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Francesca Rapido, … , Steven L. Spitalnik, Eldad A. Hod

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METHODS. Sixty healthy adult volunteers were randomized to a single standard, autologous, leukoreduced, packed red cell transfusion after 1, 2, 3, 4, 5, or 6 weeks of storage (n = 10 per group). 51-Chromium posttransfusion red cell recovery studies were performed and laboratory parameters measured before and at defined times after transfusion.

RESULTS. Extravascular hemolysis after transfusion progressively increased with increasing storage time (P < 0.001 for linear trend in the AUC of serum indirect bilirubin and iron levels). Longer storage duration was associated with decreasing posttransfusion red cell recovery (P = 0.002), decreasing elevations in hematocrit (P = 0.02), and increasing serum ferritin (P < 0.0001). After 6 weeks of refrigerated storage, transfusion was followed by increases in AUC for serum iron (P < 0.01), transferrin saturation (P < 0.001), and nontransferrin-bound iron (P < 0.001) as compared with transfusion […]
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CONCLUSIONS. After 6 weeks of refrigerated storage, transfusion of autologous red cells to healthy human volunteers increased extravascular hemolysis, saturated serum transferrin, and produced circulating nontransferrin-bound iron. These outcomes, associated with increased risks of harm, provide evidence that the maximal allowable red cell storage duration should be reduced to the minimum sustainable by the blood supply, with 35 days as an attainable goal.

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Introduction
Red blood cell transfusion, the most common procedure performed on hospitalized patients (1), is an indispensable component of modern medicine. Establishing an adequate blood supply depends on the ability to store donated red cells safely. The US FDA allows refrigerated storage of red cells for up to 42 days before transfusion. The FDA-approved red cell storage duration is not based on evidence of clinical safety or effectiveness, but was derived from standards set before the advent of clinical outcome studies (2). During refrigeration, red cells undergo multiple physiologic changes, collectively called the red cell “storage lesion” (3). The storage duration that produces a storage lesion sufficiently severe to increase transfusion-related morbidity or mortality is unknown. Furthermore, no identified components of the storage lesion reliably predict the clinical consequences of transfusing an individual red cell unit. After animal and observational human studies suggested that transfusions of older, refrigerator storage–damaged red cells were associated with increased morbidity and mortality (4), several randomized controlled trials compared transfusion of “ fresher” with “standard practice” or “older” red cells (4–8). None of these trials found clinically significant outcome differences when comparing transfusions of red cells stored for shorter (~1 week) or longer (~2 to 5 week) periods. Critically, neither these trials nor others now in progress specifically examine the risks associated with transfusing red cells after 35 to 42 days of storage (4). In the US, approximately
and iron homeostasis. Our primary outcome was the appearance of circulating nontransferrin-bound iron, indicating that the physiologic capacity to process the iron released from the catabolism of cleared, refrigerated storage–damaged red cells was exceeded.

Results

Study participant demographics and recruitment. All outcomes were collected between March 24, 2014, and January 20, 2016. Of the 60 subjects randomized, 52 completed the study and had evaluable primary outcomes (Figure 1); one of these subjects did not complete the posttransfusion recovery study for scheduling reasons. The primary reasons for unsuccessful completion included personal reasons (n = 4), blood donation technical failures (n = 2), and positive infectious disease testing (n = 2). The groups had similar baseline characteristics (Table I).

Circulating nontransferrin-bound iron is produced in transfusion recipients after 6 weeks of red cell storage. Following transfusions of 1 autologous, packed red cell unit, the amount of iron entering the circulation exceeded the iron-uptake capacity of transferrin (the physiologic iron transport protein), producing circulating nontransferrin-bound iron in 10% (1/10) and 78% (7/9) of subjects transfused with red cells stored for 5 and 6 weeks, respectively (P = 0.003) (Figure 2A). The AUC of the change in nontransferrin-bound iron after 6 weeks of storage was increased, as compared with all other groups (P < 0.001) (Figure 2B).

