The esophageal lumen is lined by a stratified squamous epithelium comprised of proliferative basal cells that differentiate while migrating toward the luminal surface and eventually desquamate. Rapid epithelial renewal occurs, but the specific cell of origin that supports this high proliferative demand remains unknown. Herein, we have described a long-lived progenitor cell population in the mouse esophageal epithelium that is characterized by expression of keratin 15 (Krt15). Genetic in vivo lineage tracing revealed that the Krt15 promoter marks a long-lived basal cell population able to self-renew, proliferate, and generate differentiated cells, consistent with a progenitor/stem cell population. Transcriptional profiling demonstrated that Krt15+ basal cells are molecularly distinct from Krt15− basal cells. Depletion of Krt15-derived cells resulted in decreased proliferation, thereby leading to atrophy of the esophageal epithelium. Further, Krt15+ cells were radioresistant and contributed to esophageal epithelial regeneration following radiation-induced injury. These results establish the presence of a long-lived and indispensable Krt15+ progenitor cell population that provides additional perspective on esophageal epithelial biology and the widely prevalent diseases that afflict this epithelium.
Long-lived keratin 15+ esophageal progenitor cells contribute to homeostasis and regeneration

Véronique Giroux,1,2 Ashley A. Lento,1,2 Mirazul Islam,3 Jason R. Pitarresi,1,2 Akriti Kharbanda,1,2 Kathryn E. Hamilton,1,2 Kelly A. Whelan,1,2 Apple Long,1,2 Ben Rhoades,1,2 Qiaozi Tang,1,2 Hiroshi Nakagawa,1,2 Christopher J. Lengner,4 Adam J. Bass,5,5 E. Paul Wileyto,6 Andres J. Klein-Szanto,7 Timothy C. Wang,8 and Anil K. Rustgi1,2,9

1Division of Gastroenterology, Department of Medicine, and 2Abramson Cancer Center, University of Pennsylvania, Philadelphia, Pennsylvania, USA. 3Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, Massachusetts, USA. 4Department of Biomedical Sciences, School of Veterinary Medicine, and Institute for Regenerative Medicine, University of Pennsylvania, Philadelphia, Pennsylvania, USA. 5Harvard Medical School, Boston, Massachusetts, USA. 6Department of Biostatistics and Epidemiology, University of Pennsylvania, Philadelphia, Pennsylvania, USA. 7Department of Pathology and Cancer Biology Program, Fox Chase Cancer Center, Philadelphia, Pennsylvania, USA. 8Division of Digestive and Liver Disease, Department of Medicine, Columbia University, New York, New York, USA. 9Department of Genetics, University of Pennsylvania, Philadelphia, Pennsylvania, USA.

The esophageal lumen is lined by a stratified squamous epithelium comprised of proliferative basal cells that differentiate while migrating toward the luminal surface and eventually desquamate. Rapid epithelial renewal occurs, but the specific cell of origin that supports this high proliferative demand remains unknown. Herein, we have described a long-lived progenitor cell population in the mouse esophageal epithelium that is characterized by expression of keratin 15 (Krt15). Genetic in vivo lineage tracing revealed that the Krt15 promoter marks a long-lived basal cell population able to self-renew, proliferate, and generate differentiated cells, consistent with a progenitor/stem cell population. Transcriptional profiling demonstrated that Krt15+ basal cells are molecularly distinct from Krt15– basal cells. Depletion of Krt15– derived cells resulted in decreased proliferation, thereby leading to atrophy of the esophageal epithelium. Further, Krt15+ cells were radioresistant and contributed to esophageal epithelial regeneration following radiation-induced injury. These results establish the presence of a long-lived and indispensable Krt15+ progenitor cell population that provides additional perspective on esophageal epithelial biology and the widely prevalent diseases that afflict this epithelium.

Introduction

Adult tissues undergo cellular renewal at variable rates. In some tissues, such as blood, skin, and intestine, stem cells are the source of new cells. In other tissues, such as the kidney, stem cells do not appear to play an appreciable role during homeostasis. In some contexts, a small population of differentiated cells called facultative stem cells can acquire a “stem cell–like” identity to regenerate and repair tissue following injury (1).

Stem cells are defined as self-renewing, multipotent cells that can give rise to all differentiated lineages within a tissue. Thus, they are the principal cell type within the cellular hierarchy for normal homeostasis and tissue regeneration following injury, such as infection, inflammation, chemotheraphy, and radiation. Interestingly, in tissues with high cell turnover, as illustrated in the hair follicle, the hematopoietic system, and the small intestine, 2 major classes of molecularly and functionally distinct stem cells are present. The first class is a fast-cycling population that rapidly produces progeny to support the general maintenance of tissue function. The second class is a slower-cycling “reserve” population that replenishes the faster-cycling stem cell pool during homeostasis and following injury (2–4). Stem cells are generally supported by a unique environmental niche that regulates their activity and behavior. For example, Paneth cells and the surrounding mesenchyme are important constituents of the small intestinal stem cell niche (5, 6); the dermal papilla and dermal fibroblasts are critical in the hair follicle niche (7); and the perisinusoidal bone marrow niche is important for hematopoietic stem cells (8).

The esophageal lumen is lined by a stratified squamous epithelium characterized by proliferative cells restricted to the basal layer. Basal cells migrate toward the luminal surface while undergoing early differentiation (suprabasal cells) and terminal differentiation (superficial squamous cells). These cells eventually desquamate into the lumen. The esophageal epithelium undergoes relatively rapid renewal. Each of the epithelial cellular compartments is distinguished by different morphological features (round versus elongated cells, variable nuclear/cytoplasmic ratio, and keratin content) and divergent expression of key proteins. Esophageal basal cells are annotated by SOX2 and p63 expression, as well as expression of keratins 5 and 14, the latter forming intermediate filaments. Suprabasal cells are characterized by the expression of keratins 4 and 13, as well as involucrin. Superficial squamous cells harbor keratohyaline granules with profilaggrin and filaggrin. In aggregate, the proliferative basal cells, and early-differentiating suprabasal cells, and terminally differentiated superficial squamous cells represent distinct states of lineage commitment.

