Mitochondrial homeostasis is critical for tissue health, and mitochondrial dysfunction contributes to numerous diseases, including heart failure. Here, we have shown that the transcription factor Kruppel-like factor 4 (KLF4) governs mitochondrial biogenesis, metabolic function, dynamics, and autophagic clearance. Adult mice with cardiac-specific \textit{Klf4} deficiency developed cardiac dysfunction with aging or in response to pressure overload that was characterized by reduced myocardial ATP levels, elevated ROS, and marked alterations in mitochondrial shape, size, ultrastructure, and alignment. Evaluation of mitochondria isolated from KLF4-deficient hearts revealed a reduced respiration rate that is likely due to defects in electron transport chain complex I. Further, cardiac-specific, embryonic \textit{Klf4} deletion resulted in postnatal premature mortality, impaired mitochondrial biogenesis, and altered mitochondrial maturation. We determined that KLF4 binds to, cooperates with, and is requisite for optimal function of the estrogen-related receptor/PPAR\(\gamma\) coactivator 1 (ERR/PGC-1) transcriptional regulatory module on metabolic and mitochondrial targets. Finally, we found that KLF4 regulates autophagy flux through transcriptional regulation of a broad array of autophagy genes in cardiomyocytes. Collectively, these findings identify KLF4 as a nodal transcriptional regulator of mitochondrial homeostasis.
Kruppel-like factor 4 is critical for transcriptional control of cardiac mitochondrial homeostasis

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Mitochondrial homeostasis is critical for tissue health, and mitochondrial dysfunction contributes to numerous diseases, including heart failure. Here, we have shown that the transcription factor Kruppel-like factor 4 (KLF4) governs mitochondrial biogenesis, metabolic function, dynamics, and autophagic clearance. Adult mice with cardiac-specific Klf4 deficiency developed cardiac dysfunction with aging or in response to pressure overload that was characterized by reduced myocardial ATP levels, elevated ROS, and marked alterations in mitochondrial shape, size, ultrastructure, and alignment. Evaluation of mitochondria isolated from KLF4-deficient hearts revealed a reduced respiration rate that is likely due to defects in electron transport chain complex I. Further, cardiac-specific, embryonic Klf4 deletion resulted in postnatal premature mortality, impaired mitochondrial biogenesis, and altered mitochondrial maturation. We determined that KLF4 binds to, cooperates with, and is requisite for optimal function of the estrogen-related receptor/PPARγ coactivator 1 (ERR/PGC-1) transcriptional regulatory module on metabolic and mitochondrial targets. Finally, we found that KLF4 regulates autophagy flux through transcriptional regulation of a broad array of autophagy genes in cardiomyocytes. Collectively, these findings identify KLF4 as a nodal transcriptional regulator of mitochondrial homeostasis.

Introduction

The heart has an unrelenting need for energy throughout life to sustain contractile function. Despite its high-energy demand, the heart contains relatively low ATP reserves, and thus, a continual supply of energy is required (1). To meet such demand, cardiomyocytes are endowed with a large mitochondrial content that accounts for almost all (>95%) ATP production derived from oxidative phosphorylation (OXPHOS) (2). As a robust uninterrupted ATP supply from mitochondria is critical to maintain cardiac function, it is not surprising that subtle alterations in mitochondrial function can have a dramatic influence on heart function. Indeed, mitochondrial dysfunction is increasingly recognized as critical to the pathogenesis of cardiac disease (3). However, the molecular mechanisms controlling mitochondrial health remain incompletely understood.

Mitochondria are dynamic organelles, whose collective mass and morphology is regulated through mitochondrial biogenesis, dynamics (fission/fusion), and autophagic clearance (mitophagy). Developmental and physiological states associated with increased energy demand (e.g., postnatal cardiac maturation and exercise) lead to a significant increase in mitochondrial numbers through biogenesis (4, 5). In addition, mitochondrial dynamics help maintain mitochondrial homeostasis through repeated fusion and fission cycles in which mitochondrial contents are mixed and damaged molecules are either diluted or compensated by healthy ones as a form of complementation (6). Finally, the quality of mitochondria is maintained through mitophagy, a specific form of autophagy in which defective mitochondria are selectively isolated from the cellular network and subjected to lysosomal degradation (7). Accumulating evidence has demonstrated that perturbation of any phase of mitochondrial life cycle in the heart impairs heart function (5, 8–10).

Studies over the past decades support an important role for a subset of the nuclear receptor superfamily in regulation of cardiac metabolism and mitochondrial homeostasis, including the PPAR family (Ppara, Pparg, Ppard), the estrogen-related receptor (ERR)
family (Esrra, Esrrb, Esrrg), and the PPARy coactivator 1 family (e.g., Ppargc1a and Ppargc1b, also called PGC-1α and PGC-1β). Current paradigms suggest that the ERR/PGC-1 molecular module regulates a broad spectrum of genes that are involved in cardiac energy metabolism, mitochondrial biogenesis, dynamics, and OXPHOS (11–15). These effects are thought to occur through direct transcriptional control of key targets or indirectly through induction of effectors such as PPARα, a dominant regulator of cardiac fatty acid oxidation (FAO) (16). However, the role of PGC-1 signaling in autophagy remains controversial (17, 18). To date, studies of autophagy have largely focused on cytoplasmic events and little is known about transcriptional control of this process (19).