Transfusion of red cells after longer storage duration induces increased extravascular hemolysis, but not intravascular hemolysis. Following transfusion, serum indirect bilirubin levels progressively increased with increasing storage time (P < 0.001 for linear trend in AUC; Figure 3A). The AUC of the change in indirect bilirubin differed significantly when comparing subjects randomized to 6-week–stored red cells relative to 1- and 2-week–stored red cells (P = 0.003 and P = 0.004, respectively). Concurrently, posttransfusion serum iron concentration progressively increased with increasing storage time (P < 0.0001 for linear trend in AUC; Figure 3B). The AUC of the change in serum iron after 6 weeks of red cell storage was increased, as compared with all other groups (P < 0.01 for all comparisons). Furthermore, all subjects transfused with 6-week–stored red cells had increased serum iron above the upper limit of our clinical laboratory’s healthy reference range (i.e., 158 μg/dl). Consistent with increasing serum iron, transferrin saturation increased with increasing storage time (P < 0.0001 for linear trend in AUC; Figure 3C). The AUC of the change in transferrin saturation was significantly different in subjects randomized to 6-week–stored red cells as compared with all other groups (P < 0.001 for all comparisons) and with subjects randomized to 5-week–stored red cells relative to 1 and 2 weeks of storage (P = 0.007 and P = 0.04, respectively).

Lactate dehydrogenase (Figure 4A), haptoglobin (Figure 4B), and plasma-free hemoglobin (Figure 4C) levels did not differ among the groups. Although decreases in haptoglobin were observed in all groups, the decreases were parallel to concurrent...
decreases in total serum protein from immediately after transfusion to 20 hours after transfusion (Figure 4D). The changes in total serum protein also did not differ between the groups.

Transfusion of red cells after longer duration of storage induces increasing hepcidin, but not IL-6, levels. Hepcidin, the master regulator of iron homeostasis, is regulated by iron and inflammation (14). Following transfusion, serum hepcidin levels progressively increased with increasing storage time ($P = 0.005$ for linear trend in AUC; Figure 5A). The AUC of the change in hepcidin differed significantly when comparing subjects randomized to 6-week–stored red cells relative to 1- and 2-week–stored red cells ($P = 0.004$ and $P = 0.049$, respectively). There was not a temporally associated rise in IL-6 levels, and IL-6 levels did not differ between the groups (Figure 5B).

Measures of red cell clearance are increased after transfusion of red cells stored for longer duration. Only 1 of the 52 study subjects had a 20-hour posttransfusion red cell recovery below the mean FDA guideline of 75% (Figure 6A). Furthermore, the percentage of posttransfusion red cell recovery (i.e., the percentage of transfused red cells surviving in circulation for at least 20 hours) decreased with increasing storage time (Pearson coefficient $r = 0.42$, $P = 0.002$). Similarly, the change in hematocrit, from pretransfusion to 20 hours after transfusion, decreased with increasing storage duration (Pearson coefficient $r = 0.32$, $P = 0.02$) (Figure 6B). Finally, the change in ferritin, from pretransfusion to 20 hours after transfusion, increased with increasing storage duration (Pearson coefficient $r = 0.52$, $P < 0.0001$) (Figure 6C).

There were no adverse effects attributable to the transfusions in healthy volunteers. Only 1 adverse event was reported to the investigators and the Data and Safety Monitoring Board (DSMB) at Columbia University Medical Center. This subject, randomized to transfusion with 5-week–stored red cells, developed a tooth abscess 2 days after transfusion, but had a prior history of poor dentition and abscesses; thus, the subject and DSMB deemed it unlikely to be associated with transfusion. Vital signs also remained stable in all subjects throughout the study, with no clinically significant differences in temperature, heart rate, or blood pressure among the groups at any time (Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI90837DS1).

### Discussion

After 6 weeks of refrigerated storage, with strict adherence to current FDA standards, transfusions of healthy adult volunteers with single, autologous, leukoreduced units of packed red cells over-
whelmed their physiologic capacity to process the cleared storage-damaged red cells, releasing large amounts of iron into the circulation. Transfusion effectiveness, in terms of increasing the hematocrit and the number of circulating red cells (i.e., posttransfusion red cell recovery), decreased with increasing storage time. Although indirect bilirubin, iron, ferritin, hepcidin, and transferrin saturation levels progressively increased in recipients in proportion to increasing storage duration, volunteers transfused with autologous red cells stored for 1 to 5 weeks did not produce significant amounts of circulating nontransferrin-bound iron, with the exception of 1 volunteer who received a 5-week–stored unit. In contrast, 78% (i.e., 7/9) of volunteers transfused with 6-week–stored red cells developed substantial amounts of circulating nontransferrin-bound iron that persisted for 10 hours or more. These results provide evidence of physiologic differences in the consequences of transfusing red cells stored for 6 weeks, as compared with those stored for 1 to 5 weeks.