It is likely that long-lived cells with properties consistent with stem/progenitor cells reside in the basal compartment of the
esophageal epithelium. Although their existence has been suggested through label-retaining studies and 3D organoid culture assays, their true identity remains to be fully described (9–14). We reported previously that a side population of mouse esophageal basal cells is capable of DNA label retention and also excludes Hoechst dye, a feature associated with the presence of ATP-binding cassette membrane transporters that has been linked to stem cell activity in several tissues (e.g., hematopoietic stem cells) (15). Furthermore, these cells give rise to undifferentiated and differentiated cells in 3D organotypic culture (12). Potential cellular heterogeneity in mouse esophageal basal cells was also reported by another group using an additional 3D culture system (11). These basal cells harbor different cell cycle and proliferation kinetics, leading to the suggestion that a nonquiescent putative stem cell population (ITGA6hiITGB4hiCD73hi) resides in the basal layer. These 2 studies support the possibility of a stem cell population(s) in the mouse esophagus. Conversely, 1 study found no evidence of slow-cycling epithelial stem cells in the mouse esophagus, and suggested that each cell within the basal cell layer is equipotent in generating both proliferating and differentiating cells with equal probability (10). This equipotent model has also been reported for the stratified epithelium of the skin (16).

Genetic lineage tracing remains the gold standard approach for demonstrating self-renewal and multipotency and thus validating the identity of tissue-specific stem cells (17). In the esophagus, these approaches are required to establish the existence and identity of stem cells and to characterize their self-renewal and multipotency properties. This is critical for our understanding of the cellular basis of widely prevalent esophageal diseases, such as acid reflux–induced esophagitis, eosinophilic esophagitis, and esophageal carcinoma (18, 19).

Morphological similarities between the epidermis and the esophageal epithelium suggest that comparable stem cell populations could be present in both tissues. Lineage tracing experiments in the mouse skin have demonstrated that the Krt15 (keratin 15) promoter marks cells in the bulge of the hair follicle that can generate all epithelial lineages (20). Interestingly, Krt15+ cells in the hair follicle contribute to wound repair and squamous papilloma development in mice (21, 22). Basal cell carcinomas also arise from the hair follicle and more specifically from the Krt15+ cells (23). Furthermore, Krt15 also marks the ureter epithelium, and its expression is increased in a subset of urothelium cell carcinomas (24). Given its critical role in epidermal renewal, repair, and cancer development, investigation of Krt15+ cells may provide critical insights into a putative esophageal stem cell population. Notably, the keratin 15 protein (K15) is a type I acidic cytokeratin expressed in the esophageal basal cell layer that pairs with keratin 5 in the postnatal period of young mice.

In the current study, we demonstrate that the activity of the Krt15 promoter (using Krt15-CrePR1 R26CreERT2 and Krt15-CrePR1 R26Confetti mice) (20, 25, 26) identifies a long-lived subpopulation of basal cells in the mouse esophagus capable of generating all states of squamous lineage commitments. Self-renewal of Krt15+ cells is corroborated through a 3D organoid culture system and long-term in vivo lineage tracing. RNA sequencing (RNA-Seq) reveals a distinct transcriptional profile of Krt15+ basal cells, and Gene Set Enrichment Analysis (GSEA) demonstrates enrichment for gene sets associated with stem cell proliferation and cell fate in the Krt15+ basal cell population. Genetic ablation of Krt15+ cells using an inducible diphtheria toxin receptor allele (R26DTR) results in reduced proliferation and epithelial atrophy. Furthermore, Krt15+ cells are radioresistant and contribute to tissue regeneration. Collectively, our studies establish the identity of a bona fide esophageal epithelial long-lived progenitor cell population that will underpin future approaches for the investigation and treatment of benign and malignant esophageal diseases.

Results

Krt15 marks a long-lived basal cell subpopulation in the mouse esophagus. Krt15 mRNA and K15 protein are expressed in the adult mouse esophagus and in other stratified epithelia of the upper digestive tract, such as the forestomach and tongue (Supplemental Figure 1, A and B; supplemental material available online with this article; https://doi.org/10.1172/JCI88941DS1). Immunohistochemical staining reveals that endogenous K15 expression is restricted to the basal compartment of these tissues. Indeed, K15 is detected in basal cells labeled with the transcription factor p63 and in proliferative cells labeled with Ki-67, but is not detected in suprabasal cells labeled with keratin 13 (K13) (Figure 1A). K15 is expressed also in the embryonic esophagus (Supplemental Figure 1C) and the newborn mouse esophagus, forestomach, and tongue (Supplemental Figure 1D). Despite the morphological and functional differences between the mouse and the human esophagus, we detect K15 in a subset of the basal cells of normal esophageal biopsies. 3D organoids derived from normal human esophagus also express K15 (Supplemental Figure 1E).

The Krt15 promoter marks long-lived cells in the hair follicle (20, 27) as well as in sweat glands (28), suggesting that Krt15+ cells may have stem cell potential in other epithelia. We thus used a genetic lineage tracing approach to study the esophageal epithelial cells in which the Krt15 promoter is active. The progesterone receptor–fused (PR1-fused) Cre allele Krt15-CrePR1 (20) was bred into mice containing a ROSA26Tomato/mGFP (R26tm1Wtsi) (29) allele, in which Cre recombination, induced by the PR agonist RU486, induces a switch from tdTomato to GFP expression. Krt15-CrePR1 R26tm1Wtsi mice were given a single dose of RU486, and the esophagi, forestomachs, and tongues were harvested 1 day later (D1) (Figure 1B). GFP+ (Krt15+) cells were counted and localized (basal, parabasal [i.e., contiguous to a particular basal cell], suprabasal, or superficial cells) (Supplemental Figure 2A). Labeling occurred almost exclusively in basal and parabasal cells (Figure 1, B and C). The percentage of GFP+ cells in the basal layer (recombination rate) of the esophageal epithelium was 0.4%, suggesting that the Krt15 promoter marks a subpopulation of cells in the basal layer (Supplemental Figure 2B). Next, 5 consecutive daily RU486 injections were administered to perform lineage tracing experiments (Figure 1D). Under these conditions, the percentage of GFP+ cells in the basal layer increased to 13.6% at D1 (1 day after the last RU486 injection) because of a higher recombination rate and also division of the originally recombined cells (Supplemental Figure 2B). In the lineage tracing experiment, GFP-labeled cells were detected at multiple time points (from D1 to D56 following recombination) in the esophagus (Figure 1E) as well as in the forestomach (Supplemental Figure 2C) and the...
nation demonstrates that the Krt15 promoter marks long-lived cells in the esophagus (Figure 1F). Costaining of GFP+ cells with a K15-specific antibody in Krt15-CrePR1 R26mT/mG mice sacrificed at D1 confirmed the specificity of the Krt15 promoter activity (Supplemental Figure 3A). These lineage tracing experiments demonstrate that the Krt15 promoter marks long-lived basal cells in the mouse esophageal epithelium.