Kruppel-like factors (KLFs) belong to the zinc finger family of transcription factors. Studies from our group and others have identified members of this family as critical regulators of cellular metabolism and muscle function (20–22). For example, previous work has identified KLF4 as critical for the heart’s adaptation to stress, but the molecular basis for this was unknown (23, 24). Here, we provide evidence indicating that KLF4 regulates all major aspects of mitochondrial life cycle, from metabolic function to stress, but the molecular basis for this sensitivity to stress remains unknown. To investigate this precipitous decline in cardiac function, we performed TAC in 8- to 10-week-old Myh6-Cre Klf4+/− (designated A-Cre for adult Cre) and Myh6-Cre Klf4fl/fl (designated A-cKO for adult cardiac KO) mice and assessed heart function by serial echocardiography. Consistent with the high mortality after TAC reported previously (< 0.05 between sham groups, n = 7 for A-Cre TAC group, n = 5 for A-cKO TAC group). The gene expression profile revealed a KLF4-dependent metabolic signature, particularly in mitochondrial gene expression after TAC (Figure 1B). We confirmed this signature by quantitative RT-PCR analysis, and, as shown in Figure 1C, KLF4 regulated the expression of a broad array of genes involved in transcriptional regulation of FAO, OXPHOS, mitochondrial biogenesis, dynamics, and autophagy.

Consistent with the gene expression profile, we observed a significant reduction in myocardial ATP levels coupled with excessive ROS generation in A-cKO myocardium following TAC (Figure 1, D and E), indicating mitochondrial dysfunction. Transmission electron microscopy studies revealed striking evidence of mitochondrial damage in A-cKO myocardium following TAC, including mitochondrial disarray, degeneration, fragmentation, elongation, and increased heterogeneity (Figure 1F and Supplemental Figure 2). In addition, patchy deposition of myocardial glycogen was marginally increased in the A-cKO group, which might reflect decreased mitochondrial metabolism in damaged tissue (Supplemental Figure 3).

KLF4 deficiency impairs mitochondrial function. Given these profound alterations in gene expression and mitochondrial morphology (Figure 1, C and F), we next assessed respiration in mitochondria isolated from KLF4-deficient hearts (Supplemental Table 1). These studies focused on mitochondria isolated from young control and KLF4-deficient hearts at baseline, given that pressure overload results in severe mitochondrial damage that would impede the isolation of a mitochondrial sample that is representative of the in vivo mitochondrial population. More importantly, the mitochondrial defects detectable at baseline are more likely to address a cause-effect relationship between mitochondrial dysfunction and heart failure.

Freshly isolated mitochondria from A-cKO hearts exhibited reduced respiration rates with fatty acid substrates and pyruvate (Figure 2A). As mitochondrial oxidation of fatty acids and pyruvate merges at the level of acetyl-CoA, our data suggested that KLF4 deficiency leads to a common downstream defect at either the Krebs cycle or electron transport chain (ETC) level. Indeed, direct ETC respiration studies revealed a 25% reduction in complex I function in the A-cKO group (Figure 2B), comparable to the reduction in pyruvate oxidation rate. Thus, defective complex I function likely accounts for the reduced mitochondrial OXPHOS function at baseline. In concert with the reduction in mitochondrial function, we observed an increased level of AMPKα phosphorylation, an indicator of AMP-high/ATP-low stage, in KLF4-deficient myocardium, indicating cellular energetic insufficiency (Figure 2C). Similar reduction in mitochondrial respiration was observed in neonatal rat...
Figure 2. KLF4 deficiency impairs mitochondrial function and myocardial bioenergetics. (A) Mitochondrial respiration assays with fatty acids and pyruvate substrates. Malate was used as NADH donor. (B) Assays for ETC complex activity. Data presented are from 5 independent experiments. (C) Western blotting analysis for AMPKα phosphorylation. p-AMPKα, p-Thr172. (D and E) Cellular respiration assays with NRVMs following (D) acute adenoviral knockdown or (E) overexpression of KLF4. Glucose (25 mmol/l) and pyruvate (1 mmol/l) were supplied as substrates. OCR, oxygen consumption rate; Sh-EV, shRNA targeting empty vector; Sh-KLF4, shRNA targeting Klf4; FCCP, p-trifluoromethoxyphenylhydrazone; Rot, rotenone; AA, antimycin A; Ad-EV, adenoviral empty vector; Ad-KLF4, adenoviral KLF4. Maximal respiration rate equals the FCCP-induced oxygen consumption rate. \( n = 3 \). *\( P < 0.05 \), Student’s t test.
The efficiency are significant and manifest in response to pathological (pressure overload) or physiological stress (aging).

KLF4 is essential to postnatal mitochondrial biogenesis and dynamics in the heart. Since genes that regulate mitochondrial biogenesis and dynamics were altered in KLF4-deficient myocardium (Figure 1E), we next sought to determine whether KLF4 also regulates mitochondrial biogenesis and dynamics in the heart. It has been reported that these processes are quite rare in the adult myocardium but critical for cardiac adaptation in the postnatal period (5, 14, 25). Thus, we chose to study perinatal cardiac development, as this period is notable for robust changes in mitochondrial biogenesis and dynamics.