The observed timing and pattern of laboratory results demonstrate extravascular hemolysis (i.e., phagocytosis of red cells by the monocyte/macrophage system in the liver and spleen of the recipient) of a subpopulation of storage-damaged, transfused red cells following storage for greater than 2 weeks. Indirect bilirubin and iron levels progressively increased with increasing storage time, without significant effects on lactate dehydrogenase, haptoglobin, or plasma-free hemoglobin concentrations. According to US FDA guidelines, up to 1% of ex vivo hemolysis is permissible in the storage bag prior to transfusion (2), which could lead to elevated plasma-free hemoglobin levels in some transfusion recipients. However, we found no differences in several markers of intravascular hemolysis (e.g., plasma-free hemoglobin, haptoglobin, and lactate dehydrogenase) in healthy subjects after transfusion of a single unit stored for 1 to 6 weeks. Thus, the circulating iron and indirect bilirubin levels observed after transfusion are almost certainly the catabolic breakdown products of the hemoglobin delivered to the monocyte/macrophage system by extravascular hemolysis.

In healthy adults, approximately 1 mg of iron is released per hour from catabolism of senescent red cells (15). In contrast, current FDA criteria include an average 51-chromium posttransfusion red cell recovery of 75% or more in healthy volunteers at outdate (16), allowing up to 25% of transfused red cells to be cleared from the circulation in the first 24 hours, which is equivalent to approximately 60 mg of iron (17). Because most storage-damaged red cells are cleared within the first hour after transfusion (18), the rate of macrophage iron export to plasma can exceed the rate of iron acquisition by circulating transferrin, resulting in the appearance of nontransferrin-bound iron. Thus, our results provide compelling evidence that transfusions of 6-week–stored units overwhelm the physiologic capacity of healthy volunteers to process the iron released from the catabolism of cleared, storage-damaged red cells.

Circulating nontransferrin-bound iron is the iron within the circulation that is neither bound to the physiologic iron-transport protein, transferrin, nor a constituent of heme or ferritin (19). In healthy individuals, little, if any, nontransferrin-bound iron is ever present within the circulation. In health, iron enters the plasma principally from the recycling of iron derived from senescent erythrocytes by a dedicated population of macrophages in the spleen, liver, and bone marrow (20). Much smaller amounts enter plasma from iron absorption by duodenal enterocytes or from mobilization of stores within hepatocytes. For all these sources, ferroportin, the cellular iron export protein, is the sole known conduit for iron to enter plasma. Ferroxidase activity is required for iron export through ferroportin, provided by ceruloplasmin in macrophages and by hephaestin in duodenal enterocytes. The release of iron into plasma does not require unsaturated transferrin; unsaturated transferrin does not enter cells, but accepts iron only after the exit of ferrous iron through ferroportin and its subsequent oxidation. Thus, iron is exported from cells via ferroportin whether or not circulating unsaturated transferrin is available. If the rate of iron influx into plasma exceeds the rate of iron acquisition by plasma transferrin, circulating nontransferrin-bound iron develops as a heterogeneous assortment of iron complexes (e.g., complexes of albumin, citrate, and potentially acetate, malate, or phosphate) (21). Thus, if the rate of iron efflux is sufficiently rapid, nontransferrin-bound iron is measurable at a transferrin saturation of approximately 70% and above (22). To control the efflux of iron into the circulation, ferroportin is regulated by hepcidin, which is itself regulated by iron,
Figure 4. Markers of intravascular hemolysis are not increased following transfusion of a single, autologous red cell unit into healthy volunteers. (A–D) Medians (I bars represent interquartile ranges) for the changes in lactate dehydrogenase, haptoglobin, plasma-free hemoglobin, and total protein are shown from pretransfusion to all posttransfusion time points, as labeled. n = 52 total.