Figure 1. Krt15 marks long-lived basal cells in the mouse esophageal epithelium. (A) Top panels: Localization of K15 in the mouse esophagus, forestomach, and tongue. Lower panels: Colocalization of K15 with p63, K13, or Ki-67 used as basal, suprabasal, and proliferative cell markers, respectively. (B and C) Krt15-CrePR1 R26mT/mG mice were injected with 0.5 mg RU486 and sacrificed 24 hours later. (B) Localization of GFP-labeled (Krt15+) cells in mouse esophageal, forestomach, and tongue epithelia. Asterisks indicate recombined cells. (C) Graph represents the percentage of total GFP cells localized in each compartment (mean of 4 mice; cross sections of 4 different regions of the esophagus were analyzed for each mouse). (D–F) Krt15-CrePR1 R26mT/mG mice were injected daily with 0.5 mg RU486 for 5 consecutive days and sacrificed at listed time points. (D) Schematic illustration of RU486 treatments and sacrifice times for the genetic lineage tracing experiments. (E) GFP (Krt15+ cells) immunofluorescence in esophageal sections of Krt15-CrePR1 R26mT/mG mice. (F) Whole-mount esophagus imaging of Krt15-CrePR1 R26mT/mG mouse sacrificed 6 months after Cre recombination. “L” indicates the lumen; dotted line marks the basement membrane. Scale bars: 50 μm.

Expansion of the GFP-labeled cells in the shorter induction was followed by maintenance of the GFP-labeled population in the later induction (Figure 1E and Supplemental Figure 2, C and D). Whole-mount esophagus imaging of Krt15-CrePR1 R26mT/mG mice 180 days following recombination (Figure 1F). Costaining of GFP+ cells with a K15-specific antibody in Krt15-CrePR1 R26mT/mG mice sacrificed at D1 confirmed the specificity of the Krt15 promoter activity (Supplemental Figure 3A). These lineage tracing experiments demonstrate that the Krt15 promoter marks long-lived cells in the esophagus (Figure 1F).
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Krt15-derived cells organize as clonal units. Classic studies have demonstrated that mitosis occurs in the basal layer, whereas early differentiation commences in the suprabasal layer, and terminal differentiation in the superficial squamous layer concomitant with the persistence of Krt15-labeled cells in the esophageal epithelium well beyond the homeostatic renewal time of the mouse esophagus of 7–10 days and support the premise of the existence of a long-lived subpopulation of basal cells.

Figure 2. Basal Krt15+ cells undergo division initially and migrate toward the lumen. (A) Krt15-CrePR1 R26<sup>loxP</sup> mice were injected daily with 0.5 mg RU486 for 5 consecutive days and sacrificed at listed time points. Esophageal sections were stained for GFP (Krt15+ cells) and E-cadherin (ECAD). Clonal units are marked by an asterisk. Bottom panels represent magnification of regions of interest identified by a yellow rectangle in the top panels. (B–E) Krt15-CrePR1 R26<sup>loxP</sup> mice were injected daily with 0.5 mg RU486 for 5 consecutive days and sacrificed 56 days after the last day of recombination. One-hundred-micrometer sections were stained with GFP (Krt15+ cells) and imaged by confocal microscopy. (B) Single-plane images 3.3 μm apart from a Z-stack. Arrows mark a GFP+ cell emerging from the basal layer. (C and D) 3D reconstruction of a clonal unit marking basal cells in blue, suprabasal cells in red, and a parabasal cell in yellow. A single basal cell not part of the clonal unit is labeled in white. Gray, DAPI. (E) Graph represents the percentage of total GFP+ cells localized in each layer (mean of 4 mice; cross sections of 4 different regions of the esophagus were analyzed for each mouse; minimum of 400 GFP+ cells were counted in each mouse; statistical significance was determined using χ<sup>2</sup> test: χ<sup>2</sup>(15) = 969.17, P < 0.00001). (F–H) Krt15-CrePR1 R26<sup>loxP</sup> mice were injected every 12 hours with 1 mg of RU486 for 10 consecutive days and sacrificed 2 months later. (G) Whole-mount esophageal image of Krt15-CrePR1 R26<sup>loxP</sup> mice sacrificed 2 months after recombination. (H) Representative example of a monochromatic clonal unit in a transverse esophageal section. Dotted line marks the basement membrane. Scale bars: 50 μm.
cells in red tones. The reconstruction suggests that the original Krt15+ cells divide to give rise to multiple basal cells (blue tones) and cells emerging in the suprabasal layer (yellow cell in Figure 2, C and D, and Supplemental Video 2). These differentiated cells then migrate toward the luminal surface while remaining clustered. Interestingly, we observed occasionally a single GFP+ cell in the basal layer with no neighboring parabasal or suprabasal GFP+ cells (white cell in Figure 2, C and D, and Supplemental Video 2), suggesting that not all Krt15+ cells are always actively dividing.