As a first step, we studied myocardial KLF4 expression during the perinatal period and found that expression of KLF4 was significantly induced after birth in both human and rodent hearts, in parallel with PPARGC1A (Figure 4A), which encodes PGC-1α, a transcriptional coactivator that regulates mitochondrial biogenesis and dynamics (5, 14, 26). Since the Myh6-Cre we used only achieves 70%–80% deletion of floxed gene allele by 2 weeks of age, making it unsuitable for the study of perinatal cardiac development (27), we chose a Cre line driven by a 2.8-kb Tagln promoter (also known as Sm22) that efficiently deletes floxed gene alleles in ventricular myocytes (NRVMs) with acute KLF4 silencing (Figure 2D), and, conversely, transient overexpression of KLF4 enhanced mitochondrial respiration in NRVMs (Figure 2E), strongly indicating a cell-intrinsic role of KLF4 on mitochondrial function. Collectively, these results support a key role for KLF4 in mitochondrial OXPHOS function and energetics in the myocardium.

KLF4 deficiency impairs myocardial function with age. The finding that young A-cKO mice exhibited normal cardiac function under basal conditions was somewhat surprising in light of the basal defects noted in isolated mitochondrial respiration studies. We hypothesized that this basal defect may become more apparent and affect cardiac function over time (i.e., in aged mice), as it had in response to an acute stress like TAC. We performed serial echocardiography on a cohort of animals over a 9- to 12-month period. A small reduction in fractional shortening was noted at 6 months, and this progressed to a significant reduction by 9 months of age (Figure 3A). These animals also exhibited reduced survival, with nearly 100% mortality by 1 year of age (Figure 3B). Importantly, like the hearts after TAC, aged KLF4-deficient hearts displayed increased mitochondrial fragmentation, disarray, and degeneration, indicating enhanced mitochondrial injury over time (Figure 3C and Supplemental Figure 4). Collectively, these data suggest that the mitochondrial defects resulting from KLF4 deficiency are significant and manifest in response to pathological (pressure overload) or physiological stress (aging).

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Figure 4. Myocardial KLF4 is required for postnatal mitochondrial biogenesis and cardiac maturation. (A) Expression of KLF4 and PPARγ1A in human and mouse hearts. Prenatal data were used as controls. n = 4 for each data point. (B) Heart size and weight. (C) Heart contractility, as assessed by echocardiography. (B and C) n = 5–7 at 4 weeks of age. (D) Myocardium ultrastructure. Representative images are shown (n = 3 in each group). Scale bar: 1 μm. (E) Cardiac mitochondrial genomic DNA, as assessed by qPCR. Mitochondrial genome copies (mtDNA) were normalized to nuclear DNA content. n = 10 in each genotype. Dots showed qPCR results from 5 pairs of primers (mt-Nd1, mt-Nd4, mt-C01, mt-Co2, and mt-Atp6). (F) Expression of genes encoded by the mitochondrial genome. Ndufβ5, a nuclear-encoded gene, was included as control. (G) Protein levels of mitochondrial- and nuclear-encoded genes. Each lane represents one animal. (H) Acute knockdown or overexpression of KLF4 in NRVMs affected expression of mitochondrial-encoded genes. Cox5b, a nuclear-encoded gene, was included as control. (I) Deficiency of cardiac KLF4 impaired the expression of genes that regulate mitochondrial dynamics. Heart samples: n = 10. NRVM samples: n = 3. DNA/RNA/protein analysis was performed with 2-week-old animals. *P < 0.05, Student’s t test with Bonferroni correction.

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Figure 6. Consistent with the above-mentioned mitochondrial abnormality, myocardial glycogen content was slightly increased in the E-cKO group (Supplemental Figure 7). Collectively, these results support a critical role for KLF4 in postnatal cardiac mitochondrial biogenesis, dynamics, and maturation.

KLF4 is requisite for the ERR/PGC-1 transcription module. The transcriptional regulation of cardiac metabolism and mitochondrial function has resided largely in the domain of aforementioned nuclear receptors. Intriguingly, we noted that the cardiac phenotypes of KLF4-deficient mice in response to physiologic (postnatal adaptation) and pathologic (pressure overload) stress were strikingly similar to those of Pparγ1a, Pparγ1b, and Esra single or compound KO mice with respect to cardiac mitochondrial dysfunction, impaired postnatal mitochondrial biogenesis, and dynamics (5, 11, 13, 14, 30). Thus, we hypothesized that KLF4 may interact with the ERR/PGC-1 signaling pathway.

We studied cytochrome c (Cycs), PPARα (Ppara), and pyruvate dehydrogenase kinase, isozyme 4 (Pdk4) as classic direct targets of the ERR/PGC-1 module (12, 31, 32). Promoter analyses revealed multiple consensus KLF-binding elements (CA/GCCC, designated KRE for KLF response element) in proximity to a nuclear receptor response element (NRRE) (Figure 5A and Supplemental Figure 8). ChIP and promoter reporter studies confirmed that KLF4 directly binds to and induces all 3 promoters (Figure 5A and Supplemental Figure 8, A and B). Cotransfection of KLF4 with ERR/PGC-1 resulted in a synergistic induction of target promoters (Figure 5B and Supplemental Figure 8, C and D). Conversely, knockdown of KLF4 attenuated ERR/PGC-1-mediated promoter transactivation (Figure 5C). Next, we sought to determine whether direct binding of KLF4 onto KRE is required for promoter transactivation. As there are 4 KRE sites and 1 NRRE site on the 1,766-bp Cycs promoter used in this study, we first performed 5′-end serial truncation to locate the crucial KRE sites and found that the transactivation synergy was significantly attenuated when truncation included the NRRE vicinity (Figure 5D). Further promoter sequence alignment analysis indicates that 2 KRE sites flanking the NRRE are conserved from rodent to human, and mutating either or both of the 2 conserved KRE sites significantly attenuated the transactivation synergy between KLF4 and the ERR/PGC-1 module, suggesting that direct KLF4 binding onto the promoter is required for optimal transcription (Figure 5E). Similar promoter truncation analysis with the Ppara promoter demonstrated that a cluster of 9 KRE sites residing between the 2 NRRE sites is required for KLF4-ERR/PGC-1 synergy (Supplemental Figure 8E); while the NRRE site of the Pkd4 promoter appeared to mediate most promoter function (Supplemental Figure 8F). Given that Ppara is a major regulator of cardiac FAO, we examined KLF4 effects on FAO in NRVMs. KLF4 overexpression increased expression of FAO genes and FAO rates, while knockdown blunted induction mediated by WY16463, a synthetic ligand for PPARα (Supplemental Figure 9). In contrast, KLF4 had minimal effects on glycolyis but affected glucose oxidation, likely through regulation of mitochondria function (Supplemental Figure 10).

