gene as the top positional candidate (88).

In summary, GWAS support a complex pattern of association in the MHC region and strongly implicate the antigen processing and presentation pathway in the pathogenesis of IgAN.

Mucosal immunity and regulation of IgA production

Secretory IgA is central to intestinal homeostasis between the host and commensal bacteria, playing a critical role in regulating the intestinal microbiome and preventing pathogen overgrowth (Figure 2). Patients with selective IgA deficiency often develop IBD and recurrent intestinal infections (89). The inability of secretory IgA to activate the classical complement pathway may also play a role in creating a more tolerant non-inflammatory host-microbial relationship. Clinical associations of macroscopic hematuria coinciding with mucosal infections led to the hypothesis that defects in the regulation of local IgA response and/or abnormal mucosal antigen handling may trigger IgAN (90).

This hypothesis is also supported by GWAS. A GWAS locus on chromosome 17p13 contains TNFSF13, which encodes a proliferation-inducing ligand (APRIL). This molecule is involved in T cell-independent generation of IgA-secreting plasma cells, as well as IgA1 to IgA2 class switching (Figure 2 and refs. 91, 92). Serum levels of APRIL are elevated in some patients with IgAN (93), and the 17p23 risk variant was reported to increase total serum IgA (16). Inactivation of Tnfsf13 in mice produces partial IgA deficiency and reduced IgA antibody responses to mucosal immunization (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-associated (94). Conversely, overexpression of B cell activation factor (BAFF), a related molecule with overlapping functions and receptors with APRIL, results in autoimmune disease with commensal flora-ass

Alternative complement pathway

GWAS strongly implicate dysregulation of mucosal IgA production as one of the causes of IgAN and suggest that the interplay of mucosal immunity with the commensal microbiome may represent an important disease modifier. Future efforts will focus on defining causal alleles at the identified loci by fine mapping, sequencing, and functional studies. Additional work is also needed to test the effects of these loci on the synthesis and O-glycosylation of IgA1.

In summary, GWAS support a complex pattern of association in the MHC region and strongly implicate the antigen processing and presentation pathway in the pathogenesis of IgAN.
duplications (108, 109). The mutant proteins are more likely to form circulating FH antagonists, which are even more potent competitive antagonists of FH at tissue surfaces. This process results in net over-activity of C3 convertase, increased C3b deposition, and a clinical picture of C3GN. Similarly, individuals with rare duplications with formation of CFHR1-3 and FHR2-5 fusion proteins may also present with C3GN, presumably due to enhanced ability of mutant proteins to compete with FH (110, 111). The above data provide a plausible explanation as to why reduced gene dosage of CFHR1 would mediate a protective effect in nephritis. The combined deletion of CFHR3 with CFHR1 may further modify this protective effect, but FHR3 lacks dimerization domains characteristic of FHR1 and its mechanism of action is presently not well understood.

Surprisingly, CFHR3,IA has two additional phenotypic associations that oppose the protective effect observed for IgAN: CFHR3,IA is associated with increased risk of SLE (112) and increased risk of atypical hemolytic uremic syndrome (aHUS) (113), a systemic disorder caused by uncontrolled activation of the alternative complement pathway at endothelial surfaces leading to a characteristic clinical triad of hemolytic anemia, thrombocytopenia, and renal failure. Higher risk of aHUS is observed primarily in CFHR3,IA homozygotes and appears to be mediated through formation of inactivating anti-FH antibodies. Interestingly, a rare combined deletion of CFHR1 and CFHR4 genes (CFHR1,4A) has also been implicated in this phenomenon (114). Together, these observations suggest that FHRI may have tolerogenic activity, and its deficiency may lead to increased autoimmune response that is independent of competition with FH for C3b binding (115, 116).

**Multi-hit pathogenesis model**

We previously formulated a multi-hit disease pathogenesis model that integrates findings from the genetic, biochemical, and clinical studies and highlights the autoimmune nature of IgAN (117). We hypothesize that the disease occurs in the setting of a genetically determined increase in circulating levels of Gd-IgA1 (Hit 1). This is likely due to dysregulation of IgA1 production at mucosal surfaces and alterations in posttranslational modification of O-glycans within IgA1-producing cells. The high heritability of circulating Gd-IgA1 suggests a critical role of inherited factors in this process. Because the 22q12 (HORMAD2), 8p23 (DEFA1), and 17p13 (TNFSF13) loci appear to modulate mucosal immunity and production of IgA1, common variations at these loci may explain at least some of the heritability of this trait.

Our family-based studies demonstrated that high serum levels of Gd-IgA1 are not sufficient to produce IgAN and additional cofactors are required to trigger formation of immune complexes. Antiglycan antibodies that recognize GalNAc-containing epitopes on Gd-IgA1 have recently been identified. Thus, the formation of such antibodies may represent one of the essential cofactors or second hits for disease initiation (Hit 2). The anti-glycan response may be incited by exposure to infectious or dietary antigens in the setting of permissive MHC-II haplotypes and favorable genetic variants in the antigen-processing pathway.

The elevation of both Gd-IgA1 and antiglycan autoantibodies leads to formation of immune complexes (Hit 3). This step is critical for the nephritogenicity of Gd-IgA1, but additional molecules may also modulate this process. For example, based on recent animal studies, binding of soluble CD89 to circulating polymeric IgA1 has been proposed as a cofactor that may increase the nephritogenicity of immune complexes (118, 119).

The immune complexes that deposit in the kidney induce mesangial cell proliferation and secretion of extracellular matrix cytokines and chemokines and activate the alternative complement pathway (Hit 4). This process may be further exacerbated by interactions of immune complex–bound CD89 with mesangial transglutaminase-2 and transferrin receptor-1, which in turn may contribute to mesangial stimulation and activation of the complement pathway (118). The alternative pathway activation step is inhibited by FH but can be exacerbated in the presence of competitive FH antagonists, such as FHR1 dimers. The individuals who inherit common deletion of CFHR1 and CFHR3 (CFHR3,IA) have a lower concentration of FHRI-containing dimers and are therefore protected from overactivation of the complement system by immune complexes in kidney tissue.

We also hypothesize that mesangial deposition of Gd-IgA1 could occur in the absence of antiglycan antibodies, but this process may be clinically silent. This hypothesis might potentially explain the relatively high frequency of lantianic IgA deposits observed among kidney allograft donors and in autopsy series of individuals with no known kidney disease (120–122). Unfortunately, this hypothesis remains extremely difficult to test, considering that asymptomatic individuals with isolated Hit 1 (high Gd-IgA1 levels) usually have no indication for a renal biopsy. The lantianic deposition of Gd-IgA1 could represent the first phase in the disease process (123), while sequential acquisition of additional hits would trigger a full-blown clinical phenotype in a subset of genetically susceptible individuals. Longitudinal assessment of serum Gd-IgA1 and anti-glycan antibody levels along with renal outcomes in prospective population-based cohorts may be able to confirm this hypothesis.

Lastly, because IgAN represents an end-organ manifestation of what we believe is a highly heterogeneous disease process, we recognize that our simple model may not fit all cases, and alternative models may exist in parallel. The above model will undoubtedly continue to evolve as newer experimental and clinical data become available.

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