Epithelial homeostasis is maintained presumably by a balanced with migration of cells from the basal layer to the luminal surface (13, 29). In our study, clonal units derived from Krt15-labeled cells were observed 7 days after recombination (Figure 2A). These units mostly form a cohesive cell cluster suggesting expansion from an original Krt15+ cell with little lateral cell migration under homeostatic conditions. Imaging of thick esophageal tissue sections using confocal microscopy reinforces the clustered nature of Krt15-derived clonal units (Figure 2B and Supplemental Video 1). 3D reconstruction was used to illustrate the clustering of Krt15+ clonal units. Basal cells were labeled in blue tones and suprabasal cells in red tones. The reconstruction suggests that the original Krt15+ cells divide to give rise to multiple basal cells (blue tones) and cells emerging in the suprabasal layer (yellow cell in Figure 2, C and D, and Supplemental Video 2). These differentiated cells then migrate toward the luminal surface while remaining clustered. Interestingly, we observed occasionally a single GFP+ cell in the basal layer with no neighboring parabasal or suprabasal GFP+ cells (white cell in Figure 2, C and D, and Supplemental Video 2), suggesting that not all Krt15+ cells are always actively dividing. Epithelial homeostasis is maintained presumably by a balanced
number of proliferation, differentiation, migration, and apoptotic events. Between D1–D3 and D3–D5 following recombination, we observed a significant decrease in the basal cell/parabasal cell ratio concomitant with an increase in the number of suprabasal cells. This is in line with the time necessary for basal cell division and migration to the suprabasal layer (Figure 2E). Beyond 5 days after recombination, the proportion of GFP+ cells in the different layers remained constant through time, suggesting that the equilibrium of Krt15+ clones was preserved (Figure 2E). Furthermore, clone numbers were quantified at different time points following the last RU486 injection to assess Krt15+-derived clone maintenance, and we determined that approximately 15% of the original Krt15+ clones were maintained up to 6 months following RU486 treatment (Supplemental Figure 3B).

The R26<sup>mT/mG</sup> reporter does not allow discrimination between clones that originated from 1 versus multiple Krt15+ cells. Therefore, to assess the capacity of a single Krt15+ cell to give rise to a clonal unit, we used the 4-color Confetti reporter mouse (R26<sup>Conf</sup>) (Figure 2F) (26). Krt15-CrePR1 R26<sup>Conf</sup> mice were treated with RU486 and sacrificed 2 months later. All clones visualized using a dissection scope appeared to be monochromatic (Figure 2G). Imaging of transverse esophagus sections confirmed the monochromaticity of Krt15+-derived clonal units (Figure 2H). When 2 clones were found close together, cell clusters were not intermixed, supporting the possibility of monoclonal expansion of Krt15+ clones (Supplemental Figure 3C). These results suggest that clonal units derived from Krt15+ cells originate from a single recombination event. However, because of the low Cre recombina-
nation rate in this model, we cannot exclude the possibility of 2 or more Krt15+ basal cells expanding as a cohesive clonal unit in a model with a higher recombination rate such as R26mT/mG.

Krt15+ basal cells give rise to all differentiated lineages in the esophageal epithelium. A critical property of a somatic stem/progenitor cell is the capacity to give rise to all the lineages within the tissue in which it resides. Therefore, we costained esophageal tissues from Krt15-CrePR1 R26mT/mG mice harvested 1, 10, and 56 days after Cre recombination with markers of basal and suprabasal cells. We observed that Krt15+ basal cells expand to give rise to p63+ basal cells and K13+ suprabasal cells (Figure 3A). Proliferative basal cells (Ki-67+) can also originate from Krt15+ basal cells (Figure 3B). To determine the proliferation rate of Krt15+ cells over time, we induced Cre recombination in Krt15-CrePR1 R26mT/mG mice with a single dose of RU486 and sacrificed the mice 1, 2, 3, or 5 days after recombination (Figure 3C). Twenty-four hours after recombination, we observed that the probability of observing Ki-67 positivity in Krt15-derived (GFP+) cells was 2.8 times greater at D2 (P = 0.0075), 10.34 at D3 (P < 0.0001), and 14.7 at D5 versus D1 (P = 0.0004) (Figure 3D). The Wald χ² test also demonstrated statistical differences between the percentages of Ki-67+/GFP+ basal cells at each time point [χ²(3) = 31.81, P = 5.73 × 10⁻⁷]. We also analyzed the probability of observing Ki-67+ cells contiguous and adjacent to Krt15-derived cells (position 0) (Figure 3, E and F). We observed that the percentage of Ki-67+ cells in positions 1–10 was 6.4 times higher than the percentage in position 0 [χ²(10) = 20.44, P = 0.025], but the percentages of Ki-67+ cells were not different between positions 1 and 10 (Figure 3F). We also determined the percentage of Krt15+ basal cells in S phase of the cell cycle at different time points using 5-Ethynyl-2′-deoxyuridine (EdU) incorporation assay (Figure 3G). The percentage of EdU+ cells in the Krt15-derived cell population was higher 48 hours following Cre induction versus 24 hours, confirming the result presented in Figure 3D. Furthermore, the percentage of EdU+ cells among Krt15+ basal cells was lower than that among Krt15– basal cells at 48 hours following Cre induction (Figure 3G). These results suggest that Krt15+ basal cells are less proliferative than their neighboring cells, supporting their distinctive nature.

Krt15+ basal cells are transcriptionally distinct from Krt15– basal cells. Heterogeneity within the basal cell layer has been suggested (11) with ITGA6hiITGB4hiCD73+ cells having a higher 3D organoid...
ulated genes in Krt15+ basal cells versus Krt15− basal cells (Figure 4A and Supplemental Table 1). GSEA was performed and revealed enrichment for gene sets correlating with negative regulation of epithelial differentiation, regulation of stem cell proliferation, and cell fate specification in Krt15+ basal cells (Figure 4B). A distinct Wnt signaling signature between Krt15+ and Krt15− basal cells was suggested from the RNA-Seq data. GSEA suggested enrichment for the β-catenin binding gene set in Krt15+ basal cells (Figure 4B). Interestingly, Ascl2 was the third most upregulated gene in Krt15+ basal cells (375-fold, \( P = 3.13 \times 10^{-5} \)), a known Wnt signaling gene target associated with stemness in intestinal epithelium (30). We also noted an increase of other Wnt signaling-associated