We next sought to determine the molecular basis for the observed transcriptional synergy. Coimmunoprecipitation assays demonstrated that KLF4 interacts with ERRα and, to a lesser degree, with PGC-1α and interacts minimally with PGC-1β (Fig-
Figure 5. KLF4 binds to and cooperates with the ERR/PGC-1 transcriptional module in regulation of target gene transcription. (A) Recruitment of KLF4 onto endogenous Cycs promoters and activation of the promoter reporter. Black rectangles indicate KRE and the white rectangle indicates NRRE. Arrowheads indicate PCR regions in ChIP assays. (B and C) Synergistic cooperativity between KLF4 and the ERR/PGC-1 complex on target promoters. (D) Promoter truncation analysis. (E) Site mutation in Cycs promoter. (F) Protein-protein interaction between KLF4 and PGC-1α/PGC-1β/ERRα. (G) Gel filtration chromatography analysis. (H) KLF4 augmented the recruitment of ERRα to endogenous Cycs promoter in NRVMs. n = 3. *P < 0.05, Student’s t test with Bonferroni correction.

Interactions between KLF4 and ERRα, PGC-1α, and KLF4, and ERRα and PGC-1α further suggest the existence of a KLF4/ERR/PGC-1 trimolecular complex. To test this idea, we performed gel filtration chromatography and found that all 3 molecules were eluted in the same fractions, supporting the existence of a trimolecular complex (Figure 5G). Finally, KLF4 augmented the recruitment of ERRα to the endogenous Cycs promoter (Figure 5H).

To gain further mechanistic insight, we mapped the protein domains that mediate the KLF4-ERRα interaction by coimmunoprecipitation assays using a series of truncated KLF4 and ERRα
proteins. This study showed that the zinc finger domain (ZnF) of KLF4 mediates the interaction with ERRα, as all KLF4 mutants that carry the ZnF domain (aa 384–479), but not the ZnF deletion mutants, were able to pull down ERRα (Figure 6, A–C). In addition, the activation function-2 homology region (AF-2) of ERRα, which is also critical for interacting with PGC-1α (33), is required for its interaction with KLF4 (Figure 6, D–F). Of note, although the ZnF domain of KLF4 is sufficient to mediate its protein-protein interaction with ERRα, transcriptional activity of the KLF4/ERRα/PGC-1α complex requires the full-length KLF4 (Figure 6G).

Figure 6. Interaction and cooperativity between KLF4 and the ERR/PGC-1 module. (A) Full-length and truncated KLF4 proteins. TAD, transactivation domain; TRD, transrepression domain. (B and C) Protein-protein interaction between full-length ERRα (FLAG-tagged) and different forms of truncated KLF4 (HA-tagged). (D) Full-length and truncated ERRα proteins. DBD, DNA-binding domain; LBD, ligand-binding domain. (E and F) Protein-protein interaction between full-length KLF4 (HA-tagged and endogenous KLF4) and different forms of truncated ERRα (FLAG-tagged). (G) Functional cooperativity between the ERRα/PGC-1α complex and different forms of truncated KLF4. n = 3. *P < 0.05, Student’s t test with Bonferroni correction.
KLF4 is requisite for the mitochondrial biogenesis machinery (Figure 7C). Collectively, these data demonstrate that KLF4 binds to, cooperates with, and is requisite for optimal transcriptional function of the ERR/PGC-1 module, likely through the formation of a KLF4/ERR/PGC-1 trimolecular complex (Figure 7D).

KLF4 regulates autophagy in cardiomyocytes. The above results indicate that, in response to physiologic and pathologic stress, mitochondrial homeostasis is dependent upon KLF4 expression. The accumulation of the fragmented/damaged mitochondria in the KLF4-deficient state raises the question whether loss of KLF4 may also affect the process of autophagic clearance.

To address this, we first examined the conversion of LC3-I to LC3-II, a hallmark of autophagy induction, in TAC-stressed A-cKO

To determine whether KLF4 is requisite for ERR/PGC-1 signaling, we examined PGC-1α-induced target gene expression in NRVMs in the presence or absence of KLF4. As expected (14, 34), numerous genes involved in mitochondrial FAO, OXPHOS, biogenesis, and dynamics were induced by acute overexpression of PGC-1α in NRVMs. However, such induction was either significantly attenuated or completely abolished when KLF4 was silenced (Figure 7, A and B). We also noted that KLF4 knockdown had effects on specific mitochondrial-encoded genes that are independent of PGC-1α (Figure 7B), suggesting the possibility that KLF4 may regulate mitochondrial genome transcription. Finally, KLF4 silencing significantly blunted the PGC-1α–induced mitochondrial biogenic response (26), suggesting that KLF4 is requisite for the mitochondrial biogenesis machinery (Figure 7C). Collectively, these data demonstrate that KLF4 binds to, cooperates with, and is requisite for optimal transcriptional function of the ERR/PGC-1 module, likely through the formation of a KLF4/ERR/PGC-1 trimolecular complex (Figure 7D).