inflammation (e.g., IL-6), and erythropoietic drive (14, 23). We did not observe any temporally associated changes in circulating IL-6 levels, suggesting that the increased hepcidin levels observed after transfusion of 6-week–stored red cells was driven predominantly by increases in transferrin saturation. Still, the rate and magnitude of hepcidin production were not sufficient to prevent the appearance of circulating nontransferrin-bound iron from approximately 2 to 14 hours after transfusion of 6-week–stored red cells.

Accumulating evidence indicates that the diverse mixture of iron complexes composed of nontransferrin-bound iron can directly produce harm (17). In the healthy volunteers in our study, with normal hepatic, splenic, and renal function and intact antioxidant defenses, no adverse events were observed during the approximately 10 hours of persistent circulation of nontransferrin-bound iron. Nonetheless, in animal studies, even short duration of circulating nontransferrin-bound iron enhanced the virulence of bloodstream pathogens (24–27). In addition, in a prior healthy human volunteer study, increased nontransferrin-bound iron in the sera obtained after transfusing 6-week–stored red cells enhanced proliferation in vitro of a pathogenic strain of E. coli (28). Similarly, increased serum iron present following oral iron supplementation enhanced proliferation in vitro of isolates of E. coli, Yersinia enterocolitica, Salmonella enterica serovar Typhimurium, and Staphylococcus epidermidis (29).

Furthermore, in a retrospective analysis of patients receiving red cells stored for either 21 days or less or 35 days or more, there was a higher prevalence of infection in those receiving the older units (P = 0.007) (13). In other clinical settings, circulating nontransferrin-bound iron is also associated with increased risks of infectious complications; for example, following myelosuppression for acute leukemia, patients with plasma nontransferrin-bound iron levels greater than 2 μM had a higher risk of Gram-negative sepsis (P = 0.0004) (30). Finally, by participating in Fenton chemistry, nontransferrin-bound iron causes oxidative damage and cytotoxicity and enhances endothelial expression of adhesion molecules, thereby increasing thrombotic risk (19, 21, 31, 32).

One limitation of the current study is that only 1 unit of packed red cells was transfused. Although hospitalized patients frequently receive multiple units of blood, the cumulative effect of transfusing multiple units was not examined. In addition, this study was performed in healthy, adult volunteers rather than in ill patients. However, according to current ethical standards, studies cannot randomize patients to transfusions restricted to the final week of storage (4, 12). Nonetheless, red cell recovery and hemolysis may be even worse in ill patients, as compared with the healthy volunteers (18). Indeed, in critically ill children and neonates, elevated circulating nontransferrin-bound iron levels were observed after transfusion, even before the final week of red cell storage (33, 34). Finally, for logistical reasons, 20-hour, as opposed to 24-hour, red cell recovery studies were performed. The 24-hour red cell recovery would be expected to be lower than the 20-hour recovery given the extra 4 hours of clearance. Nonetheless, the 20-hour red cell recovery is a close approximation to that after 24 hours because most clearance of storage-damaged red cells occurs during the first hour after transfusion (18).

In conclusion, the current results provide unequivocal evidence that the transfusion of red cells stored for 6 weeks exceeds the physiologic capacity of healthy volunteers to process the iron released from the catabolism of cleared red cells. Current FDA criteria allowing blood storage for 6 weeks are not founded on outcomes of clinical trials, but based on standards historically derived from expert opinion. In our study, the nontransferrin-bound iron levels measured in healthy volunteers after routine infusions of single units of autologous red cells are almost certainly lower than those that develop in ill patients, particularly after transfusion of multiple units of allogeneic red cells. Indeed, in observational studies of critically ill children and neonates, circulating nontransferrin-bound iron levels were elevated after transfusion (33, 34). Nonetheless, it is virtually impossible to conduct prospective clinical trials to
provide evidence-based guidance regarding the hazards posed by transfusing red blood cells stored for 35 to 42 days. Both the ethics of randomizing patients to transfusions restricted to the final week of storage and the logistics of enrolling the large numbers of patients needed to detect risks in specific subgroups are prohibitive. In addition, studies suggest that the blood supply would not be significantly affected by limiting the maximum allowable storage duration to 35 days (35–38); indeed, the United Kingdom, Ireland, the Netherlands, and the NIH already have demonstrated the feasibility of this approach. Given the inability to perform definitive prospective randomized clinical trials examining red cell transfusions during the final week of storage, our evidence of the potential harm from extravascular hemolysis and circulating nontransferrin-bound iron, together with prudence and the precautionary principle, suggest that the maximal allowable storage period should be reduced to the minimum sustainable by the blood supply, with 35 days as an attainable goal.