Figure 6. Depletion of Krt15+ cells leads to reduced proliferation and epithelial atrophy. (A–F) Krt15-CrePR1 R26DTR and control (R26DTR) mice were injected daily with 0.5 mg RU486 for 5 consecutive days prior to diphtheria toxin (DT) injection to deplete Krt15-derived cells. Mice were sacrificed 12 days after DT administration or sooner in case of extensive weight loss. (B) H&E of the esophagi of Krt15-CrePR1 R26DTR mice and age-matched R26DTR control mice. Red lines delineate epithelial thickness. (C) Epithelial thickness was measured at 4 representative spots of 4 different cross-sectional regions of each esophagus (n = 5 mice per group). Graph represents mean ± SEM; *\( P \leq 0.05 \) using 2-tailed Student’s t test. (D–F) Krt15-CrePR1 R26DTR and control R26DTR mice were injected with BrdU 1.5 hours before sacrifice, and esophageal sections were stained for Ki-67 and BrdU. The percentage of Ki-67+ (E) and BrdU− (F) basal cells was determined. Graphs represent mean ± SEM (n = 5 mice per group, and a minimum of 500 basal cells was counted; *\( P \leq 0.05 \) using 2-tailed Student’s t test). Scale bars: 50 μm.
Interestingly, we observed a significant decrease in the oncogene Bmi1 and an increase in Lrig1 and Smoc2 expression in Krt15+ basal cells versus Krt15– basal cells. All together, these results suggest distinct transcriptional profiles of Krt15+ and Krt15– basal cells, supporting the premise of heterogeneity within the esophageal basal layer.

Krt15+ cells give rise to fully differentiated 3D organoids. To elaborate on the distinctive properties of Krt15+ basal cells, we used 3D organoid culture to assess clonogenicity. 3D organoids formed with cells isolated from Krt15-CrePR1 R26mT/mG mice following in vivo Cre recombination were either entirely GFP+ or entirely GFP–, suggesting that 3D organoids arise clonally in this culture model (Figure 5C, left panel). In vitro Cre recombination immediately after seeding also resulted in formation of organoids that were entirely GFP+ or GFP– (Figure 5C, middle panel). Finally, Cre recombination following organoid establishment demonstrated that Krt15+ cells exist in 3D organoids and give rise to clonal units (Figure 5C, right panel). To compare the clonogenic potential of Krt15+ and Krt15– basal cells, we plated CD29hiGFP– (Tomato+) and CD29hiGFP+ cells sorted from genes such as Amer1, Fzd8, and Apccdl1 (Figure 4A and Supplemental Table 1). By contrast, Krt15– basal cells were enriched for extracellular matrix structural constituent and ribosome gene sets (Supplemental Figure 4C). Indeed, downregulated genes in Krt15+ basal cells encode for proteins associated with extracellular matrix such as collagens, peristin, and proteoglycans (Figure 4A and Supplemental Table 2).

Using a more targeted approach, we also determined the expression of several membrane proteins that have been associated previously with esophageal epithelial stemness potential (9, 11, 12, 31). Krt15+ cells exhibit reduced expression of Cd34, a previously identified marker of a label-retaining subpopulation of basal cells (Figure 5A), whereby suggesting that Krt15+ and CD34+ populations are distinct (12). Interestingly, RNA-Seq identified Cd34 as a downregulated gene in Krt15+ basal cells (Figure 4A and Supplemental Table 2). Increased expression of Itga6 and Cdh7 was also observed in Krt15+ basal cells (Figure 5A). Notably, ITGA6+ITGB4+CD73+ cells have the highest 3D organoid formation capacity of the examined cell populations (11). Expression of certain epithelial stem cell genes in other tissues was measured (Figure 5B). Interestingly, we observed a significant decrease in the oncogene Bmi1 and an increase in Lrig1 and Smoc2 expression in Krt15+ basal cells versus Krt15– basal cells. All together, these results suggest distinct transcriptional profiles of Krt15+ and Krt15– basal cells, supporting the premise of heterogeneity within the esophageal basal layer.

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abrupt keratinization suggests that the Krt15− cells can become terminally differentiated without transitioning through intermediate morphological steps such as cell elongation. In contrast to Krt15− cells, Krt15+ cells formed differentiated 3D organoids as observed by K13+ expression in the inner layers (Figure 5E). Furthermore, Ki-67 staining confirmed that proliferation was restricted to the outer cell layer as expected in Krt15+− derived 3D organoids, whereas Ki-67+ cells could be observed throughout the Krt15− derived 3D organoids. These results suggest that unlike Krt15− basal cells, Krt15+ basal cells can recapitulate esophageal epithelial homeostasis in 3D organoids, supporting their clonogenicity and multipotency.

Krt15−CrePR1 R26mT/mG mice (Supplemental Figure 4D) and measured the 3D organoid formation rate of both cell populations. Krt15+ basal cells formed more 3D organoids than Krt15− basal cells, indicating that Krt15+ cells are more clonogenic (Figure 5D). Interestingly, CD29hiGFP− and CD29hiGFP+ cells generated 3D organoids with marked histological differences (Figure 5E). Krt15+− derived organoids are larger and exhibit differentiation with a keratinized center showing a gradual, normal differentiation pattern. Krt15− basal cells formed smaller, more cellular 3D organoids with little keratinization. Although rare, cells containing keratin granules could be observed especially in the center of 3D organoids derived from Krt15− cells (Figure 5E, arrow). This