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Figure 7. KLF4 is requisite for optimal transcriptional function of the ERR/PGC-1 complex. (A and B) KLF4 is requisite for PGC-1α–mediated induction of (A) metabolic and (B) mitochondrial genes in NRVMs. (C) KLF4 deficiency impaired PGC-1α–mediated mitochondrial biogenesis in NRVMs. n = 3. *P < 0.05, Student’s t test with Bonferroni correction. (D) Proposed mechanistic model of the KLF4/ERR/PGC-1 transcriptional complex.
hearts and found that KLF4 deficiency reduced LC3-II accumulation (Figure 8A). Further, in vitro KLF4 gain- and loss-of-function studies, in the setting of autophagy induced by a mitochondrial uncoupling reagent (carbonyl cyanide m-chlorophenylhydrazone [CCCP]), recapitulated the in vivo KLF4-dependent effects on LC3-II accumulation (Figure 8A). To monitor autophagy flux, we used bafilomycin A1 (BFA) to block lysosomal removal of engulfed LC3-II and confirmed that KLF4 promotes autophagy flux (Figure 8A). As previously reported, mitochondria that escape from autophagy could trigger inflammatory responses in the myocardi-um leading to cardiac dysfunction (35). The impaired autophagy seen in KLF4-deficient hearts may not only jeopardize energy production but also induce myocardial inflammation, as revealed by the inflammatory signature from microarray analysis (Figure 1B), and Il6 induction in TAC hearts in vivo and in CCCP-treated NRVMs in vitro (Supplemental Figure 11). Thus, KLF4 deficiency appears to impair autophagy flux, leading to accumulation of damaged mitochondria and inflammation in the myocardium.

PINK1/parkin pathway plays a major role in mitochondrial clearance. Depolarized mitochondria are recognized by PINK1 and labeled through parkin-mediated ubiquitination, which subse- quently activates autophagy-related genes (ATGs) to engage autophagy process (36). Through a cascade of protein interaction and modification, ATGs form autophagosomes to engulf damaged mitochondria and deliver them to lysosomes for degradation (37). We assessed the activation of PINK1/parkin signaling in myocardium following TAC. KLF4 deficiency did not significantly affect the mitochondrial enrichment of PINK1 and parkin, subsequent ubiquitination of mitochondrial proteins, or the recruitment of p62, suggesting intact PINK1/parkin signaling (Figure 8B). Unc-51-like kinases 1 and 2 (Ulkl and Ulk2), the mammalian homologs of Atg1, are essential in the initiation of autophagosome formation and, thus, serve as a central regulator of autophagy (38–40). It has been shown that ULK1 is activated through phosphorylation at the Ser555 residue by adenosine monophosphate–activated protein kinase (AMPK). We examined myocardial ULK1 signaling and found that TAC-induced ULK1 phosphorylation was markedly attenuated in KLF4-deficient hearts (Figure 8C). These data were recapitulated in NRVMs in vitro (Supplemental Figure 11). Thus, KLF4 deficiency appears to impair autophagy flux, leading to accumulation of damaged mitochondria and inflammation in the myocardium.

Finally, to gain a comprehensive understanding of KLF4-mediated transcriptional regulation of autophagy, we screened the entire autophagy pathway using a commercially available qPCR array and found that a broad spectrum of autophagy genes was differentially regulated by KLF4 overexpression and deficiency in NRVMs (Figure 8H and Supplemental Figure 15A). We further confirmed that 31 of the 54 genes that had reduced expression after KLF4 knockdown were reduced in KLF4-deficient hearts in vivo, which recapitulated the in vitro finding (Figure 8I and Supplemental Figure 15B). These data suggest that KLF4 may transcriptionally regulate autophagy at multiple steps, making it a novel and central transcriptional regu-lator of this process. Thus, through the direct transcriptional regul-ation of the autophagy pathway, KLF4 may affect mitochondrial clearance, the last phase of mitochondrial life cycle.

Discussion

Mitochondria serve as the power plant for cardiomyocytes, pro-viding their energetic needs in the form of ATP to sustain contractile function. Mitochondrial OXPHOS function is under tight control to meet cellular energetic demand. Further, mitochondria undergo biogenesis, fission, and fusion to maintain homeostasis. In this study, we demonstrate that KLF4 regulates mitochondrial OXPHOS, biogenesis, and dynamics in the heart, likely through cooperation with the ERR/PGC-1 pathway. Moreover, KLF4 also directly regulates autophagy genes. These findings position KLF4 as a critical component of the transcriptional circuitry regulating cardiac mitochondrial homeostasis (summarized in Figure 9).