Methods
Study design. A single center, parallel-group, randomized trial compared the effects of transfusing 1 autologous packed red cell unit after 1, 2, 3, 4, 5, or 6 weeks of storage. The primary outcome was the AUC for the change in circulating nontransferrin-bound iron from pretransfusion to multiple time points after transfusion. Other iron-related parameters and hemolysis markers were secondary outcomes. Subjects and study coordinators were not blinded because subjects were scheduled for donation and transfusion based on the randomization scheme. Nonetheless, nonresearch staff nurses, without knowledge of study hypotheses, obtained all blood draws and blinded technologists performed all laboratory testing. A simple randomization scheme with 1 block was developed by the study statistician and recorded in consecutively numbered sealed paper slips by a nonstudy staff member. Following informed consent and screening for eligibility criteria, the next sequential paper slip was opened by the study coordinator to determine treatment allocation. Blood donations were at The New York Blood Center and transfusions at Columbia University Medical Center—New York Presbyterian Hospital. The first and last authors vouch for the completeness and accuracy of the data and analyses presented.

Participants and inclusion/exclusion criteria. Participants were principally recruited by flyers posted throughout the Columbia University Medical Center. Respondents were screened in person, and screening blood samples were collected to determine eligibility; criteria included age of 18 to 65 and meeting the standards for allogeneic blood donation (hemoglobin >12.5 g/dl and body weight >110 lbs [50 kg]). Exclusion criteria were ineligibility for donation based on The New York Blood Center autologous blood donor questionnaire, sys-

Figure 5. Serum hepcidin is increased following transfusion of red cells stored for longer duration, but this does not appear to be mediated by circulating IL-6 levels. (A and B) Medians (I bars represent interquartile ranges) for the changes in serum hepcidin and IL-6, as labeled, are shown from pretransfusion to all posttransfusion time points. Statistical significance for ANOVA using post-hoc Tukey’s test of the AUC among the groups is shown. *P < 0.05. n = 52 total.

Figure 6. Transfusion of red cells after longer duration of storage is associated with decreased red cell recovery, decreased change in hematocrit, and increased iron stores. (A) The 51-chromium 20-hour posttransfusion red blood cell recovery is shown. The dotted red line denotes the FDA criterion for acceptability (i.e., at outdate, on average >75% of transfused red blood cells should still be circulating after 24 hours). n = 51 total. (B and C) The changes in hematocrit and serum ferritin, respectively, for each subject from pretransfusion to 20 hours after transfusion are shown. n = 52 total. Results of linear regression are shown with the 95% CI in dashed black lines.
tolic blood pressure greater than 180 or less than 90 mmHg, dia-
stolic blood pressure greater than 100 or less than 50 mm Hg, heart
rate less than 50 or greater than 100 beats per minute, temperature
greater than 99.5°F (>37.5°C) before donation, temperature greater
than 100.4°F (>38°C) or subjective feeling of illness before transfusion
to avoid having a concurrent illness affect posttransfusion measure-
ments), positive results on standard blood donor infectious disease
testing, and positive urine pregnancy test for females.

**Procedures.** Participants were randomly assigned, in a 1:1:1:1:1:
ratio, for a single autologous red cell transfusion after 1, 2, 3, 4, 5,
or 6 weeks (±2 days) of storage. Each donated whole blood unit was
leukoreduced and processed into a standard, packed, red cell unit in
Additive Solution Formula 3 (AS-3; Haemonetics Corp.) by The New
York Blood Center, shipped to the Columbia University Medical Cen-
ter — New York Presbyterian Hospital Blood Bank, and refrigerator
stored until issue. Immediately before issue, a 25 ml aliquot, obtained
from the unit using a sterile docking device, was radiolabeled with
51-chromium, as described (39).