Figure 8. Krt15 deficiency impairs tissue regeneration following high-dose radiation. (A) Esophagi of C57BL/6J WT mice were locally irradiated with 20 Gy, and mice were sacrificed 11 days after irradiation. H&E staining and immunohistochemistry of K15, K13, p63, and Ki-67 were performed. (B–D) Krt15+/+ and Krt15−/− mice were subjected to esophageal-targeted 20-Gy irradiation and sacrificed 8 and 15 days after radiation. (B) H&E staining and K15 immunohistochemistry of esophagi locally irradiated with 20 Gy from Krt15+/+ and Krt15−/− mice. (C) Epithelial thickness was measured 15 days after esophageal-targeted irradiation. Four representative measurements of 2 different cross-section regions of each esophagus were done (n = 2–3 mice per group). Graph represents mean ± SEM; *P ≤ 0.05 using 2-tailed Student’s t test. (D) Immunohistochemistry of p63, K13, and Ki-67 in the esophagi locally irradiated with 20 Gy. Scale bars: 50 μm.
Depletion of Krt15+ cells results in diminished proliferation and epithelial atrophy. Our results thus far demonstrate that Krt15+ basal cells are sufficient to undergo proliferative expansion and give rise to all states of lineage commitment in the mouse esophageal epithelium and have higher clonogenic potential than Krt15− basal cells. We next investigated the necessity of Krt15+ cells for esophageal function in vivo. We ablated Krt15-derived cells in the adult mouse using an inducible diphtheria toxin receptor (iDTR) system and analyzed the morphology of the esophageal epithelium. Krt15-CrePR1 R26ODTR mice were injected with RU486 for 5 consecutive days followed by diphtheria toxin administration (Figure 6A). Mice were then sacrificed 12 days after Krt15+ cell depletion or before excessive weight loss. Morphological analysis of the esophagi revealed a significant diminution in the stratification (thinning) of the epithelium in Krt15−/CrePR1 R26ODTR mice in comparison with control mice (R26ODTR) (Figure 6, B and C). We quantified the proliferation of basal cells in both cohorts to determine whether epithelial thinning was the result of decreased proliferation. The percentage of Ki-67+ cells in the basal layer was reduced significantly in Krt15−/CrePR1 R26ODTR mice (Figure 6, D and E). BrdU incorporation was also decreased in Krt15−/CrePR1 R26ODTR mice (Figure 6, D and F). Thus, Krt15+ cells are important for maintaining the proliferative output necessary for normal esophageal homeostasis. Krt15+ cells are radioresistant and facilitate tissue regeneration following high-dose irradiation. Epithelial stem cells participate actively in tissue regeneration in response to injury such as irradiation (3). Since Krt15+ cells present characteristics consistent with long-lived progenitor cells in the mouse esophagus, we studied their role in response to radiation-induced injury. First, C57BL/6J WT mice were subjected to 12 Gy whole-body γ-irradiation and sacrificed at different time points (4 hours to 7 days). Signs of DNA damage were observed in the esophageal epithelium 4 hours after irradiation, including increased p-H2AX staining (Supplemental Figure 5A). Also, clusters of Ki-67+ proliferative cells were observed 5 days after irradiation, suggesting that activation of basal cells contributes to regeneration of the injured tissue. Although morphology was only modestly affected in the esophagus, induction of K15 expression was observed in suprabasal cells, suggesting a possible role for K15 protein itself in esophageal epithelial regeneration (Supplemental Figure 5, A and B). Interestingly, Krt15 mRNA expression (but not expression of other epithelial stem cell markers) was increased in organoids following irradiation, confirming the specific induction of Krt15 in response to injury (Supplemental Figure 5C). In the small intestine, the active Wnt⁶ expression Lgr5+ stem cell population is sensitive to high-dose irradiation, whereas a Wnt⁶Lgr5− reserve stem cell population is radioresistant and can give rise to active Lgr5+ stem cells following damage (33, 34). Furthermore, we have reported recently that Krt19+ intestinal stem cells expand after radiation-induced injury through lineage labeling (35). Therefore, we investigated whether Krt15+ cells are resistant to high-dose irradiation by irradiating Krt15−/CrePR1 R26ODTR mice (Figure 7A). Cre recombination was induced prior to irradiation, and we noted that GFP+ (Krt15+) cells persisted for 5 days following irradiation, suggesting that Krt15+ cells are radioresistant in the esophageal epithelium (Figure 7B). We also observed that the percentage of p-H2AX+ cells was higher in the Krt15+ basal cells versus the Krt15− basal cells, suggesting a unique radioresistance in Krt15+ basal cells (Figure 7, C and D). Furthermore, when Cre recombination was induced following irradiation in Krt15−/CrePR1 R26ODTR mice, Krt15+ cells were able to undergo lineage tracing and expansion, giving rise to clonal units (Figure 7, E and F). These results suggest that Krt15+ basal cells are less susceptible to high-dose radiation and could therefore be active in the regeneration process. Interestingly, GSEA indicates an enrichment for DNA integrity checkpoint gene set in Krt15− versus Krt15+ basal cells (Figure 7G). Furthermore, the most upregulated gene in Krt15+ basal cells was Msh2 (600-fold versus Krt15− basal cells), a key regulator of DNA mismatch repair (MMR) that has been associated with microsatellite instability in several solid tumors. Stem or progenitor cells have been reported to display higher MMR activity to assure DNA integrity throughout their rapid proliferation (36, 37). We also observed increase of several DNA damage response-associated genes, such as Rads5b, Parg, Atmin, Aplf, Mdm4, and Gad45a (Figure 4A and Supplemental Table 1). These results suggest a possible role for these genes in Krt15+ cells in response to injury-induced DNA damage. Since whole-body irradiation induces damage to the bone marrow and intestinal epithelium, requiring early sacrifice, we used esophageal-targeted high-dose irradiation to further study esophageal epithelial regeneration. First, C57BL/6J WT mice were imaged with a CT scan to visualize the trachea as an anatomic landmark to target irradiation to the esophagus (Supplemental Figure 6A). Pilot experiments demonstrated that 20 Gy esophagus-targeted irradiation was sufficient to induce morphological changes and weight loss (Supplemental Figure 6, B and C). In the recovery period (D11), we noted epithelial thickening and epithelial downgrowth (indentation), suggesting that the esophageal epithelium was actively recovering from the radiation insult. Basal cell hyperplasia was also observed, as illustrated by the presence of more than one p63+ basal cell layer. Furthermore, proliferative cells were not restricted to the basal layer (Figure 8A). To investigate in a complementary fashion a possible functional role of the K15 protein in response to irradiation, we irradiated the esophagi of Krt15+/+ and Krt15−/− mice and sacrificed the animals 8 days and 15 days after irradiation. D8 was chosen as a representative time point for maximal tissue injury and D15 as the recovery time point when tissue regeneration is complete. At D8, epithelial damage was similar between Krt15+/− and Krt15−/− mice. Acute ulcerative esophagitis was observed with some residual epithelial cells still present (Figure 8B). At D15, the esophagi of Krt15+/− mice had a normal morphology. Interestingly, basal cell hyperplasia and epithelial downgrowth, which are distinctive features of injured tissue, were still present in the esophagi of Krt15−/− mice, suggesting that K15 loss impaired complete tissue regeneration (Figure 8B). The esophageal epithelia of Krt15−/− mice were 50% thicker than their counterparts from Krt15+/− mice at D15 (Figure 8C). Krt15−/− mice revealed more p63+ basal cell layers, underscoring the basal cell hyperplasia phenotype. Proliferative cells could also be observed in cell layers closer to the lumen in Krt15−/− mice, suggesting that the esophagus was still recovering from the high-dose radiation. Differentiation was also altered in
Krt15−/− mice compared with Krt15+/− mice as illustrated by changes in K13 expression (Figure 8D). Finally, 3D organoids grown from irradiated Krt15−/− and Krt15+/− mice sacrificed at D15 recapitulated the changes observed in these mice (Supplemental Figure 6D). Esophageal epithelial cells isolated from a Krt15−/− mouse formed normal 3D organoids, i.e., almost perfect spheres with a smooth perimeter. Conversely, organoids formed from Krt15−/− esophageal cells were hypertrophic and irregular in shape. Foci of cellular crowding could be observed mostly in the basaloid layer, consistent with basal cell hyperplasia. Also, Krt15−/−-derived 3D organoids had abundant central keratin mass characterized by nuclear retention in the keratinized cells (parakeratinization), a sign of rapid turnover from basal to surface cells. Altogether, these results suggest that Krt15−/− cells are radioresistant to high-dose radiation and contribute to tissue regeneration. Furthermore, and as a separate consideration, Krt15 deficiency impairs tissue regeneration in response to radiation-induced injury.