To date, the transcriptional regulation of cardiac energy metabolism and mitochondrial function has largely been attributed to the ERR/PGC-1 transcriptional module, which plays an integrative role in relaying developmental and physiological signals to a key subset of transcription factors to direct the coordinate expression of nuclear and mitochondrial genes (16). We believe that our data demonstrate that KLF4 is a novel and essential component of this transcriptional module, and the basis for this conclusion is manifold. First, KLF4 deficiency in both mature and developing hearts shows striking parallels to the mitochondrial phenotype observed in the Ppargc1a Ppargc1b KO (single and compound KO) and Esra KO animals, including altered cellular metabolism, mitochondrial biogenesis, dynamics, and cardiac dysfunction. Second, KLF4 depletion attenuates classic cellular actions of PGC-1, including...
Figure 8. KLF4 regulates autophagy in the heart. (A) Western blot analysis for LC3 activation (LC3-I to LC3-II conversion). (B) Activation of the PINK1/parkin pathway in the heart. A sample from MG132-treated cells was included as a positive control. Mitochondrial membrane protein TOM20 was used as loading control. (C) Activation of ULK1 signaling in the heart (top) and NRVMs (bottom). p-ULK1, p-Ser555. Heart samples were harvested at 3 days after surgery. NRVMs were infected with indicated adenovirus for 72 hours, followed by treatment with 20 μmol/l CCCP with or without 100 nmol/l BFA for 2 hours. (D) KLF4-induced autophagy flux is dependent on ULK1. (E) Expression of Ulk1 mRNA in NRVMs with overexpression or knockdown of KLF4. (F) Ulk1 promoter truncation analysis. (G) Recruitment of KLF4 onto Ulk1 proximal promoter region. Arrowheads indicate PCR regions in ChIP assays. (H and I) Autophagy pathway qPCR array analysis. *P < 0.05, Student’s t test with Bonferroni correction.
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KLF4 regulates mitochondrial homeostasis

Figure 9. Model of KLF4’s role in regulation of metabolic and mitochondrial genes. KLF4 serves as a critical transcriptional regulator of mitochondrial homeostasis in the heart through (i) cooperation with the ERR/PGC-1 transcriptional module on the regulation of metabolic and mitochondrial genes and (ii) direct regulation of the autophagy genes independent of the ERR/PGC-1 module. X, other transcription factors.

altered gene expression and mitochondrial biogenesis. Finally, KLF4 is found to bind to and synergize with ERR/PGC-1 on the promoters of common targets. These observations add to a growing appreciation that nuclear receptors and KLF crosstalk can orchestrate key cellular events. For example, in adipocytes, KLF5 cooperates with PPARγ to induce transcription of the Pparg gene and, in doing so, promotes adipogenesis (21, 41). In cardiomyocytes, KLF15 cooperates with PPARα in regulating lipid metabolism (42). Conversely, activation of GR signaling can induce a number of KLFs (e.g., KLF9, KLF11, and KLF15) in specific physiologic or pathologic contexts (43–45). Finally, the current study illuminates the importance of cooperativity between KLFs and nuclear receptors at two levels: (a) direct cooperation with the ERR/PGC-1 module and (b) upstream regulation of PPARs.

Another important aspect of the current work relates to KLF4 control of autophagy. This process is critical to heart homeostasis, and its disruption leads to a deterioration of cardiac function (10, 35). Importantly, much of the work to date has focused on cytoplasmic events that culminate in the autophagic response. In addition, a small but burgeoning literature underscores the importance of transcriptional regulation of this process (19), and our work supports and advances this view. Specifically, we show that KLF4 regulates numerous targets within the autophagy pathway, many of which (27 of 36 induced; 27 of 54 reduced) appear to be direct transcriptional targets (Figure 8G). We focused on Ulk1 (and its family member Ulk2), the mammalian homolog of ATG1, as it serves as a nodal point in the control of autophagy (19). We confirmed that both Ulk1 and Ulk2 are direct targets of KLF4 and are requisite for KLF4-induced autophagy. Previous studies have also indicated that additional transcription factors are involved in autophagy, including p53, NF-κB, FOXO, and HIF-1 (19). Interestingly, work in different cellular contexts has revealed crosstalk between KLF4 and many of these other transcription factors, raising the possibility that KLF4 can also interact with these pathways in the transcriptional regulation of autophagy (46–49). Importantly, we believe that the KLF4-mediated induction of autophagy genes is likely independent of the ERR/PGC-1 module. Previous work suggests that PGC-1 can suppress autophagy in skeletal muscle (17), findings that we have confirmed in NRVMs as well (data not shown). While additional studies are warranted, our current observations suggest that KLF4 confers regulation on the autophagic pathway at many steps and hence functions as a transcriptional switch of autophagy. It has been shown that autophagy is required for heart health in the basal state and in response to stress (9, 10). Therefore, the impaired autophagy in KLF4-deficient heart may contribute to the ultimate cardiomyopathy.

There are several aspects of KLF4 in cardiomyocyte/mitochondrial biology that remain incompletely understood and will require further investigation. For example, while we observed a reduction in multiple lipid flux targets and complex I function at baseline in the KLF4-null hearts, cardiac function was preserved. It is possible that there is a certain threshold that was not crossed in the KLF4-deficient state at baseline that was crossed following stress (pressure overload and aging). Functional redundancy is another possibility, as other KLFs have been identified in the heart. Interestingly, a similar scenario has been observed in single Ppargc1a KO, Ppargc1b KO, and Esrra KO mice. In all 3 cases, the mutant hearts were not distinguishable from control hearts at baseline but exhibited an enhanced susceptibility to cardiac dysfunction in response to stress (13, 30, 50). However, compound KO mice with deficiency of both Ppargc1a and Ppargc1b in the heart exhibited profound cardiac defects, supporting the idea of redundancy (5, 14). And finally, we note that the mitochondrial homeostasis consists not only of biogenesis and fusion and fission, but also of elimination of damaged mitochondria by autophagy. Whether KLF4 or the KLF4/ERR/PGC-1 pathway is operative in these processes to coordinate the entire mitochondrial life cycle is an important unanswered question.