Study subjects were admitted to the Columbia University Med-
cial Center for 24 hours. After pretransfusion blood samples were
collected, subjects were transfused at 200 ml per hour. Vital signs
were recorded before transfusion, 15 minutes after starting transfu-
sion, and immediately and 1 hour after transfusion. Blood samples,
obtained from a peripherally placed intravenous line, were collected
immediately and at 2, 4, 6, 8, 10, 12, 14, and 20 hours after trans-
fusion. Between 1 and 4 hours after transfusion, the 51-chromium-
labeled red cell aliquot was infused over 1 minute. Blood samples
were then obtained every 2.5 minutes between 5 and 15 minutes after
infusion and used to extrapolate the time zero and the final time point
for chromium recovery (39).

**Laboratory measures.** Routine laboratory parameters were
measured at the Columbia University Medical Center — New York
Presbyterian Hospital Clinical Laboratories. Transferrin saturation
and total iron binding capacity were calculated from the measured
serum iron and unbound iron-binding capacity. When the unbound
iron-binding capacity was below the clinical laboratory’s reportable
range, transferrin saturation was imputed by averaging the clos-
est time points with calculable total iron-binding capacities. Non-
transferrin-bound iron was measured using an ultrafiltration assay
(26, 28); this method correlates well with other techniques (22).
Plasma-free hemoglobin was measured by a modified cyanomet-
hemoglobin method (40). Hepcidin and IL-6 were measured using
commercial ELISA kits (Bachem and R&D Systems, respectively),
following the manufacturer’s instructions.

**Statistics.** Between-group differences in binary and categorical
variables were compared using Fisher’s exact tests. Continuous
variables were compared using Kruskal-Wallis tests. Data on the
primary outcome of nontransferrin-bound iron and other second-
ary markers of hemolysis (e.g., indirect bilirubin, serum iron, trans-
ferrin saturation, hepcidin, lactate dehydrogenase, haptoglobin,
free hemoglobin) were compared using an ANOVA with post-hoc
Tukey’s test of the AUC from the pretransfusion value through all
time points up to 20 hours after transfusion. When calculating the
AUC, peaks that were less than 10% of the distance from minimum
to maximum were ignored. Pearson’s linear regression was also
performed to examine linear trends in AUC values and relations-
ships between storage duration and change in hematocrit, post-
transfusion red cell recovery, and ferritin level at 20 hours after
transfusion, as compared with the pretransfusion levels. Analyses
were performed using SAS software, version 9.4 (SAS Institute),
and Prism, version 5 (GraphPad Software Inc.). A P value of less
than 0.05 was considered significant. Figures with error bars show
medians with the interquartile range.

The target sample size of 60 participants was intended to achieve
90% power at a and a 1-way analysis of covariance to test the
between-group differences of 6 red cell storage duration groups of the
AUC values for nontransferrin-bound iron at one-quarter of the range
observed in a preliminary study (28). This allowed for up to a 20%
dropout rate (i.e., only 8 subjects required per group).

**Study approval.** The study was conducted according to the Dec-
laration of Helsinki and in accordance with good clinical practice
guidelines. The study was approved by the Columbia University
Medical Center and New York Blood Center Institutional Review
Boards. All research participants provided written, informed con-
sent prior to study participation. An independent DSMB at Colum-
bia University Medical Center conducted regular safety reviews.

**Author contributions**

EAH, SLS, and GMB designed and EH and FR led the clinical trial.
FR, SB, FLC, CL, AR, BSW, JN, HW, and ROF assisted with data
collection. SS and AE assisted with the design of experiments. MS,
RY, and CD assisted with the radioactive chromium recovery stud-
ies. EAH and DJM analyzed the clinical data. YZG and BHS assist-
eds with blood donation procedures. JS assisted with blood banking
processes. FR and EAH drafted the first version of the manuscript.
All authors edited the manuscript.

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