**Discussion**

The rapid renewal of the esophageal epithelium is maintained by highly proliferative basal cells; the involvement of a subpopulation of basal cells with properties consistent with stem cells or long-lived progenitor cells remains to be fully demonstrated. Here, we show that the Krt15 promoter marks a long-lived (>6 months) basal cell subpopulation that gives rise to all differentiated lineages in the esophageal epithelium, representing, to our knowledge, the first genetic in vivo lineage tracing evidence of such a progenitor cell population. We demonstrate that Krt15−/− basal cells display increased 3D organoid formation capacity and Krt15−/−-derived 3D organoids are more differentiated, suggesting greater self-renewal and multipotency as independent corroboration of the in vivo findings.

Stem and progenitor cells participate in the regenerative response to injury in different tissues. An esophageal stem/progenitor cell may play a critical role in the pathological or regenerative response of the following insults: acid and bile resulting in reflex esophagitis; eosinophilic infiltration yielding eosinophilic esophagitis; exposure to bacterial and viral pathogens resulting in infectious esophagitis; and exposure to radiation resulting in radiation esophagitis. We used radiation targeted to the esophagus (as opposed to whole-body irradiation), as reflective of radiation therapy in humans, to determine whether Krt15-labeled cells might participate in or contribute to the regenerative response after radiation. We demonstrated that Krt15−/− cells are radioresistant. Furthermore, we demonstrate that esophagi from Krt15−/− mice regenerate more slowly than those from Krt15+/− mice following irradiation.

Under homeostatic conditions, ablation of Krt15−/− cells using a DTR system results in decreased basal cell proliferation leading to epithelial atrophy. Loss of Krt15−/−-derived cells could be compensated potentially by other populations or even replenished by the generation of new Krt15+ cells, thereby explaining possibly the modest phenotype observed in Krt15−/−CrePR1 R26GFP mice. Interactions between different populations, and even stem cell populations, have been reported in other tissues. For example, Lgr5+ active stem cells are replenished by Bmi1-CreER+ “reserve” stem cells in the small intestine following diphtheria toxin ablation of Lgr5+ cells (38).

Heterogeneity in esophageal basal cells remains a controversial issue (10–12). Herein, we observed that Krt15+ basal cells are not only functionally but also transcriptionally distinct from Krt15−/− basal cells. Enrichment for gene sets such as negative regulation of epithelial cell differentiation, stem cell proliferation, and cell fate specification as well as β-catenin binding in Krt15+ basal cells support their potential roles in progenitor cell populations. The identification of a Krt15+ long-lived progenitor cell population in the basal layer does not exclude the possibility of another stem/progenitor cell population. A hierarchical scheme was proposed with ITGA6hiITGB4hiCD73+ stem cells, ITGA6hiITGB4hiCD73+ early transit-amplifying cells, and ITGA6hiITGB4hiCD73+ late transit-amplifying cells using a 3D organoid model (11). Interestingly, we observed that Krt15+ basal cells express significantly higher Iga6 and Cd73 levels than Krt15−/− basal cells, suggesting that Krt15+ basal cells identified herein could overlap with the previously described ITGA6hiITGB4−hiCD73− cells. We previously identified a DNA label–retaining subpopulation of esophageal cells that displayed high CD34 expression (12). CD34+ cells express lower Krt15 levels than CD34− cells (data not shown), and Krt15−/− cells express lower levels of Cd34. We could then speculate that, like in other epithelia such as the skin and small intestine, more than 1 progenitor/stem cell population could be present in the mouse esophageal epithelium, although this requires further investigation.

Our data provide evidence for a long-lived subpopulation of esophageal epithelial basal cells that serve as progenitor cells. How does this reconcile with the premise that stem cells do not exist in the esophageal epithelium? Using a non–tissue-specific genetic driver, Doupe et al. concluded that the marked cells followed a stochastic/neutral competition model (10). The authors claimed the absence of slow-cycling or quiescent stem cells since they could not detect epithelial label–retaining cells. However, a recent publication suggests that, at least in the small intestine, label retention is not always a characteristic of quiescent or slow-cycling stem cells (39). Herein, we describe a long-lived population that could have been missed potentially by the use of a non–tissue-specific genetic approach. At the same time, our findings and those of Doupe et al. may not be necessarily mutually exclusive in that an uncommon long-lived progenitor cell population can give rise to all differentiated lineages as we demonstrate, but this population could coexist with neighboring basal cells that have the capacity to give rise to proliferating and differentiating cells with equal probability.

In summary, we have identified a novel long-lived Krt15+ population with self-renewal and multipotency capacities. These cells are radioresistant and contribute to tissue regeneration following radiation-induced injury. These findings are, to our knowledge, the first genetic evidence of a bona fide long-lived progenitor cell population in the mouse esophageal epithelium and have significant implications in our understanding of the biology of widely prevalent esophageal diseases, such as acid/bile-induced esophagitis, eosinophilic esophagitis, and esophageal cancer (squamous cell carcinoma and adenocarcinoma).