This work adds significantly to the current hypothesis that KLFs are nodal regulators of cellular metabolism. The initial link was provided by Gray and colleagues, who showed that KLF15 regulates glucose metabolism (51). Since then, 8 additional members of this gene family have been shown to regulate adipogenesis both in mammals and worms (52, 53). Several KLF factors (e.g., KLF10, KLF11, KLF15) have been shown to regulate hepatic metabolism (22, 54–56). KLF15 has also been shown to control lipid flux and metabolism in cardiac and skeletal muscles (57, 58). However, a role for any member of this gene family as a central regulator of mitochondrial biology has not yet been reported, and thus, the current work serves as an important advance in this field.

Methods

Animal models. Mice with cardiac-specific postnatal deletion of Klf4 (Myh6-Cre Klf4f/f, A-cko mice) have been described previously (24). Mice with embryonic cardiac-specific deletion of Klf4 (E-cko mice) were established by crossing a 2.8-kb Tagln promoter-driven Cre line to Klf4f/f mice (28). All mice are on a C57BL/6J background. Mice were housed in a temperature- and humidity-controlled specific pathogen-free facility with a 12-hour-light/dark cycle and ad libitum access to water and standard laboratory rodent chow. TAC model, echocardiography, and telemetry ECG were performed as described previously (24, 59).

Histology. Tissue samples were fixed in 10% neutralized formalin and embedded with paraffin following standard protocols. H&E staining and trichrome staining were performed as described previously (24). Myocardial capillary density was revealed by CD31 (Mil-
lipore, CBL1337) or CD34 staining (BD Pharmingen, 553731) (60, 61). DHE and EFS staining were performed with fresh frozen sections in argon-buffered oxygen-free chambers (62, 63). Myocardial glycogen content was detected by a Periodic Acid–Schiff Kit (Sigma-Aldrich, 395B-1KT) using paraffin-embedded sections. Microscopic images were analyzed using ImagePro software for quantification.

Cell culture and cell-based assay. NRVMs (isolated from 2-day-old Sprague Dawley rats) and HEK293 cells (ATCC CRL-1573) were cultured as described previously (24). Expression plasmids (KLF4, ERRα, ERRγ, PGC-1α, and PGC-1β), adenviral KLFL4, and PPARδ and PDK4 promoter luciferase constructs have been described previously (24, 31-33). A shRNA targeting rat Klf4 (GTATGTGCCCCCAAGATTAA) was designed and packaged into adenovirus by Welgen Inc. Adenoviral shRNA targeting mouse/rat Ulk1 and Ulk2 was purchased from Vector Biologs (shADV-275618 and shADV-275619). The promoter regions of mouse Cysc (1,766 bp) and rat Ulk1 (1,071 bp) were amplified by PCR and cloned into pGL3-basic luciferase vector. Mutations in promoters were introduced by site-directed mutagenesis with a QuickChange Lightning Site-Directed Mutagenesis Kit (Stratagene, 210581). All plasmids were sequenced to ensure sequence accuracy. Adenoviral infection was carried out with indicated virus (10 MOI for overexpression or 50 MOI for knockdown) for 48 to 72 hours prior to experiments. Transient transfections were carried out using the X-tremeGENE HP DNA Transfection Reagent (Roche, 06366256001). Luciferase-generated bioluminescence was recorded on a Veritas Luminometer (Turner Biosystems) 24 hours after transfection (24). FAO rate was determined by release of [3H]-H2O in the aqueous phase of cell lysate chloroform extracts from oxidation of 1-[3H]-oleic acid as described previously (47). Glycolysis rate and mitochondrial respiration rate in NRVMs were assessed using a Seahorse XFp Extracellular Flux Analyzer with the XFp Glycolysis rate and mitochondrial respiration rate in NRVMs were assessed using the presence of 100 μM 2-deoxyglucose (64) with 100 μM 2-deoxyglucose (64).

Mitochondrial genome quantification. Total DNA was extracted from the hearts or NRVMs using the QIAamp DNA Mini Kit (Qiagen, 51304). Mitochondrial DNA content was assessed by qPCR using primers specific for multiple mitochondrial-encoded genes (mt-Co1, mt-Co2, mt-Nd1, mt-Nd5, mt-Cytb, mt-Atp6) and normalized to nuclear DNA content (a specific locus on mouse chromosome 6 or rat chromosome 4) using the ΔΔCt method. Primer sequences are listed in Supplemental Table 2.

Transmission electron microscopy. Small pieces of tissue from the LV free wall were fixed by sequential immersion in triple aldehyde-DMSO, ferrocyanide-reduced osmium tetroxide, and acidified uranyl acetate; dehydrated in ascending concentrations of ethanol; passed through propylene oxide; and embedded in Poly/Bed resin (Polysciences Inc., 21844-L). Thin sections were sequentially stained with acidified uranyl acetate, followed by a modification of Sato’s triple lead stain, and examined with a JEOL 1200EX electron microscope (65).