**Methods**

Mouse models. Krt15-CrePR1 (20) and Krt15−/− mice from VelociGene (KOMP Repository, Knockout mouse project; http://www.velocigene.com/komp/detail/10386) were provided by George Cotsarelis (University of Pennsylvania). C57BL/6 wild-type mice (WT), Rosa26R26<sup>osm<sup>Osil-DTR</sup> (R26<sup>DTR</sup>) reporter mice (25), and inducible DTR-Rosa26<sup>SIL-DTR</sup> (R26<sup>DTR</sup>)...
mice (32) were obtained from Jackson Laboratory. Rosa26<sup>confetti</sup> (R26<sup>mT/mG</sup>) (26) mice were provided by Ben Stanger (University of Pennsylvania). Krt15-CrePR1 mice were bred with R26<sup>mT/mG</sup>, R26<sup>ires</sup>, or R26<sup>ires</sup> mice to obtain Krt15-CrePR1 R26<sup>ires</sup>, Krt15-CrePR1 R26<sup>mT</sup>, and Krt15-CrePR1 R26<sup>ires</sup> mice, respectively. All experiments were conducted with 6- to 10-week-old mice. Cre recombination was induced by i.p. injection of RU486 (Sigma-Aldrich). DTR activation was induced by i.p. injection of 1 μg diphtheria toxin (Sigma-Aldrich). The Institutional Animal Care and Use Committee of the University of Pennsylvania approved all animal studies. Detailed information regarding mouse experimental design and treatment is in Supplemental Methods.

**Mouse irradiation experiments.** Krt15-CrePR1 R26<sup>ires</sup>, WT (Krt15<sup>+/+</sup>), and Krt15<sup>–/–</sup> mice were irradiated with a 12-Gy whole-body dose of radiation using a GammaCell 40 C<sup>2014</sup> Irradiation Unit. In Krt15-CrePR1 R26<sup>ires</sup>, Cre recombination was induced by i.p. RU486 injection prior to or following irradiation. WT and Krt15<sup>–/–</sup> mice were also irradiated with a 20-Gy esophageal-localized dose of irradiation. Briefly, CT scanning was performed on each animal to localize the trachea, which was used as an anatomical landmark to localize the esophagus. The esophagus was then irradiated using a 3-by-12-mm collimator in the neck region just above the rib cage with a 90° angle (20 Gy total administered at a speed of 1.65 Gy/min; Xstrahl Inc.). Acquisition of CT scan images and selection of the isocenter were performed using MuriSlice software (Supplemental Figure 6D). Esophageal-localized irradiation was performed using the Small Animal Radiation Research Platform (SARRP, University of Pennsylvania).

**Fluorescence-activated cell sorting.** Esophageal cells isolated from Krt15-CrePR1 R26<sup>ires</sup> mice following Cre recombination were processed into single-cell suspensions (details in Supplemental Methods). Basal cells were labeled using PE/Cy5-CD29 antibody (1:50; 102219, BioLegend). DAPI was used to assess cell viability. The FACS sorter Influx (BD Biosciences) was used to sort the CD29<sup>hi</sup>GFP<sup>–</sup>, CD29<sup>–</sup>GFP<sup>+</sup>, and CD29<sup>–</sup>GFP<sup>–</sup> cells. All experiments were performed at the University of Pennsylvania Flow Cytometry and Cell Sorting Facility. Cells were sorted in Advanced DMEM/F12 supplemented with penicillin-streptomycin, 1× Glutamax, 1× HEPES, 10 μM Y27632, and 0.2 U/ml DNase.

**RNA-Seq.** Krt15-CrePR1 R26<sup>ires</sup> mice were injected with 1 mg of RU86 to induce Cre recombination (n = 3 mice). Twenty-four hours later, esophagi were harvested, and single-cell suspension from esophageal epithelial cells was prepared as described above. CD29<sup>GFP</sup> (Krt15<sup>+</sup>) basal cells and CD29<sup>GFP</sup> (Krt15<sup>–</sup>) basal cells were sorted. cDNA libraries were obtained from the sorted cells using SMART-Seq v4 Ultra Low Input RNA Kit for Sequencing (Clontech Laboratories) following the manufacturer’s recommendations. Sequencing was performed using Illumina NextSeq500 (Molecular Biology Core Facilities, Dana-Farber Cancer Institute). Paired-end reads were aligned to the mouse genome mm9 using STAR 2.5. Alignments were performed using default parameters. Transcript expression quantification was performed using Cufflinks. Differential gene expression analysis was performed using the R package DESeq2. A final list of differentially expressed genes was obtained using an adjusted P value ≤ 0.05 and log fold change ≥ 2. Gene set enrichment analysis (GSEA) was performed on RNA-Seq data using Broad Institute guidelines as previously established (40). Significantly associated gene sets had nominal P values less than 0.05 and false discovery rates less than 0.25 with 1,000 permutations and weighted enrichment scoring. The RNA-Seq data were deposited in Gene Expression Omnibus (GEO GSE93331) and can be visualized at https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?token=gjefmeyfjsdhx&acc=GSE93331.

Details on esophageal epithelial cell isolation, immunohistochemistry and immunofluorescence, 3D organoid culture, human tissue samples, RNA extraction, quantitative PCR, Western blot, vibratome sectioning, and confocal imaging as well as statistical analyses are available in Supplemental Methods.

**Author contributions**

VG and AKR designed experiments with input from TCW, AJB, and HN. VG performed most of the experiments. AAL and AK helped with data acquisition. MMI and JRP helped with RNA-Seq analysis. VG, KEH, KAW, AL, QT, and CJL helped with data analysis. BR maintained the mouse colony. EPW performed the statistical analysis and mathematical modeling. AKJS provided formal histological and immunohistochemical analysis in a blinded fashion. The majority of manuscript writing was done by VG and AKR with contributions by other authors.

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Address correspondence to: Anil K. Rustgi, 951 BRB II/III, Division of Gastroenterology, University of Pennsylvania, Perelman School of Medicine, 421 Curie Boulevard, Philadelphia, Pennsylvania 19104, USA. Phone: 215.898.0154; E-mail: anil2@mail.med.upenn.edu.

7. Hsu YC, Fuchs E. A family business: stem cell