Western blot. Protein from cultured cells or tissues was extracted using RIPA buffer (Sigma-Aldrich, R02691) supplemented with protease and phosphatase inhibitor cocktails (Roche, 4693132001 and 4906845001). Mitochondrial protein was solubilized with 1% DDM (Life Technologies, VN2005) or 2% CHAPS (Sigma-Aldrich, C5070). The following antibodies were used: phospho-AMPKa (Thr172) (Cell Signaling, 2535), AMPKα (Cell Signaling, 2603), α-tubulin (Sigma-Alrich, T9026), mt-CO1 (Abcam, ab14705), mt-CO2 (Abcam, ab110258), NDUF51 (Abcam, ab169540), ATP5A (Abcam, ab14748), COX IV (Cell Signaling, 4844), KLF4 (Santa Cruz, sc-20691), LC3B (Cell Signaling, 3868), PINK1 (Sigma-Aldrich, SAB1304438), parkin (Cell Signaling, 4211), SQSTM1/p62 (Cell Signaling, 5114), TOM20 (Santa Cruz, sc-I1415), ubiquitin (Cell Signaling, 3936), phospho-ULK1 (Ser555) (Cell Signaling, 8569), ULK1 (Cell Signaling, 8534), phospho-acyetyl-CoA carboxylase (Ser79) (Cell Signaling, 11818), acetyl-CoA carboxylase (Cell Signaling, 3676), HRP-linked anti-FLAG (Sigma-Aldrich, A8592), HRP-linked anti-HA (Sigma-Aldrich, H6533), HRP-linked anti-rabbit IgG (Cell Signaling, 7074), and HRP-linked anti-mouse IgG (Cell Signaling, 7076). See complete undedicated blots in the supplemental material.

Coimmunoprecipitation. Total protein from HEK293 cells was prepared using Pierce IP Lysis Buffer (Life Technologies, 87778) in combination with sonication to ensure disruption of nuclear proteins. For each immunoprecipitation, 1 mg protein was immunoprecipitated with 2 to 5 μg of antibody bound to agarose or magnetic beads. Anti-FLAG (EIZview Red Anti-FLAG M2 Affinity Gel, Sigma-Aldrich, F2426), anti-HA (EZview Red Anti-HA Affinity Gel, Sigma-Aldrich, E6779), and anti-KLF4 (Santa Cruz, sc-20691) antibodies were used for immunoprecipitation. Immune complexes were washed extensively and eluted in Laemmli Sample Buffer (Bio-Rad, 161-0737). Precipitated and input proteins were subjected to SDS-PAGE and immunoblotted as indicated.

Gel filtration chromatography. Cell-free extract was concentrated to <200 μl by ultrafiltration (total protein ~7 mg) and applied at a flow rate of 0.5 ml/min to a Superdex 200 10-× 300-mm column equilibrated with PBS. The eluent was collected in 1 ml fractions and concentrated to 40 μl by ultrafiltration. Eluted fractions were analyzed by Western blotting. The molecular weight of proteins in the fractions was determined using the elution profile of gel-filtration standards (Bio-Rad, 151-1901).
ChIP. NRVMs (2 × 10^6) were fixed with 1% formaldehyde, and chromatin was extracted and sonicated using a BioRuptor (Diagenode). The sonicated chromatin was immunoprecipitated with 2 to 5 μg of anti-KLF4 antibodies (Santa Cruz, sc-20691) bound to Dynabeads (Life Technologies, 10003D), followed by extensive washing and elution. Chromatin was then reverse cross-linked, followed by purification of genomic DNA. Target and nontarget regions were amplified by qPCR in both the precipitated and input samples. A locus on rat chromosome 4 was used as a nontarget control. ChIP primer sequences are listed in Supplemental Table 2.

Microarray analysis. Total ventricular RNA was purified from A-Cre and A-cKO mice with sham surgery or TAC at 3 days after surgery (n = 4 in each group). Sample preparation, labeling, and array hybridizations were performed according to standard protocols from the UCSF Shared Microarray Core Facilities and Agilent Technologies (http://www.arrays.ucsf.edu and http://www.agilent.com). Total RNA quality was assessed using a Pico Chip on an Agilent 2100 Bioanalyzer (Agilent Technologies). RNA was amplified and labeled with Cy3-CTP using the Agilent Low RNA Input Fluorescent Linear Amplification Kit following the manufacturer’s protocol. Labeled cRNA was assessed using the Nanodrop ND-100 (Nanodrop Technologies Inc.), and equal amounts of Cy3-labeled target were hybridized to Agilent whole mouse genome 4x44K Ink-jet arrays. Hybridizations were performed for 14 hours, according to the manufacturer’s protocol. Arrays were scanned using the Agilent Microarray Scanner, and raw signal intensities were extracted with Feature Extraction v10.1 software (Agilent). Microarray data set has been deposited to the NCBI Gene Expression Omnibus, with the accession number GSE61177.

Statistics. Results are presented as mean ± SEM. Two-tailed Student’s t test was used to compare the differences between 2 groups. One-way ANOVA with Bonferroni correction was used for multiple comparisons. Survival curves were compared using the log-rank test. Statistical significance was defined as P < 0.05.

Acknowledgments

We thank Xiaodong Bai (RNA Center at Case Western Reserve University) for microarray data analysis and Quan Chen (Institute of Zoology, Chinese Academy of Sciences, Beijing, China) for help on autophagy studies. This work was supported by an American Heart Association Established Investigator Award (to M.K. Jain); American Heart Association National Scientist Development grants 12SDG12070077 (to X. Liao) and 12SDG12050558 (to Y. Lu); and NIH grants R01HL058493 (to P.D. Kelly); R01HL110630-01, R01HL121486, R01HL086548, and R01-HL119195 (to M.K. Jain); T32HL105338 and F32HL110538 (to D.A. Prosdocimo). This work was also generously supported by Tom F. Peterson (to M.K. Jain).

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